

An Invitation to Game Comonads, day 1: Overview, Syntax vs Semantics ^a

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Overview

Motivation

Notoriously difficult problems:

Constraint Satisfaction Problem (CSP)

Input: finite structures A, B

Decide: is there a homomorphism $A \rightarrow B$?

Isomorphism Problem

Input: finite structures A, B

Decide: is $A \cong B$?

Difficult even with B fixed!

Approximations

Polynomial-time decidable \rightsquigarrow and \approx such that

$$\frac{A \rightarrow B}{A \rightsquigarrow B} \quad \text{and} \quad \frac{A \cong B}{A \approx B}$$

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Examples: local consistency and Weisfeiler-Leman tests

$$\frac{A \rightarrow B}{A \Rightarrow^{\mathcal{L}} B} \quad \text{and} \quad \frac{A \cong B}{A \equiv^{\mathcal{J}} B}$$

where

$$A \Rightarrow^{\mathcal{L}} B \iff \forall \varphi \in \mathcal{L} \quad A \models \varphi \text{ implies } B \models \varphi$$

$$A \equiv^{\mathcal{J}} B \iff \forall \varphi \in \mathcal{J} \quad A \models \varphi \text{ iff } B \models \varphi$$

First-Order Logic

In our case $\mathcal{L} \subseteq \text{FO}$ or $\mathcal{L} \subseteq \text{ML}$.

First-Order Logic (FO) in a relational signature $\sigma = \{R_1, \dots, R_t\}$ has

- atomic formulas: $x = y, \quad R(x_1, \dots, x_n) \quad (\text{for } n\text{-ary } R \in \sigma)$
- connectives: $\varphi \wedge \psi, \quad \varphi \vee \psi, \quad \neg \varphi$
- quantifiers: $\forall x \varphi, \quad \exists x \varphi$

Models: σ -structures A , given as tuples

$$(A, R_1^A, \dots, R_t^A)$$

where, for n -ary $R \in \sigma$,

$$R^A \subseteq A^n.$$

Then, $A \models R(a_1, \dots, a_n)$ iff $(a_1, \dots, a_n) \in R^A$.

Modal Logic

A **(multi)modal signature** $\sigma = \{R_1, \dots, R_n, P_1, \dots, P_m\}$ is given by R_1, \dots, R_n binary and P_1, \dots, P_m unary relations.

Modal Logic (ML) in a modal signature σ has

- propositional letters: P (for unary $P \in \sigma$)
- connectives: $\varphi \wedge \psi$, $\varphi \vee \psi$, $\neg \varphi$
- modalities: $\Box_R \varphi$, $\Diamond_R \varphi$ (for binary $R \in \sigma$)

Models: pointed σ -structures (A, a) , i.e. $a \in A$

$$\begin{aligned}(A, a) \models P &\iff a \in P^A \\(A, a) \models \Box_R \varphi &\iff \forall (a, b) \in R^A \ (A, b) \models \varphi \\(A, a) \models \Diamond_R \varphi &\iff \exists (a, b) \in R^A \ (A, b) \models \varphi\end{aligned}$$

For certain $\mathcal{L} \subseteq \text{FO}$ there exists a (turn-based) game \mathcal{G} of two players

- **Spoiler** wants to show $A \not\cong B$
- **Duplicator** wants to show $A \cong B$

and

$A \equiv^{\mathcal{L}} B \iff^{(\text{Thm})}$ Duplicator has a winning strategy in \mathcal{G}

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Typically, \mathcal{L} and \mathcal{G} parametrised by a resource parameter k , e.g.

quantifier rank	\leftrightarrow	number of rounds
variable count	\leftrightarrow	number of pebbles

$G(A)$ encoding Spoiler's possible moves on A such that

$$A \Rightarrow^{\mathcal{L}} B \quad \stackrel{(\text{Thm})}{\Longleftrightarrow} \quad G(A) \rightarrow B$$

$$A \equiv^{\mathcal{J}} B \quad \stackrel{(\text{Thm})}{\Longleftrightarrow} \quad G(A) \approx G(B)$$

Giving approximations

$$\frac{A \rightarrow B}{G(A) \rightarrow B} \quad \text{and} \quad \frac{A \cong B}{G(A) \approx G(B)}$$

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$G(\cdot)$ is a **comonad**

\Rightarrow new shiny tools from category theory!

