

## A Linear Upper Bound in Extremal Theory of Sequences

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### Abstract

An extremal problem considering sequences related to Davenport-Schinzel sequences is investigated in this paper. We prove that  $f(x_1^i x_2^i \dots x_k^i x_1^i x_2^i \dots x_k^i, n) = O(n)$  where the quantity on the left side is defined as the maximum length  $m$  of the sequence  $u = a_1 a_2 \dots a_m$  of integers such that 1)  $1 \leq a_r \leq n$ , 2)  $a_r = a_s, r \neq s$  implies  $|r - s| \geq k$  and 3)  $u$  contains no subsequence of the type  $x_1^i \dots x_k^i x_1^i \dots x_k^i$  ( $x^i$  stands for  $xx \dots x$   $i$ -times).

## Introduction

In this paper we shall deal with finite sequences consisting of some symbols.  $S(u)$  denotes the set of all symbols occurring in the sequence  $u = a_1a_2\dots a_m$ ,  $|u|$  stands for its length ( $|u| = m$ ) and  $\|u\|$  stands for the cardinality of  $S(u)$ . If  $a_i = a \in S(u)$  then  $a_i$  is called *a-letter*. That  $a_i$  *precedes*  $a_j$  (in  $u$ ) means that  $i < j$ . We write  $u \leq v$  and say that the sequence  $v$  *contains* the sequence  $u$  if some subsequence  $w$  of  $v$  differs from  $u$  only in names of symbols (in particular  $|w| = |u|$  and  $\|w\| = \|u\|$ ). Example:  $u_1 = 1232454$  contains  $v_1 = xxyy$  (here  $x, y$  were renamed to 2,4). The *k-regularity* of  $u = a_1a_2\dots a_m$  means that  $a_i = a_j, i \neq j$  implies  $|i - j| \geq k$ . Example:  $v_1$  above is not 2-regular,  $u_1$  is but is not 3-regular. The maximum length of sequences not containing a given (forbidden) sequence  $u$  is measured by the function

$$f(u, n) = \max\{|v| \mid u \not\leq v, \|v\| \leq n, v \text{ is } \|u\|\text{-regular}\}.$$

We shall show below that the maximum is defined correctly.

The first problem considering  $f(u, n)$  was posed by Davenport and Schinzel [DS] in 1965 when they asked about the asymptotic growth of  $F = f(ababa, n)$  and in general of  $f(ababab\dots, n)$ . They proved  $F = O(n \log n / \log \log n)$ . This was later improved by Szemerédi [Sz] to  $O(n \log^* n)$  (for any of those functions,  $\log^* n$  is the minimum number of 2's in  $2^{2^{\dots^2}}$  making this tower greater or equal to  $n$ ) but whether  $F = O(n)$  remained unclear. Hart and Sharir [HS] answered this question negatively:  $F = \Theta(n\alpha(n))$  where  $\alpha(n)$  is the functional inverse to the Ackermann function and goes to infinity but very slowly. Recently both sharp upper and lower bounds on the functions  $f(ababab\dots, n)$  were found [ASS], [S].

The aim of this paper is to give (linear) upper bounds for extremal functions of forbidden sequences  $a(i, k) = x_1^i x_2^i \dots x_k^i x_1^i x_2^i \dots x_k^i$ . Here  $x_j$  are  $k$  distinct symbols and  $x^i$  stands for  $xx\dots x$   $i$  times. The main result is the estimate  $f(a(i, k), n) = O(n)$ . The sequences with a linear upper bound form the set

$$Lin = \{u \mid f(u, n) = O(n)\}$$

and our result may be reformulated as  $x_1^i x_2^i \dots x_k^i x_1^i x_2^i \dots x_k^i \in Lin$ . It generalizes the result  $a^i b^i a^i b^i \in Lin$  achieved in [AKV]. Finding all elements of  $Lin$  seems to be an interesting and not an easy problem (see concluding remarks).

The linearity of  $a(i, k)$  is derived from two statements—Theorem A and Theorem B—which are perhaps of some independent interest.

