

BILINEAR & QUADRATIC FORMS

LA 2

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Def: A bilinear form on a vector space V , over a field T , is a function $f: V \times V \rightarrow T$ satisfying the following two axioms:

$$(L1) \quad \forall x, y, z \in V, \forall t \in T: f(x + t \cdot y, z) = f(x, z) + t \cdot f(y, z)$$

$$(L2) \quad \text{--- " ---"}: f(x, y + t \cdot z) = f(x, y) + t \cdot f(x, z)$$

The bilinear form f is symmetric, if in addition

$$\forall x, y \in V, f(x, y) = f(y, x).$$

A quadratic form on a vector space V , over a field T , is a function $g: V \rightarrow T$ for which there exists a

$$\text{bilinear form } f, \forall x \in V, g(x) = f(x, x).$$

Theorem 1: Let V be a vector space over a field T of characteristic not equal 2.

i) Every symmetric bilinear form f on V is uniquely determined by its values $f(u, u)$, $\forall u \in V$.

ii) If g is a quadratic form on V , then there exists a symmetric bilinear form f' s.t. $g(u) = f'(u, u)$, $\forall u \in V$.

Proof: i) Consider any $u, v \in V$. Then

$$\begin{aligned} f(u+v, u+v) &\stackrel{L1, L2}{=} f(u, u) + f(u, v) + f(v, u) + f(v, v) \\ \Rightarrow f(u, v) + f(v, u) &= f(u+v, u+v) - f(u, u) - f(v, v) \quad \left(\frac{1}{2} = (1+1)^{-1}\right) \\ \Rightarrow f(u, v) &\stackrel{\text{Symmetry}}{=} \frac{1}{2} (f(u, v) + f(v, u)) = \frac{1}{2} \underbrace{(f(u+v, u+v) - f(u, u) - f(v, v))}_{\text{only "diagonal" entries}} \end{aligned}$$

ii) Let $f'(u, v) = \frac{1}{2} (f(u, v) + f(v, u))$ where f determines g .

$$\text{Then } f' \text{ is symmetric} \quad \bullet g(u) = f'(u, u), \forall u \in V. \quad \square$$

Notes: in \mathbb{Z}_2 , (*) equals $2 \cdot f(u, v) = 0$

\Rightarrow we can't express $f(u, v)$

LA 2 12/1

Def: Let V be a VS over T and $B = \{b_1, \dots, b_n\}$ a basis of V .
 The matrix of a bilinear form f on V w.r. t. the basis B is the matrix A defined by $A_{ij} = f(b_i, b_j)$. "how the basis behaves"

The matrix of a quadratic form g on V w.r. t. the basis B is the matrix of a symmetric bilinear form f s.t. $g(u) = f(u, u) \forall u \in V$, if such f exists. ... (think about fields of characteristic 2)

Note 1: Consider a bilinear form f on VS $V = \mathbb{Z}_2^2$ over \mathbb{Z}_2 whose matrix w.r. t. the canonical basis is $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, and a quadratic form $g(u) = f(u, u)$, $\forall u \in V$.

Then there is no symmetric bilinear form f' s.t. $g(u) = f'(u, u) \forall u \in V$.
 Why? Why?

Note 2: Given the uniqueness of the symmetric bilin. f for g (THM 1), the definition is correct.

Def: If f is a bilinear form on VS V over T of characteristic other than 2, and A is its matrix w.r. t. a basis $B = \{b_1, \dots, b_n\}$, then the matrix C of the quadratic form $g(u) = f(u, u)$, $\forall u \in V$, w.r. t. the basis B , is given by $C_{ij} = \frac{A_{ij} + A_{ji}}{2}$.

Proof: We know from the proof of THM 1, that symmetric bilinear form f' describing g is given by the formula $f'(u, v) = \frac{f(u, v) + f(v, u)}{2}$, $\forall u, v \in V$.

Thus, $C_{ij} = f'(b_i, b_j) = \frac{f(b_i, b_j) + f(b_j, b_i)}{2} = \frac{A_{ij} + A_{ji}}{2}$ if $i, j = 1, \dots, n$.

Lemma 1: If A is the matrix of a bilin. form f w.r. t. a basis $B = \{b_1, \dots, b_n\}$, then $\forall u, v \in V$: $f(u, v) = [u]_B^T A [v]_B$.

Proof: Let $\alpha_1, \dots, \alpha_n$ and β_1, \dots, β_n be s.t. $u = \sum_{i=1}^n \alpha_i b_i$,

$$v = \sum_{j=1}^n \beta_j b_j.$$

Then $f(u, v) = f\left(\sum_{i=1}^n \alpha_i b_i, \sum_{j=1}^n \beta_j b_j\right) \stackrel{L1,2}{=} \sum_{i=1}^n \sum_{j=1}^n \alpha_i \beta_j \overbrace{f(b_i, b_j)}^{A_{ij}} =$
 $= [u]_B^T \cdot A \cdot [v]_B.$

Meaning: Every bilinear form (and thus, every quadratic too) can be represented by a matrix.

Conversely, for every square matrix $A \in T^{n \times n}$ VS V over T of dimension n , and a basis B of V , the formula $\forall u, v \in V: f(u, v) = [u]_B^T A [v]_B$ defines a bilin. form on V .

Remark: For a VS V over T , the set of all bilinear forms on V is a group over T (\sim group of all $n \times n$ matrices).

