

## MAXIMAL INDEPENDENT SET ON PRAM - DERANDOMIZATION

## RANDOMIZED ALGORITHM

## PARALLEL - MAXIMAL IS

1.  $I := \emptyset$  ;  $V' := V$  ;  $G' := G$
2. while ( $V' \neq \emptyset$ ) do
3. in parallel for  $\forall v \in V'$  : if  $d_v = 0$ , then  $I := I \cup \{v\}$   
 $V' := V' \setminus \{v\}$
4. **RANDOM STEP**  
 in parallel for  $\forall v \in V'$  mark  $v$  with prob  $\frac{1}{2d_v}$   
 (independ. for different vertices & iterations)
5. in parallel for  $\forall \{u, v\} \in E(G')$  : if both  $u, v$  marked,  
 then unmark the lower degree vertex of  $u, v$   
 (break ties arbitrarily) - e.g. unmark the lower  
 let  $S$  be the set of marked vertices and  
 $N(S)$  be their neighbours
6.  $I := I \cup S$  ;  $V' := V' \setminus (S \cup N(S))$  ;  $G' := G[V']$   
 induced by  $V'$
7. OUTPUT  $I$

independence of the random choices was needed <sup>only</sup> in the proof of:  
Lemma 2: A good vertex  $v$  with  $d_v > 0$  has marked  
 neighbour with probability  $> (1 - e^{-1/6}) =: \alpha$ .

Recall: fix a vertex  $v$ : let  $L(v) = \{w \in N(v) \mid d(w) \leq d(v)\}$   
 the probability that no  $w \in L(v)$  is marked

$$\text{is } \leq \prod_{w \in L(v)} \left(1 - \frac{1}{2d(v)}\right) = \dots \leq e^{-\frac{1}{6}}$$

independent random choices

HALFWAY GOAL:

use pairwise independent random choices in step 4

Def: Random variables  $X_1, \dots, X_n$  are pairwise independent if for all  $i \neq j$  and any values  $a, b$ :

$$\Pr[X_i = a, X_j = b] = \Pr[X_i = a] \cdot \Pr[X_j = b]$$

Lemma: Let  $X_i, 1 \leq i \leq n$  be  $\{0, 1\}$  random variables and  $p_i = \Pr[X_i = 1]$ . If  $X_i$ 's are pairwise independent then  $\Pr\left[\sum_{i=1}^n X_i > 0\right] \geq \frac{1}{2} \min\left\{\frac{1}{2}, \sum_{i=1}^n p_i\right\}$ .

In our setting:  $|L(v)| \geq \frac{dv}{3}$

fix a good vertex  $v$ ,  $L(v) = \{w \in N(v) \mid d_w \leq dv\}$   
 for  $w \in L(v) \dots X_w = 1$  with prob.  $p_w = \frac{1}{2d_w} \geq \frac{1}{2dv}$

note:  $\sum_{w \in L(v)} p_w \geq \frac{1}{2dv} \cdot \frac{dv}{3} = \frac{1}{6}$

$$\Pr[\exists w \in L(v) \text{ that is marked}] = \Pr\left[\sum_{w \in L(v)} X_w > 0\right] \geq \frac{1}{2} \cdot \frac{1}{6} = \frac{1}{12}$$

Proof Lemma:

if  $\sum_{i=1}^n p_i > 1$  then let  $S \subseteq \{1, \dots, n\}$  s.t.

$$\frac{1}{2} \leq \sum_{i \in S} p_i \leq 1 \quad (\text{clearly exists})$$

otherwise  $S = \{1, \dots, n\}$

$$\Pr\left[\sum_{i=1}^n X_i > 0\right] \geq \Pr\left[\sum_{i \in S} X_i > 0\right] \geq \Pr\left[\sum_{i \in S} X_i = 1\right] \geq$$

$$\geq \sum_{i \in S} \Pr[X_i = 1] - \sum_{\substack{i < j \\ i, j \in S}} \Pr[X_i = 1, X_j = 1]$$



pairwise ind.  $= \sum_{i \in S} p_i - \frac{1}{2} \sum_{\substack{i < j \\ i, j \in S}} p_i p_j$

$$\geq \sum_{i \in S} p_i - \frac{1}{2} \left(\sum_{i \in S} p_i\right)^2 = \left(\sum_{i \in S} p_i\right) \left(1 - \frac{1}{2} \sum_{i \in S} p_i\right) \geq \frac{1}{2} \sum_{i \in S} p_i \geq \frac{1}{2} \min\left\{\frac{1}{2}, \sum_{i=1}^n p_i\right\}$$

## HOW TO GET PAIRWISE INDEP. BITS

Def: Random bit  $X$  is uniform if  $\Pr[X=1] = \Pr[X=0] = \frac{1}{2}$

How to generate  $2^b - 1$  uniform pairwise independent bits from  $b$  independent uniform random bits  $X_1, X_2, \dots, X_b$   
 $b$  bits

Note: if  $\text{poly}(n)$  pairwise independent bits needed  
... it is sufficient to have  $O(\log(n))$  random bits  
 $\rightarrow$  it's possible to try all possibilities of these  
(i.e.  $\sim \text{poly}(n)$  possibilities)

Enumerate all non-empty subsets of  $\{1, 2, \dots, b\}$  in some order,  
let  $S_j$  be the  $j$ th subset ... note:  $2^b - 1$  of these

Define:  $Y_j = \left( \sum_{i \in S_j} X_i \right) \bmod 2$  --- parity of  $\sum_{i \in S_j} X_i$

Lemma: The  $Y_j$ 's are uniform pairwise independent bits.