Coalgebras for comonads reveal a structural connection:

Comonad	Combinatorial property	logic fragment
\mathbb{E}_k	tree-depth	quantifier rank
\mathbb{P}_k	tree-width	variable count
\mathbb{M}_k	sync. tree depth	modal depth
\mathbb{PR}_k	path-width	restricted conjunction & variable count
\mathbb{He}_k	k -ary generalised t.-w.	generalised quantifier k -variable extension
\mathbb{G}_k	guarded tree decomp	quantifier-guarded
\mathbb{LG}_k	hypertree-width	k -conjunct guarded
\mathbb{Hy}_k	generated tree-depth	hybrid modal depth
\mathbb{B}_k	generated tree-depth	bounded quantifiers
...

Uniform proofs of

- Lovász-type homomorphism-counting theorems
- van Benthem-type theorems
- Feferman–Vaught–Mostowski theorems
- Homomorphism Preservation Theorems
- Hedges' word construction
- Gaifman & Hanf locality

A synthetic framework for generic results

- arboreal categories

Category Theory 101

Common patterns in mathematics

Objects of study	their structure-preserving mappings
sets	functions
vector spaces	linear maps
monoids	monoid homomorphisms
posets	monotone maps
topological spaces	continuous maps

Many properties and constructions of these structures are characterised by *universal properties* of their mappings.

Category theory studies properties of mappings abstractly.

⇒ Generic results that apply to many scenarios.

The main definition

A **category** \mathcal{C} consists of

- a class of objects $\text{Ob}(\mathcal{C})$
- for $A, B \in \text{Ob}(\mathcal{C})$, a set of morphisms $\mathcal{C}(A, B)$, which we designate by

$$f: A \rightarrow B$$

- for $A \in \text{Ob}(\mathcal{C})$, identity morphism $\text{id}_A: A \rightarrow A$
- for $A, B, C \in \text{Ob}(\mathcal{C})$, a composition operation

$$\circ: \mathcal{C}(B, C) \times \mathcal{C}(A, B) \rightarrow \mathcal{C}(A, C)$$

Such that, whenever the compositions are defined:

$$f \circ \text{id}_A = f$$

$$\text{id}_A \circ f = f$$

$$(f \circ g) \circ h = f \circ (g \circ h)$$

Examples of categories

Set

- objects: sets
- morphisms: functions
- identity morphisms: identity functions
- composition operation: function composition

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Set_{*}

- objects: pointed sets (X, x) , with $x \in X$,
- morphisms: $(X, x) \rightarrow (Y, y)$ are functions $f: X \rightarrow Y$ such that $f(x) = y$.

Categories of relational structures

$\mathbf{Str}(\sigma)$

- objects: σ -structures A
- morphisms: homomorphisms of σ -structures $f: A \rightarrow B$

$$(a_1, \dots, a_n) \in R^A \implies (f(a_1), \dots, f(a_n)) \in R^B$$

for an n -ary $R \in \sigma$

$\mathbf{Str}_{fin}(\sigma)$ = restriction of $\mathbf{Str}(\sigma)$ to finite σ -structures

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$\mathbf{Str}_{fin}(\sigma)$ = restriction of $\mathbf{Str}(\sigma)$ to finite σ -structures

$\mathbf{Str}_*(\sigma)$

- objects: pointed σ -structures (A, a) i.e. $a \in A$
- morphisms: $(A, a) \rightarrow (B, b)$ are σ -structure homomorphisms $f: A \rightarrow B$ such that $f(a) = b$.

Functors = “homomorphisms of categories”

For categories \mathcal{C}, \mathcal{D} , a functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is given by

- a mapping on objects $F: \text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{D})$
- a mapping on morphisms, for every $A, B \in \mathcal{C}$,

$$F: \mathcal{C}(A, B) \rightarrow \mathcal{D}(F(A), F(B))$$

i.e. $f: A \rightarrow B$ is mapped to $F(f): F(A) \rightarrow F(B)$.