1) The symbols  $a, b$  are called *l-good* in the sequence  $u$  if at most  $l$   $b$ -letters lie between the first  $a$ -letter and the last  $a$ -letter or vice versa. A  $k$ -regular sequence  $u$  is called *l-mixed* if there are two  $l$ -good symbols  $a, b$  among every  $k$  elements of  $S(u)$ . Theorem A states  $|u| = O(\|u\|)$  for such  $u$ . In fact we prove something stronger.

2) We write  $u \leq\leq v$  if  $v$  contains  $u$  in all possible ways, i.e. if  $u \leq w$  for any  $w$  obtained from  $v$  by restricting  $v$  on some  $\|u\|$  symbols. Suppose  $i, k$  are given. Theorem B says that  $a(i, k) \leq u$  whenever  $\|u\|$  is large and  $a^l b^l a^l b^l \leq\leq u$  for large  $l$ .

The plan of the paper is as follows. In the first section we recall definitions and introduce several new ones. Then we derive  $a(i, k) \in Lin$  from 1) and 2) and prove several auxiliary but useful lemmas. In the second section results related to 1) are proved. The proof of 2), which is technical, may be found in the third section.

## Section 1

**Definition 1.1** Suppose that there are two  $l$ -good symbols  $a, b$  among every  $m$  symbols of a sequence  $u$ . This means that at most  $l$   $b$ -letters occur between the first  $a$ -letter and the last  $a$ -letter or vice versa. In such a situation  $u$  is called  $(m, l)$ -mixed ( $m \geq 2, l \geq 0$ ).

**Definition 1.2** A sequence  $u$  is called weakly  $(m, l)$ -mixed ( $m \geq 2, l \geq 0$ ) if the sequence  $u^*$  is  $(m, l)$ -mixed. The sequence  $u^*$  is obtained from  $u$  by deleting all  $l$ -outer letters. A letter in  $u$  is  $l$ -outer if belongs to the first  $l$  or to the last  $l$   $x$ -letters for some  $x \in S(u)$ .

In case  $u$  is  $k$ -regular and  $m = k$  we shall say simply that  $u$  is (weakly)  $l$ -mixed.

**Definition 1.3** We say that a letter  $a_i$  may be  $c$ -deleted from a  $k$ -regular sequence  $u = a_1 a_2 \dots a_m$  if it is possible to delete  $a_i$  with at most  $c - 1$  other letters in such a way that the remaining sequence is still  $k$ -regular.

It may be easily seen that any letter may be 2-deleted from any 2-regular sequence. It is not the case for three- and more regular sequences: in the sequence

$$\dots xyzyxyzyxayzyxyzyx \dots$$

which is 3-regular it is impossible to delete the single  $a$ -letter and to preserve 3-regularity without deleting many  $x, y, z$ -letters. We shall see below that under the condition of not containing a forbidden sequence  $c$ -deleting is possible for general  $k$ -regularity.

**Definition 1.4** We define a greedy algorithm  $A(k)$  that chooses from a given sequence  $u = a_1 a_2 \dots a_m$  a  $k$ -regular subsequence  $v$  in the following way. At first  $v = a_1$  and  $i = 1$ . Let  $j$  be a minimum integer with respect to  $j > i, v a_j$  is  $k$ -regular. If such  $j$  exists then we put  $v = v a_j, i = j$  and repeat. Otherwise the algorithm terminates. Observe that  $\|I\| \leq k - 1$  for any interval  $I$  in  $u$  such that  $I \cap v = \emptyset$ .

**Theorem A** Let  $m \geq k \geq 2, l \geq 0$  be integers and let  $u, k \geq \|u\|$  be a sequence. Suppose the sequence  $v$  is  $k$ -regular, weakly  $(m, l)$ -mixed and  $u \not\leq v$ . Then  $|v| \leq c\|v\|$  where the constant  $c = c(k, l, m, u)$  depends on the indicated parameters.

Recall that  $u \leq v$  means that for any  $S \subset S(v), |S| = \|u\|$  there is a  $u$ -copy  $\bar{u}$  in  $v$  such that  $S(\bar{u}) = S$ .

**Theorem B** For all positive integers  $i$  and  $k$  there exist integers  $n$  and  $l$  such that  $a(i, k) \leq u$  whenever  $\|u\| \geq n$  and  $x^l y^l x^l y^l \leq u$ .