Proof:  $Y_j$  is uniform rand. bit

$S_j = \{1, \dots, z\}$   
 $1 < z < b$

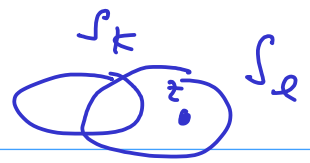
$S_j$ : let  $z$  be the largest index of an element in  $S_j$

$$\text{Then } Y_j = \left( \underbrace{\left( \sum_{i \in S_j \setminus \{z\}} X_i \right) \bmod 2}_{=a} + X_z \right) \bmod 2$$

Note: whatever the value of  $a$ 's (i.e., 0 or 1)

$$\Pr[Y_j = 1] = \Pr[Y_j = 0] = \frac{1}{2}$$

since  $X_z$  determines the value of  $Y_j$ , and  $X_z$  is independent on  $X_i, i \in S_j \setminus \{z\}$ , and uniform



- $k \neq l$
- $Y_k, Y_l \dots S_k, S_l$ , wlog.  $\exists z \in S_l \setminus S_k$

for any values  $c, d \in \{0, 1\}$  consider the conditional probability  
 $\Pr[Y_l = c | Y_k = d]$  (goal:  $= \frac{1}{2}$ )  
 suppose that  $X_i$  are revealed for all  $i \in (S_k \cup S_l) \setminus \{z\}$   
 then  $Y_k$  determined but  $Y_l$  not yet!  
 conditioning on the value  $Y_k$  does not affect  $Y_l$   
 $\dots Y_l$  is equally likely to be 0 and 1  $\checkmark$

$$\Pr[Y_l = c, Y_k = d] = \underbrace{\Pr[Y_l = c | Y_k = d]}_{= \frac{1}{2}} \cdot \underbrace{\Pr[Y_k = d]}_{= \frac{1}{2} \text{ as } Y_k \text{ is uniform}} = \frac{1}{4}$$

## HASHING

- rewording used to obtain efficient data structures  $\dots O(1)$

Problem: given a set  $S$  of items, we want to store them so that we can do efficiently

- |              |        |                            |
|--------------|--------|----------------------------|
| • lookups    | FIND   | } <u>static dictionary</u> |
| • insertions | INSERT |                            |
| • deletions  | DELETE |                            |

The dictionary can be implemented, e.g. balanced trees, the static dict  $\dots$  array  $\dots$   
 $\downarrow \Omega(\log n)$

Setup: • large universe  $U = \{0, 1, \dots, m-1\}$

•  $S \subseteq U$ ,  $|S| \ll |U|$

• a family of hash functions  $h: U \rightarrow \{0, 1, \dots, n-1\}$   $\sim$  space

• a collision: a situation when  $h(x) = h(y)$  for  $x \neq y \in S$

## Desired properties:

- not too many collisions
- $n = O(|S|)$  ... space efficient
- the function  $h$  is fast to compute

## Basic intuition

- spread the element **randomly**  
however: to be able to access them efficiently,  
can't do it completely randomly

Def: A family of hash functions  $\mathcal{H}$  from  $U$  to  $\{0, \dots, n-1\}$  is **2-universal** if for any  $x, y \in U, x \neq y$  and a hash function  $h \in \mathcal{H}$  chosen uniformly at random, we have  $\Pr[h(x) = h(y)] \leq \frac{1}{n}$ .

• is **strongly 2-universal** if for any  $x, y \in U, x \neq y$ , and any values  $a, b \in V$ , and a hash function  $h \in \mathcal{H}$  chosen uniformly at random we have

$$\Pr[h(x) = a \wedge h(y) = b] = \frac{1}{n^2}.$$

Example:  $U = \{0, 1, \dots, m-1\}, V = \{0, 1, \dots, n-1\}, m \geq n$

choose a prime  $p \geq m$

define  $h_{a,b}(x) = ((a \cdot x + b) \bmod p) \bmod n$

$$\mathcal{H} = \{h_{a,b} \mid 1 \leq a \leq p-1, 0 \leq b \leq p\}$$

Lemma:  $\mathcal{H}$  is 2-universal.

Proof: consider  $x_1, x_2 \in U, x_1 \neq x_2$  ← fix

count:  $|\{h \in \mathcal{H} \mid h(x_1) = h(x_2)\}|$

∴  $1 \cdot ax_1 + b \equiv ax_2 + b \pmod{p}$

proof: by contradiction:  $ax_1 + b \equiv ax_2 + b \pmod{p}$

$$\Rightarrow a(x_1 - x_2) \equiv 0 \pmod{p}$$

but  $p$  is a prime,  $x_1 \neq x_2$ ,  $a \neq 0 \pmod{p}$  □