These must preserve the rest of the category structure:

$$F(\text{id}_A) = \text{id}_{F(A)}$$

$$F(f \circ g) = F(f) \circ F(g)$$

Examples of functors

For a category \mathcal{C} , the **identity functor** $\text{Id}_{\mathcal{C}}: \mathcal{C} \rightarrow \mathcal{C}$ is given by

- the identity mapping on objects $\text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{C})$
- the identity mapping on morphisms $\mathcal{C}(A, B) \rightarrow \mathcal{C}(A, B)$

Forgetful functors:

(1) $\mathbf{Set}_* \rightarrow \mathbf{Set}$

- on objects $(A, a) \mapsto A$
- on morphisms $f \mapsto f$

(2) $\mathbf{Str}(\sigma) \rightarrow \mathbf{Set}$

- on objects $(A, R_1^A, \dots, R_t^A) \mapsto A$
- on morphisms $f \mapsto f$

Exercise: Show that, for every relational signature σ , we have a functor $\mathbf{Set} \rightarrow \mathbf{Str}(\sigma)$ which maps a set A to (A, R_1^A, \dots, R_t^A) where $R_i^A = A^n$, for an n -ary $R_i \in \sigma$.

Syntax vs Semantics

For any fragment \mathcal{L}

$$\frac{A \cong B}{A \equiv^{\mathcal{L}} B}$$

But when do we get

$$\frac{A \rightarrow B}{A \Rightarrow^{\mathcal{L}} B} \quad ?$$

Recall

$$A \Rightarrow^{\mathcal{L}} B \iff \forall \varphi \in \mathcal{L} \quad A \models \varphi \text{ implies } B \models \varphi$$

Primitive positive fragment

Primitive positive sentences $PP \subseteq FO$ are formed by

- atomic formulas: $\mathbf{t}, R(x_1, \dots, x_n)$ (for n -ary $R \in \sigma$)
- conjunctions: $\varphi \wedge \psi$
- existential quantifiers: $\exists x \varphi$

I.e. we do not allow equality $x = y$, disjunctions $\varphi \vee \psi$, negations $\neg \varphi$, universal quantifications $\forall x \varphi$.

We have added the always true sentence \mathbf{t} , which holds $A \models \mathbf{t}$ in every σ -structure A .

Examples of PP sentences

(1) A well-formed PP sentence

$$\exists xyz (R(x, y) \wedge P(y) \wedge S(y, z))$$

in signature $\sigma = \{R(\cdot, \cdot), P(\cdot), S(\cdot, \cdot), T(\cdot, \cdot, \cdot)\}$.

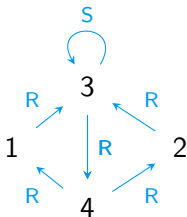
(2) Despite equivalence of

$$(\exists x. R(x, x)) \vee (\exists x. R(x, x)) \quad \text{and} \quad \exists x. R(x, x)$$

The former is not PP!

Exercise

Given a σ -structure A :



in signature $\sigma = \{R(\cdot, \cdot), S(\cdot, \cdot)\}$, and a PP sentence φ :

$$\begin{aligned} \exists x \big(\exists y (R(x, y) \wedge \exists z (R(y, z) \wedge R(z, x))) \\ \wedge \exists z (S(z, z) \wedge R(x, z)) \big) \end{aligned}$$

Decide if $A \models \varphi$.

Evaluating PP sentences, I

Step 1: Variable renaming in φ

$$\begin{aligned} \exists x_1 (\exists x_2 (R(x_1, x_2) \wedge \exists x_3 (R(x_2, x_3) \wedge R(x_3, x_1))) \\ \wedge \exists x_4 (S(x_4, x_4) \wedge R(x_1, x_4))) \end{aligned}$$

Observation

If x does not occur freely in ψ then

$$\exists x (\varphi \wedge \psi) \quad \text{and} \quad (\exists x \varphi) \wedge \psi$$

are equivalent, in first-order logic.