To derive the main result from Theorem A and Theorem B one more lemma is needed.

**Lemma 1.5**

- a) If  $x^{2l+1} y^{2l+1} x^{2l+1} y^{2l+1} \leq u$  then  $u$  is not weakly  $(\|u\|, l)$ -mixed.
- b) If  $u$  is not weakly  $(\|u\|, l)$ -mixed then  $x^m y^m x^m y^m \leq u$  where  $m = \lceil (l+1)/2 \rceil$ .
- c) If  $a(2l+1, k) \leq u$  then  $u$  is not weakly  $(k, l)$ -mixed.

**Proof:** Both a) and c) follow immediately from definitions. We prove b). Suppose  $u$  is not weakly  $(\|u\|, l)$ -mixed and  $a, b \in S(u)$  are two distinct symbols. The violation of the weak mixness on  $a, b$  means that there are two intervals  $v$  and  $w$  in  $u$  such that  $v$  starts and finishes with an  $a$ -letter,  $w$  starts and finishes with an  $b$ -letter, the first letter of  $v$  precedes the first letter of  $w$ ,  $v$  contains at least  $l+1$   $b$ -letters,  $w$  contains at least  $l+1$   $a$ -letters, neither the first nor the last letter of  $v$  belongs to the  $l$ -outer  $a$ -letters and similarly for  $w$ . We split  $v = v_1 v_2$  in such a way that either  $v_i$  contains  $m$   $b$ -letters. If there are at least  $m$   $a$ -letters in the intersection  $v_1 \cap w$  then  $l$   $b$ -letters lie before  $w$ ,  $m$   $a$ -letters lie in  $v_1 \cap w$ ,  $m$   $b$ -letters lie in  $v_2$  and finally

$l$   $a$ -letters lie after  $v$ — $b^m a^m b^m a^m \leq u$ . Otherwise there are  $l$   $a$ -letters before  $v$ ,  $m$   $b$ -letters lie in  $v_1$ , the remaining at least  $m$   $a$ -letters lie in  $w$  and finally  $l$   $b$ -letters lie after  $w$ — $a^m b^m a^m b^m \leq u$ .

♡

**Theorem 1.6 (main result)**  $a(i, k) \in Lin$ .

**Proof:** Let  $v$  be a  $k$ -regular sequence not containing  $a(i, k)$ . We show that  $v$  satisfies the hypothesis of Theorem A for parameters  $m = n(i, k), k = k, l = 2l(i, k), u = a(i, k)$  where  $l(i, k)$  and  $n(i, k)$  are the integers of Theorem B. Suppose on the contrary that  $v$  is not weakly  $(m, l)$ -mixed. Then according to Lemma 1.5 b) there exists a subsequence  $w$  of  $v$  such that  $\|w\| = n(i, k)$  and  $x^{l(i, k)} y^{l(i, k)} x^{l(i, k)} y^{l(i, k)} \leq w$ . Theorem B yields  $a(i, k) \leq w$  which is a contradiction. Thus, according to Theorem A,  $|v| \leq c\|v\|, c = c(i, k)$ .

♡

In the rest of Section 1 we prove four auxiliary lemmas which will be needed in the following sections.

**Lemma 1.7** Consider a generalization of the function  $f(u, n)$

$$f(u, n, l) = \max\{|v| \mid u \not\leq v, \|v\| \leq n, v \text{ is } l\text{-regular}\}.$$

a)  $f(u, n, l)$  is finite for any sequence  $u$  and all integers  $n \geq 1, l \geq \|u\|$ .

b)  $f(u, n, l) \leq f(u, n, k) \leq (1 + f(u, l - 1, k))f(u, n, l)$  for any sequence  $u$  and all integers  $n \geq 1, l > k \geq \|u\|$ .

