

(L10) May 8, 2020 | To continue the last re-<sup>(1)</sup>

(marks), all proofs of the SOTL theorem known use  $p$ -adic numbers  $\mathbb{Q}_p$  in some form. Because of the equivalence we proved (LRS  $\Leftrightarrow$  power sums), this theorem is equivalently about the LRS. Its another, ~~the~~ more popular and equivalent statement is as follows.

**Theorem (the SOTL theorem again)**  $\{f(a_n)\} \subset \mathbb{C}$

(or  $\subset K$  for a field  $K$  with  $\text{char}(K) = 0$ ) is a sequence satisfying for  $\forall n \in \mathbb{N}$  the recurrence (LRS)  $a_{n+2} = \sum_{i=0}^{k-1} c_i a_{n+i}$  for some constants  $k \in \mathbb{N}_0$  and  $c_i \in \mathbb{C}$  (or  $\in K$ )

then there  $\exists$  a modulus  $m \in \mathbb{N}$  s.t. for every  $j = 1, 2, \dots$ , in the zero set (of  $A$  in  $\mathbb{N} \equiv j \pmod{m}$ )

$Z_{A,j} := \{n \in \mathbb{N}_0 \mid a_{m n + j} = 0\}$  either equals  $\mathbb{N}_0$  or is finite.

This applies to any LRS, not just on the non-degenerate ones. For

example, the LRS  $A = (a_n) = (a_1, a_2, \dots) = (2)$   
 $= (0, 1, 0, 1, 0, 1, \dots)$  [ $a_{n+2} = 0 \cdot a_{n+1} + 1 \cdot a_n$ ]

has  $Z_{A,1} = \mathbb{N}_0$  and  $Z_{A,2} = \emptyset$ .

$m=2$  and End of the veruets

Answer

important, interesting and useful result related to the LRS and rational GFs is the transfer matrix method which is well known also to ~~the~~ physicists. I will begin with seemingly purely algebraic result and then show its application to counting weighted ~~directed~~ walks in directed multigraphs.

We consider an arbitrary ring  $R$  (commutative <sup>and</sup> with  $1 \in R$ ) and the ring  $\mathbb{R}\langle X \rangle$  of formal power series with coeff.  $s \in R$ . If  $A \in R^{n \times n}$  is an  $n \times n$  matrix with entries in  $R$ , i.e.  $A: [n]^2 \rightarrow R$ , we denote by  $A_{ij}$  the  $i,j$ -entry, i.e.  $A(i,j)$ . Recall the (in general non-commutative) operation of matrix

multiplication: if  $A \in R^{n \times n}$ ,  $B \in R^{n \times p}$  then

$C := AB \in R^{n \times p}$  and  $C_{ij} = \sum_{k=1}^n A_{ik} B_{kj}$

**Theorem (The TMM, algebraic part)** If  $A \in R^{n \times n}$  and  $i, j \in [n] = \{1, 2, \dots, n\}$ , then we have in  $R[[x]]$  the identity

$$\sum_{k=0}^{\infty} (A^k)_{ij} x^k = \frac{(-1)^{i+j} \det((I - xA)[i, j])}{\det(I - xA)}$$

Here  $I$  is the unit  $n \times n$  matrix in  $R^{n \times n}$ , so

$$I = \begin{pmatrix} 1_R & & & & \\ & 1_R & & & \\ & & \ddots & & \\ & & & \ddots & \\ 0_R & & & & 1_R \end{pmatrix}$$
,  $xA$  is the matrix obtained from  $A$  by multiplying each entry of it by  $x$ , and  $-$  is term-wise subtraction.

Thus, if  $A = (a_{ij})_{i, j=1}^n \in R^{n \times n}$ , then

$$I - xA = (\delta_{ij} - a_{ij}x)_{i, j=1}^n$$
 where  $\delta_{ij}$  is Kronecker's  $\delta$  in  $R$ :  $\delta_{ij} = \begin{cases} 0_R & i \neq j \\ 1_R & i = j \end{cases}$ . Further, if  $B \in R^{n \times n}$  and  $i, j \in [n]$  then  $B[i, j]$  de-

④ notes the matrix obtained from  $B$  by deleting from  $B$  the  $i$ -th row and  $j$ -th column,  $(n-1) \times (n-1)$ .

The numerator and the denominator in the identity  $[\det \text{ is of course the determinant, the well known map } \det: R^{n \times n} \rightarrow R]$  are polynomials in  $R[x]$ , have understood as  $\subset R[x]$ , and the denominator is a unit in  $R[x]$  because  $\det(I - xA) = 1 + \bullet x + \dots + \bullet x^n$  (where  $\bullet \in R$ ), thus we can divide by it in  $R[x]$  and the right side of the identity is well defined. Now let us have a look at the proof

of this identity. A physicist<sup>(\*)</sup> would compute:

$$\left( \sum_{q=0}^{\infty} (A^q)_{ij} x^q \right)_{i,j=1}^n = \sum_{q=0}^{\infty} A^q x^q$$

$$= (Ix^0 - Ax)^{-1}$$

$$= (I - xA)^{-1} =$$

(\*) I admire the style of computations we do in physics but still am a mathematician.

$$= \left( \frac{(-1)^{i+j} \det((I-xA)[i|i])}{\det(I-xA)} \right)_{i,j=1}^n \quad \text{where in the } \textcircled{5}$$

last = we have used the formula from the linear algebra for the inverse matrix to a given regular matrix, here the matrix  $I-xA$ :  
 $= (\delta_{ij} - a_{ij}x)_{i,j=1}^n$ . If we compare <sup>the</sup> entries in the initial and final  $n \times n$  matrix, we get the stated identity.  $\square$

~~I attempt now to~~ explain this computation from the perspective of a pedantic algebraist. We are working here in two very similar but really ~~distinct~~ different rings,

$$U := (R[[x]])^{n \times n} \quad \text{and}$$

$$V := (R^{n \times n})[[x]]. \quad \text{So } U$$

is the ring of  $n$  by  $n$  matrices whose entries are formal power series with coeff-s in  $R$  and  $V$  is the ring of formal power series with coeff-s

in the ring of  $n \times n$  matrices with entries  $\in \mathbb{R}$ . (6)  
These are two obviously related but as types  
completely different mathematical/algebraic  
objects/structures. classes of. The equalities  
in the above computation jump between  $U$   
and  $V$  in this way:

$U = V = V = U = U$ . So only the 2<sup>nd</sup> and

4<sup>th</sup> = equate objects of the same type, the 1<sup>st</sup>  
and 3<sup>rd</sup> are not really equalities but isomor-  
phisms between ~~the~~ ~~the~~ rings  $U$  and  $V$ .  
I have not seen in the literature an account  
on the TOM that would explain this point  
clearly (most are even unaware of it),  
~~attempt~~ <sup>I</sup> to write ~~some~~ ~~up~~ myself (google it),  
and ~~an~~ <sup>I</sup> attempt to do it again here. But  
algebraic niceties aside, the combinatorial  
application(s) is (are) more important.  
To be ~~not~~ continued... MM