Step 2: Rewrite φ into the prenex normal form

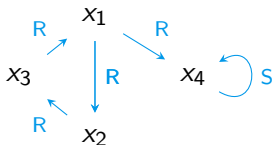
$$\begin{aligned} \exists x_1, x_2, x_3, x_4 (R(x_1, x_2) \wedge R(x_2, x_3) \wedge R(x_3, x_1) \\ \wedge S(x_4, x_4) \wedge R(x_1, x_4)) \end{aligned}$$

Evaluating PP sentences, II

Step 3: From the prenex normal form $\exists x_1, x_2, x_3, x_4 \varphi_0$ where

$$\begin{aligned}\varphi_0(x_1, x_2, x_3, x_4) = & R(x_1, x_2) \wedge R(x_2, x_3) \wedge R(x_3, x_1) \\ & \wedge S(x_4, x_4) \wedge R(x_1, x_4)\end{aligned}$$

we build a σ -structure $\mathbf{M}(\varphi)$ on universe $\{x_1, x_2, x_3, x_4\}$

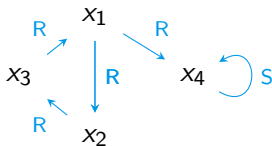


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we build a σ -structure $\mathbf{M}(\varphi)$ on universe $\{x_1, x_2, x_3, x_4\}$



Observation: There is a bijection

homomorphisms $\mathbf{M}(\varphi) \rightarrow A \xleftrightarrow{1-1}$ assignments $v: x_i \mapsto a_i$ such that

$$A \models \varphi_0(v(x_1), v(x_2), v(x_3), v(x_4))$$

Approximating the homomorphism order

Theorem

For any $\varphi \in \text{PP}$ there is an $\mathbf{M}(\varphi) \in \mathbf{Str}_{fin}(\sigma)$ such that

$$\mathbf{M}(\varphi) \rightarrow A \iff A \models \varphi$$

for any σ -structure A .

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Corollary

For σ -structures A, B ,

$$\frac{A \rightarrow B}{A \Rightarrow^{\text{PP}} B}$$

Proof.

For a $\varphi \in \text{PP}$, if $A \models \varphi$ then $\mathbf{M}(\varphi) \rightarrow A \rightarrow B$.

Therefore, $B \models \varphi$.

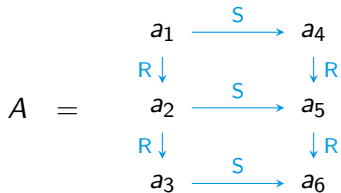


From finite structures to sentences

Conversely, for a finite $A \in \mathbf{Str}_{fin}(\sigma)$, we construct a $\Psi(A) \in \mathbf{PP}$ by listing everything true in A in a prenex normal form.

Example

Take A to be as follows



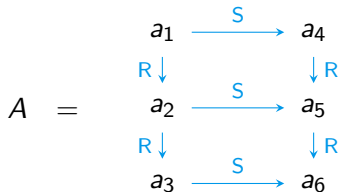
Set $\Psi(A)$ to be

From finite structures to sentences

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Example

Take A to be as follows



Set $\Psi(A)$ to be

$$\exists x_1, \dots, x_6 \left(\bigwedge_{i \in \{1,2,4,5\}} R(x_i, x_{i+1}) \wedge \bigwedge_{i \in \{1,2,3\}} S(x_i, x_{i+3}) \right)$$

Theorem

For any finite $A \in \mathbf{Str}(\sigma)$ there is a $\Psi(A) \in \text{PP}$ such that

$$A \rightarrow B \iff B \models \Psi(A)$$

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Corollary

For σ -structures A, B with A finite,

$$\frac{A \Rightarrow^{\text{PP}} B}{A \rightarrow B}$$

Proof.

From $A \models \Psi(A)$ and $A \Rightarrow^{\text{PP}} B$ we get $B \models \Psi(A)$.

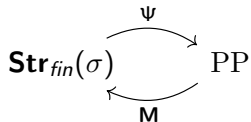
Therefore, $A \rightarrow B$.