**Proof of a):** If  $1 \leq n < l$  then trivially  $f(u, n, l) \leq n$ . Let  $n \geq l$ . We prove  $u \leq v$  whenever  $v$  is  $l$ -regular,  $\|v\| \leq n$  and  $|v| \geq \|u\|(1 + \binom{n}{\|u\|}(|u| - 1))$ . Let  $v = v_1 v_2 \dots v_c w$  where  $|v_i| = \|v_i\| = \|u\|, c = \binom{n}{\|u\|}(|u| - 1) + 1$ . The Dirichlet principle implies  $S(v_{i_1}) = S(v_{i_2}) = \dots = S(v_{i_{|u|}})$  for some  $|u|$  indices  $1 \leq i_1 < i_2 < \dots < i_{|u|} \leq c$ . Thus  $u \leq v_{i_1} v_{i_2} \dots v_{i_{|u|}}$ .

**Proof of b):** The first inequality is obvious. We prove the second one. Suppose  $v = a_1 a_2 \dots a_m$  is  $k$ -regular,  $u \not\leq v$  and  $\|v\| \leq n$ . It suffices to apply the greedy algorithm  $A(l)$  on  $v$ . We obtain an  $l$ -regular subsequence  $v^*$  of  $v$  such that  $|v| \leq |v^*|(1 + f(u, l - 1, k))$  because  $\|I\| \leq l - 1$  for any interval  $I$  in  $v$  omitted by  $A(l)$ . Hence  $|v| \leq (1 + f(u, l - 1, k))f(u, n, l)$ .

♡

**Lemma 1.8** Suppose  $v$  is  $k$ -regular,  $v \not\leq u$  and  $k \geq \|u\|$ . Then any letter may be  $c = c(k, u)$ -deleted from  $v$ .

**Proof:** One can assume  $|v| \geq 2k - 1 + f(u, 3k - 3, k)$ . Consider the partition  $v = v_1 v_2 v_3 v_4 v_5$  where  $|v_2| = \|v_2\| = |v_4| = \|v_4\| = k - 1$ , the letter  $a_i$  chosen to be deleted occurs in  $v_3$  and  $|v_3| = f(u, 3k - 3, k) + 1$ . Hence  $\|v_3\| \geq 3k - 2$  and there are  $k - 1$  symbols  $S \subset S(v_3)$  such that  $S \cap (\{a_i\} \cup S(v_2) \cup S(v_4)) = \emptyset$ . We choose such  $k - 1$  letters  $b_1, b_2, \dots, b_{k-1}$  in  $v_3$  that  $\{b_1, b_2, \dots, b_{k-1}\} = S$  and delete from  $v_3$  all other letters (i.e. we delete exactly  $f(u, 3k - 3, k) + 2 - k$  letters). What remains is still a  $k$ -regular sequence.

♡

**Lemma 1.9** Suppose  $v$  is  $k$ -regular and weakly  $l$ -mixed. Then any letter may be  $c = c(k, l)$ -deleted from  $v$ .

**Proof:** According to Lemma 1.5 c)  $a(2l + 1, k) \not\leq v$  and the previous lemma applies.

♡

**Lemma 1.10** Let  $k, l \geq 2$  be integers and let  $u$  be a  $k$ -regular sequence. Then there exists a subsequence  $v$  of  $u$  such that

- 1)  $v$  is  $k$ -regular,
- 2) between any two  $x$ -letters in  $v$  there are at least  $l - 1$   $x$ -letters in  $u$  and
- 3)  $|v| \geq |u| \frac{1}{k^2 l (l - 1) + kl}$ .

**Proof:** Let  $a_1^x, a_2^x, \dots$  be all  $x$ -letters in  $u$  numerated from left to right for all  $x \in S(u)$ . The sequence  $u^*$  is defined as consisting of those  $a_i^x$  that  $i \equiv 1 \pmod{l}$ . The desired sequence  $v$  is obtained from  $u^*$  by the

greedy algorithm  $A(k)$ . The sequence  $v$  possesses obviously properties 1) and 2). It remains to prove that  $v$  is sufficiently long. We define  $S$  as the set of all intervals in  $u^*$  into which  $v$  divides  $u^*$ . Let  $I \in S$ . We decompose  $I = J_I K_I = I_1 I_2 \dots I_p K_I$ ,  $|I_i| = k$ ,  $|K_I| \leq k-1$ . The definition of  $A(k)$  yields  $\|I\| \leq k-1$ . Thus in any  $I_i$  some symbol repeats. The construction of  $u^*$  implies that there are another  $l-1$  letters of that symbol between those two letters in  $u$ . But  $u$  is  $k$ -regular so together there are at least  $p(kl-1-(k-2)) = p(k(l-1)+1)$  letters in  $u \setminus u^*$  between the first and the last letter of  $J_I$ . If we denote the set of those letters as  $R_I \subset (u \setminus u^*)$  then