Example: $A = \begin{pmatrix} 1 & 5 \\ 3 & 2 \end{pmatrix}$ $f(x, y) = x^T A y$... bilin. form on \mathbb{R}^2

$\forall x \in \mathbb{R}^2: g(x) = f(x, x) = x_1^2 + 5x_1x_2 + 3x_2x_1 + 2x_2^2 =$
 $= x_1^2 + 4x_1x_2 + 4x_2x_1 + 2x_2^2 = f'(x, x)$

For $A' = \begin{pmatrix} 1 & 4 \\ 4 & 2 \end{pmatrix}$, $f'(x, y) = x^T A' y$ sym. bilin. form defining g .

A is also the matrix of f w.r.t. the canonical basis.



Note that $f \neq f'$ - e.g. $f(e_1, e_2) \neq f'(e_1, e_2)$

Lemma 2: Let A be a matrix of a bilin. form f w.r.t. a basis B . Then $[id]_C^T \cdot A \cdot [id]_C$ is a matrix of f w.r.t. a basis $C = (c_1, \dots, c_n)$. transition matrix from C to B

Proof: we know: $[u]_B = [id]_C [u]_C$, $[v]_B = [id]_C [v]_C$

$f(u, v) = [u]_B^T \cdot A \cdot [v]_B = [u]_C^T \cdot [id]_C^T \cdot A \cdot [id]_C \cdot [v]_C$

$\Rightarrow \forall i, j: f(c_i, c_j) = e_i^T \cdot \bar{A} \cdot e_j = \bar{A}_{ij}$ LA2 12/3

Theorem Let f be a symmetric bilinear form on VS V over T of characteristic $\neq 2$. Then there exists a basis B s.t. the matrix of f w.r.t. B is diagonal.

Proof for $T = \mathbb{R}$. Consider any basis B' of V , and let A be the matrix of f w.r.t. B' . Since f is symmetric, A is symmetric, too.

\Rightarrow We know: \exists invertible matrix R s.t. $R^T \cdot A \cdot R$ is diagonal.

Let $B = (b_1, \dots, b_n)$ be a basis of V s.t. ${}_{B'}[\text{id}]_B = R$, i.e. $[b_i]_{B'} = R \cdot e_i$.

Then, by lemma 2, $R^T \cdot A \cdot R = {}_{B'}[\text{id}]_B^T \cdot A \cdot {}_{B'}[\text{id}]_B$, is a matrix of f w.r.t. B . i-th column \uparrow

Corollary (Sylvester's law of inertia).

For every quadratic form g on VS V of finite dimension over \mathbb{R} , there exists a basis B s.t. the matrix of g w.r.t. B is diagonal, only with entries $0, 1, -1$.

Moreover, for each such basis, the numbers of 1 and the number of -1 are invariant.

Proof: Let f be a symmetric bilin. form defining g , i.e., $\forall u \in V, g(u) = f(u, u)$.

By the previous thm, there is a basis B of V s.t. the matrix of f w.r.t. B is diagonal - let D denote it.

Let S and D' are diagonal matrices defined as follows:

$$\begin{aligned} \text{for } i \text{ s.t. } D_{ii} = 0 &: S_{ii} = 1, & D'_{ii} = 0 \\ \text{--- " --- } D_{ii} > 0 &: S_{ii} = \sqrt{D_{ii}}, & D'_{ii} = 1 \\ \text{--- " --- } D_{ii} < 0 &: S_{ii} = \sqrt{-D_{ii}}, & D'_{ii} = -1 \end{aligned} \quad \begin{aligned} \text{i.e.:} \\ D'_{ii} = \text{sgn } D_{ii} \end{aligned}$$

Then $D = S^T \cdot D' \cdot S$, and as S is invertible,

$D' = (S^T)^{-1} \cdot D \cdot S^{-1}$ is diagonal, only with entries $0, 1, -1$.

By construction (c.f. lemma 2), D' is a matrix of the quadratic form g , with respect to some basis. LA2 12/4

• invariance of the number of +1 and -1 on the diagonal:

Let D and D' be the diagonal matrices of g w.r.t. basis B and C . Then $[id]_C^T \cdot D \cdot [id]_B = D'$
 $[id]_B \uparrow$ invertible

$\Rightarrow \text{rank}(D) = \text{rank}(D') \Rightarrow$ the same number of 0's

Assume, wlog, that $B = (b_1, \dots, b_n)$, $C = (c_1, \dots, c_n)$,
 and also assume, that both D and D' start with the
 positive elements +1 (permut the order in bases)

Let $\left. \begin{array}{l} p \dots \# 1 \text{ in } D \\ q \dots \# 1 \text{ in } D' \end{array} \right\}$ For a contradiction, assume $q < p$.

Let $P = \mathcal{L}(\{b_1, \dots, b_p\})$ - linear span of b_1, \dots, b_p

$Q = \mathcal{L}(\{c_{q+1}, \dots, c_n\})$.

Since $\dim(P) + \dim(Q) = p + n - q > n$, there

exists $v \in P \cap Q$:

$$\Rightarrow v = \sum_{i=1}^p \beta_i b_i = \sum_{j=q+1}^n \alpha_j c_j, \text{ for some } \beta_i, \alpha_j.$$

$$\text{Then } \left. \begin{array}{l} g(v) = [v]_B^T \cdot D \cdot [v]_B = \sum_{i=1}^p \beta_i^2 > 0 \\ = [v]_C^T \cdot D' \cdot [v]_C = \sum_{j=q+1}^n (-1) \cdot \alpha_j^2 \leq 0 \end{array} \right\} \text{a contradiction}$$

$$\Rightarrow q \geq p$$

$$\text{Symmetrically, we get } p \leq q \quad \left. \vphantom{\text{Symmetrically, we get}} \right\} \Rightarrow p = q \quad \square$$