The Chandra–Merlin Correspondence [1977]

For finite A and B arbitrary,

$$A \rightarrow B \quad \Longleftrightarrow \quad A \Rightarrow^{\text{PP}} B$$

And we have



such that

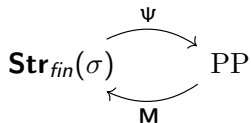
$$\mathbf{M}(\varphi) \rightarrow A \quad \Longleftrightarrow \quad A \models \varphi \quad \stackrel{(Thm)}{\Longleftrightarrow} \quad \Psi(A) \vdash \varphi$$

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such that

$$\mathbf{M}(\varphi) \rightarrow A \quad \Longleftrightarrow \quad A \models \varphi \quad \stackrel{(Thm)}{\Longleftrightarrow} \quad \Psi(A) \vdash \varphi$$

In fact

$$\mathbf{Th}_{\text{PP}}(A) = \{\varphi \in \text{PP} \mid A \models \varphi\} = \{\varphi \in \text{PP} \mid \Psi(A) \vdash \varphi\}$$

Logic fragments

Logic restriction: quantifier rank

For a natural number k , define

$$\text{FO}_k \subseteq \text{FO}$$

as the restriction to sentences φ of **quantifier rank** at most k , that is, $\text{qrang}(\varphi) \leq k$.

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Quantifier rank is defined inductively

$$\text{qrang}(A) = 0 \quad (\text{for an atomic } A)$$

$$\text{qrang}(\neg\varphi) = \text{qrang}(\varphi)$$

$$\text{qrang}(\varphi \wedge \psi) = \text{qrang}(\varphi \vee \psi) = \max(\text{qrang}(\varphi), \text{qrang}(\psi))$$

$$\text{qrang}(\exists x \varphi) = \text{qrang}(\forall x \varphi) = \text{qrang}(\varphi) + 1$$

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$$\text{qrang}(\exists x \varphi) = \text{qrang}(\forall x \varphi) = \text{qrang}(\varphi) + 1$$

Define $\text{PP}_k = \text{FO}_k \cap \text{PP}$.

Exercise

What is the quantifier rank of

$$\exists xy (R(x, y) \wedge \exists z S(z, z, x) \wedge \exists z S(x, y, z)) \quad ?$$

Bounded quantifier rank approximations

For every natural number k :

$$\frac{A \rightarrow B}{A \Rightarrow^{\text{PP}_k} B} \quad \text{and} \quad \frac{A \cong B}{A \equiv^{\text{FO}_k} B}$$

Both are polynomial-time decidable.

Logic restriction: number of variables

For a natural number k , define

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Define $\text{PP}^k = \text{FO}^k \cap \text{PP}$

Bounded variable count approximations

For every natural number k :

$$\frac{A \rightarrow B}{A \Rightarrow^{\text{PP}^k} B} \quad \text{and} \quad \frac{A \cong B}{A \equiv^{\text{FO}^k} B}$$

Again, both are polynomial-time decidable.

Exercise

Is any of these true?

$$\frac{A \Rightarrow^{\text{PP}_k} B}{A \Rightarrow^{\text{PP}^k} B}$$

$$\frac{A \Rightarrow^{\text{PP}^k} B}{A \Rightarrow^{\text{PP}_k} B}$$

Restrictions of ML: Modal depth

Define ML_k as the restriction of ML to formulas of **modal depth** at most k , written as $\text{modep}(\varphi) \leq k$.

Modal depth is defined inductively

$$\text{modep}(p) = 0 \quad (\text{for a propositional letter } p)$$

$$\text{modep}(\neg\varphi) = \text{modep}(\varphi)$$

$$\text{modep}(\varphi \wedge \psi) = \text{modep}(\varphi \vee \psi) = \max(\text{modep}(\varphi), \text{modep}(\psi))$$

$$\text{modep}(\Box_R \varphi) = \text{modep}(\Diamond_R \varphi) = \text{modep}(\varphi) + 1$$

Restrictions of ML: Primitive positive

Primitive positive modal formulas are formed by

- propositional letters, true statement **t**, conjunctions \wedge , and modalities \Diamond_R

\implies We also consider restrictions of ML to primitive positive formulas of modal depth at most k .