$$|J_I| = pk \leq |R_I| \frac{k}{k(l-1)+1}.$$

The union  $L$  of all  $J_I$  and the union  $M$  of all  $K_I$ ,  $I \in S$  form a partition  $u^* = v \cup L \cup M$ . Obviously  $|u^*| \geq \frac{1}{l}|u|$  and  $|u \setminus u^*| \leq \frac{l-1}{l}|u|$ . Thus

$$|L| \leq \frac{k}{k(l-1)+1} \sum_{I \in S} |R_I| \leq \frac{k}{k(l-1)+1} |u \setminus u^*| \leq \frac{k}{k(l-1)+1} \frac{l-1}{l} |u|.$$

Further

$$|M \cup v| = |u^*| - |L| \geq \frac{1}{l}|u| - \frac{k}{k(l-1)+1} \frac{l-1}{l} |u| = \frac{1}{kl(l-1)+l} |u|.$$

The mapping that maps  $K_I$  on the predecessor (in  $u^*$ ) of the first letter of  $I$  is an injection from  $\{K_I \mid I \in S\}$  to  $v$  and  $|K_I| \leq k-1$  for all  $I$ . Therefore  $k|v| \geq |M \cup v|$  and

$$|v| \geq |u| \frac{1}{k^2 l(l-1) + kl}.$$

♡

Lemma 1.8 and Lemma 1.10 have interesting consequences for the structure of  $Lin$ —see the concluding remarks.

## Section 2

In this section Theorem A will be proved.

**Theorem 2.1** *Any  $k$ -regular and 1-mixed sequence  $w$  satisfies  $|w| \leq (k^2 + k)\|w\| + k$ .*

**Proof:** Let us take such a  $w$ . Suppose the pair of symbols  $a, b \in S(w)$  is 1-good. There are exactly five possible configurations in which all  $a$ -letters and  $b$ -letters in  $w$  may lie (we restrict  $w$  to  $\{a, b\}$ ):

a)  $a \dots ab \dots b$  b)  $a \dots ab \dots ba \dots a$  c)  $a \dots ab \dots bab \dots ba \dots a$  d)  $a \dots ab \dots bab \dots b$  and e)  $a \dots aba \dots ab \dots b$ .

Here  $a \dots a$  stands for  $a^i$ ,  $i \geq 1$  and the first  $a$ -letter is supposed to precede the first  $b$ -letter. The situation c) is denoted as  $a > b$  and the central  $a$ -letter as  $a(b)$ . In order to estimate  $|w|$  we estimate the number  $p$  in the splitting  $w = w_1 w_2 \dots w_p v$  where  $|w_i| = k$  and  $|v| \leq k-1$ . Some two symbols  $x, y \in S(w_i)$  must be 1-good for any  $w_i$ . Thus (see the configurations a)–e)) any  $w_i$  contains the first letter of some symbol or contains the last letter of some symbol or contains an element of the set  $M = \{a(b) \mid a, b \in S(w), a > b\}$  (in the worst c) case). Obviously

$$|w| \leq pk + k - 1 < k(p+1) \leq k(2\|w\| + |M| + 1)$$

and it suffices to estimate the size of  $M$ .