We saw that

$$A \rightarrow B \iff A \Rightarrow^{\text{PP}} B$$

However, for approximations we prefer

$$A \Rightarrow^{\text{PP}_k} B \quad \text{and} \quad A \Rightarrow^{\text{PP}^k} B$$

Question: Can we express these relations as homomorphisms of some sort?

Yes, we'll see tomorrow!

Bonus slides:

Restricting Chandra–Merlin

For

$$\mathcal{F}_k = \mathbf{M}[\text{PP}_k] \quad \text{and} \quad \mathcal{F}^k = \mathbf{M}[\text{PP}^k]$$

by the Chandra–Merlin correspondence we have

$$A \Rightarrow^{\text{PP}_k} B \iff \forall C \in \mathcal{F}_k \quad C \rightarrow A \text{ implies } C \rightarrow B$$

$$A \Rightarrow^{\text{PP}^k} B \iff \forall C \in \mathcal{F}^k \quad C \rightarrow A \text{ implies } C \rightarrow B$$

In fact, the structures in \mathcal{F}_k and \mathcal{F}^k have nice characterisations.

Theorem

A finite σ -structure A is in \mathcal{F}_k iff there exists a binary relation \leq on the universe of A such that

1. \leq is a partial order
2. Every set $\downarrow a = \{x \in A \mid x \leq a\}$ has cardinality $\leq k$, and is linearly ordered by \leq .
3. $(a_1, \dots, a_n) \in R^A$ implies $a_i \leq a_j$ or $a_j \leq a_i$ ($\forall i, j$).

Theorem

A finite σ -structure A is in \mathcal{F}^k iff there exists a binary relation \leq on the universe of A and a function $p: A \rightarrow \{1, \dots, k\}$ such that

- 1. \leq is a partial order*
- 2. Every set $\downarrow a = \{x \in A \mid x \leq a\}$ is finite and linearly ordered by \leq .*
- 3. $(a_1, \dots, a_n) \in R^A$ implies*
 - $a_i \leq a_j$ or $a_j \leq a_i$ ($\forall i, j$).*
 - $\forall z \quad a_i < z \leq a_j \implies p(a_i) \neq p(z)$*

Logic extensions: Existential Positive fragment

Existential positive sentences $EP \subseteq FO$ are formed by

- atomic formulas: \mathbf{t} , $x = y$, $R(x_1, \dots, x_n)$ (for n -ary $R \in \sigma$)
- logical connectives: $\varphi \wedge \psi$, $\varphi \vee \psi$
- existential quantifiers: $\exists x \varphi(x)$

Theorem (Łoś–Tarski–Lyndon, 1955 & 1959)

A first-order sentence is preserved by homomorphisms iff it is equivalent to an existential positive sentence.

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Theorem (Łoś–Tarski–Lyndon, 1955 & 1959)

A first-order sentence is preserved by homomorphisms iff it is equivalent to an existential positive sentence.

Consequently, since $PP \subseteq EP$,

$$A \rightarrow B \quad \Longleftrightarrow \quad A \Rightarrow^{EP} B$$

(for a finite A)

Lemma

Every EP sentence φ is equivalent to

$$\varphi_1 \vee \cdots \vee \varphi_n$$

for some PP sentences $\varphi_1, \dots, \varphi_n$ (possibly with equalities).

Proof.

Follows from $A \models \exists x (\psi \vee \psi') \leftrightarrow (\exists x \psi) \vee (\exists x \psi')$.



Through Chandra–Merlin lenses

Lemma

Every EP sentence φ is equivalent to

$$\varphi_1 \vee \cdots \vee \varphi_n$$

for some PP sentences $\varphi_1, \dots, \varphi_n$ (possibly with equalities).

Proof.

Follows from $A \models \exists x (\psi \vee \psi') \leftrightarrow (\exists x \psi) \vee (\exists x \psi')$. □

Then,

$$A \models \varphi \iff \mathbf{M}(\varphi_i) \rightarrow A \quad (\text{for some } i)$$

Through Chandra–Merlin lenses

Lemma

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Define EP_k and EP^k as earlier.