For this purpose we define a mapping  $F$  that maps  $M$  into the set of all last letters in  $w$ . We put  $F(a_0) =$  the last element of  $\{b_0 \mid b_0 \text{ is the last } b\text{-letter, } a > b, a_0 = a(b)\}$ . We prove  $|F^{-1}(b_0)| \leq k - 1$  for any last  $b$ -letter  $b_0, b \in S(w)$ . If it is done we conclude —

$$|w| \leq k(2\|w\| + |M| + 1) \leq k(2\|w\| + (k - 1)\|w\| + 1) = k(k + 1)\|w\| + k.$$

Suppose on the contrary that  $F(\bar{x}_1) = F(\bar{x}_2) = \dots = F(\bar{x}_k) = \bar{y}$  where  $\bar{x}_i$  is an  $x_i$ -letter,  $\bar{y}$  is the last  $y$ -letter,  $x_i$  and  $y$  are  $k + 1$  different symbols,  $x_i > y$  and  $\bar{x}_i = x_i(y)$ . Some two symbols of  $x_1, x_2, \dots, x_k$  must be 1-good. Thus  $x_r > x_s$  for some  $r, s$  because only c) can occur. It may be easily checked that  $\bar{x}_r = x_r(y) = x_r(x_s)$  which is a contradiction with the definition of  $F$  because  $\bar{y}$  precedes the last  $x_s$ -letter.  $\heartsuit$

The estimate in Lemma 2.1 may be slightly improved:

a) Even  $|M| \leq (k - 1)(\|w\| - 1)$  because  $F^{-1}(\text{the end of } w) = \emptyset$ . Using this idea we obtain  $|M| \leq (k - 1)\|w\| - ((k - 1) + (k - 2) + \dots + 1) = (k - 1)(\|w\| - \frac{k}{2})$ .

b)  $2\|w\|$  in  $|w| \leq k(2\|w\| + |M|) + |v|$  may be replaced by  $2\|w\| - |v|$  (any letter of  $v$  is the last letter of some symbol).

Thus one can do better a bit:  $|w| \leq k(k + 1)\|w\| - \frac{1}{2}k^2(k - 1), (\|w\| \geq k)$ .

### Theorem 2.2

a) Any  $k$ -regular and  $l$ -mixed sequence  $w$  satisfies  $|w| \leq k^2(k + 1)l(k(l - 1) + 1)\|w\|$ .

b) Any  $k$ -regular and weakly  $l$ -mixed sequence  $w$  satisfies  $|w| \leq c\|w\|$  where  $c = c(k, l)$ .

**Proof of a):** We apply on  $w$  Lemma 1.10. The obtained subsequence  $v$  is  $k$ -regular and according to 2) of Lemma 1.10 also 1-mixed. The upper bound on  $|w|$  is consequence of the previous theorem and of 3) of Lemma 1.10.

**Proof of b):** According to Lemma 1.9 any  $l$ -outer letter of  $w$  can be  $d = d(k, l)$ -deleted. The remaining sequence  $v$  is  $k$ -regular and  $l$ -mixed. According to a)  $|w| \leq 2dl\|w\| + |v| \leq 2dl\|w\| + k^2(k + 1)l(k(l - 1) + 1)\|v\|$  and b) follows.  $\heartsuit$

It is possible (but it costs some effort) to prove Theorem 2.2 a) like Theorem 2.1 and to avoid using Lemma 1.10. A substantially better constant in the linear upper bound on  $|w|$  is obtained in this way —  $O(k^2l)$  instead of  $O(k^4l^2)$ .

**Theorem A** Let  $m \geq k \geq 2, l \geq 0$  be integers and let  $u$  be a sequence such that  $k \geq \|u\|$ . Suppose  $v$  is weakly  $(m, l)$ -mixed,  $k$ -regular and  $u \not\leq v$ . Then  $|v| \leq c\|v\|$  where  $c = c(k, l, m, u)$ .

**Proof:** According to Lemma 1.7 b) (now  $u \not\leq v$  is used) it does not matter (up to the constant in  $O$ ) what regularity number  $k$  is chosen on beginning. For an  $m$ -regular sequence  $v$  the statement reduces to the previous theorem b).  $\heartsuit$

## Section 3

Here Theorem B will be proved.

Denote by  $R(r, n)$  the minimal  $N$  such that any colouring of two-term subsets of an  $N$ -term set  $S$  by  $r$  colours yields a monochromatic  $n$ -term subset of  $S$  (see [GRS]).

**Lemma 3.1** Suppose that  $x^l y^l x^l y^l$  is a subsequence of  $w = w_1 w_2 w_3$ . Then  $y^l$  is a subsequence of  $w_1$  or  $x^l$  is a subsequence of  $w_2$  or  $y^l$  is a subsequence of  $w_3$ .

**Proof:** Obvious. ♡

**Lemma 3.2** Suppose  $w$  contains  $m + 1$   $x$ -letters and  $m + 1$   $y$ -letters  $x_0, x_1, \dots, x_m, y_0, y_1, \dots, y_m$  enumerated from left to right and  $x_0$  precedes  $y_0$ . Let  $m = 2i(k - 1)$ . Then one of a)–d) holds.

- a)  $x_{(a+1)i}$  precedes  $y_{ai}$  for some  $a \in \{0, 1, \dots, 2k - 2\}$
- b)  $y_{(a+1)i}$  precedes  $x_{ai}$  for some  $a \in \{0, 1, \dots, 2k - 2\}$
- c)  $x_{a_1}$  precedes  $y_{a_1}$  precedes  $x_{a_2} \dots x_{a_k}$  precedes  $y_{a_k}$  for some indices  $0 \leq a_1 < a_2 < \dots < a_k \leq 2k - 2$
- d)  $y_{a_1}$  precedes  $x_{a_1}$  precedes  $y_{a_2} \dots y_{a_k}$  precedes  $x_{a_k}$  for some indices  $0 \leq a_1 < a_2 < \dots < a_k \leq 2k - 2$

**Proof:** If neither a) nor b) holds for any  $a \in \{0, 1, \dots, 2k - 2\}$  then  $x_0, y_0$  precede  $x_i, y_i$  precede...precede  $x_{(2k-2)i}, y_{(2k-2)i}$  and c) or d) occurs for some  $k$  indices. ♡

The number of all mutual configurations (with respect to preceding) of the  $x$ -letters and  $y$ -letters in the situation in Lemma 3.2 is equal to  $p_m = \binom{2m+1}{m+1}$ . Suppose that there are  $k$  symbols  ${}^1x, {}^2x, \dots, {}^kx$  in Lemma 3.2 instead of two and that  $m = 2(i - 1)(ik - 1)$ . Suppose further that  ${}^1x_0$  precedes  ${}^2x_0$  ...precedes  ${}^kx_0$  and that the configuration of  ${}^ax$  and  ${}^bx$  is the same for all  $\binom{k}{2}$  pairs  $1 \leq a < b \leq k$ . Then it is not difficult to see that either  ${}^1x^i {}^2x^i \dots {}^kx^i$  or  ${}^kx^i {}^{k-1}x^i \dots {}^1x^i$  is a subsequence of  $w$  (apply on that configuration Lemma 3.2).

**Theorem B** For any positive integers  $i$  and  $k$  there exist integers  $n$  and  $l$  such that  $a(i, k) \leq w$  whenever  $\|w\| \geq n$  and  $x^l y^l x^l y^l \leq w$ .

**Proof:** It suffices to put  $n = R(2p_m^2, h), l = m + 1 = 1 + 2(i - 1)(hi - 1), h = 6R(2p_r, k) - 3, r = 2(i - 1)(ki - 1)$ . We split  $w = w_1 w_2$  so that any  $x \in S(w)$  has  $l$  letters in both  $w_1, w_2$  (we split  $w$  in the last  $l$ -th letter). The  $\binom{n}{2}$  pairs  $\{x, y\} \subset S(w)$  are coloured by  $2p_m^2$  colours according to their configurations in  $w_1$  and  $w_2$  and according to the position of their first letters in  $w_1$  and  $w_2$ . The  $h$ -term monochromatic subset  $S \subset S(w), S = \{{}^1x, {}^2x, \dots, {}^hx\}, {}^1x_0$  precedes  ${}^2x_0$ ...precedes  ${}^hx_0$  (in  $w_1, w_2$  they may be in the opposite order) ensured by Ramsey theorem implies (according to the consideration above) that  ${}^1x^i {}^2x^i \dots {}^hx^i$  or  ${}^hx^i {}^{h-1}x^i \dots {}^1x^i$  is a subsequence in both  $w_1, w_2$ . One can suppose  ${}^1x^i {}^2x^i \dots {}^hx^i {}^hx^i \dots {}^2x^i {}^1x^i$  is a subsequence in  $w$  (one of the remaining three cases is treated similarly and in remaining two we are done). We denote that subsequence as  $K$  and define  $g = \frac{h-1}{2}$ . We split  $w$  on three parts  $w = v_1 v_2 v_3$  so that the beginning of  $v_2$  is one of the  ${}^{g+1}x$ -letters in the first half of  $K$  and the end of  $v_2$  is one of the  ${}^{g+1}x$ -letters in the second half of  $K$ . Now Lemma 3.1 on every pair of symbols  $({}^1x, {}^hx), ({}^2x, {}^{h-1}x), \dots, ({}^gx, {}^{g+2}x)$  is applied ( ${}^ix$  with small left upper indices play the role of  $x$  in Lemma 3.1 and those with large ones play the role of  $y$ ). One of the cases of Lemma 3.1 occurs for at least  $\lceil \frac{g}{3} \rceil = R(2p_r, k)$  pairs  $({}^ix, {}^{h-i+1}x), i \in I \subset \{1, \dots, g\}$ . One can suppose it is the second one (remaining two are treated analogously). It means that  ${}^ix^{r+1}$  (even  ${}^ix^l$ ) is a subsequence of  $v_2$  for any  $i \in I, |I| \geq R(2p_r, k)$ . We colour pairs of  $\{{}^ix \mid i \in I\}$  by  $2p_r$  colours (configuration and position of their first letters) and apply Ramsey theorem again. According to the above consideration applied on the  $k$ -term monochromatic set of symbols  ${}^{i_1}x^{i_1} {}^{i_2}x^{i_2} \dots {}^{i_k}x^{i_k}$  or  ${}^{i_k}x^{i_k} {}^{i_{k-1}}x^{i_{k-1}} \dots {}^{i_1}x^{i_1}$  is a subsequence of  $v_2$  for some  $(k$  symbols)  $\{i_1 < i_2 < \dots < i_k\} \subset I$ . These letters together with the first and with the last fourth of  $K$  (which lie in  $v_1$  and in  $v_3$ ) give  $a(i, k) \leq w$ . ♡

### Concluding remarks

Our proof of  $a(i, k) \in Lin$  is just a step in finding all elements of  $Lin$ . For two-symbol sequences the results  $a^i b^i a^i b^i \in Lin$  ([AKV]) and  $ababa \notin Lin$  ([HS]) yields the equivalence  $u \in Lin$  iff  $ababa \not\leq u$ . This is not the case for a general sequence  $u$  because the construction in [WS] realizing the lower bound  $f(ababa, n) = \Omega(n \cdot \alpha(n))$  by segments in the plane proves also implicitly  $u_1 = abcbadadbcd \notin Lin$  (and  $ababa \not\leq u_1$ ). Define the function

$$f(n) = \max\{\|v\| \mid v \in Lin, \|v\| \leq n, v \text{ is 2-regular}\}.$$

Clearly  $f(n) \leq f(ababa, n) = O(n\alpha(n))$ .

**Problem 1** Does  $f(n) = O(n)$  hold?

A simple consequence of Lemma 1.8 is that  $ua \in Lin$  implies  $ua^k \in Lin$  for any sequence  $u$ , symbol  $a$  and natural number  $k$  (similarly for  $au$ ). In the same manner we can easily derive from Lemma 1.10 that  $ua^2v \in Lin$  implies  $ua^kv \in Lin$  for any sequences  $u, v$ , natural number  $k$  and symbol  $a$ . Analogously if we consider general sequences, not only linear—the change of exponents in the described manner does not change the growth rate of  $f(u, n)$ .

**Problem 2** Does  $uav \in Lin$  imply  $ua^2v \in Lin$  in any case? Does in general  $f(ua^2v, n) = O(f(uav, n))$  hold? Except, of course, for  $uav$  without repetitions when  $f(uav, n)$  is constant.

**Problem 3** Does  $babcbac \in Lin$  hold?

**Problem 4** How many minimum (to  $\leq$ ) nonlinear sequences there are? From the above comment it follows that beside  $ababa$  there is at least one such an element.

### Acknowledgments

The autor is grateful to P.Valtr, M.Loeb1 and J. Nešetřil for their useful consultations.

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