# An Invitation to Game Comonads, day 2: Games and Game Comonads

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## The What and Why of games

- (Finite) model theory looks at structures up to definable properties.
- ullet Given a logic fragment  $\mathscr{L}$ , define the equivalence relation

$$A \equiv^{\mathscr{L}} B \text{ iff } \forall \varphi \in \mathscr{L}. \ (A \vDash \varphi \iff B \vDash \varphi).$$

- Games provide semantic characterisations of the syntactic equivalences  $\equiv^{\mathscr{L}}$  (and variations thereof).
- Two players: Spoiler aims to show that  $A \not\equiv^{\mathscr{L}} B$  and Duplicator that  $A \equiv^{\mathscr{L}} B$ .
- Logical resources often correspond to natural resource parameters in a game.

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## Back-and-forth EF games

The (back-and-forth) Ehrenfeucht-Fraïssé game between structures *A* and *B* is defined as follows:

- In the *i*<sup>th</sup> round, Spoiler chooses an element from *A* or *B*;
- Duplicator responds by picking an element in the other structure.
- Duplicator wins after k rounds if  $\{(a_i, b_i) \mid i = 1, ..., k\}$  is a partial isomorphism between A and B.
- 1. For all  $i, j \in \{1, \dots, k\}$ ,  $a_i = a_j \iff b_i = b_j$ .
- 2. For all relation symbols R of arity n and all  $i_1, \ldots, i_n \in \{1, \ldots, k\}$ ,

$$(a_{i_1},\ldots,a_{i_n})\in R^A\iff (b_{i_1},\ldots,b_{i_n})\in R^B.$$

## Back-and-forth EF games and logic

## Theorem (Ehrenfeucht & Fraïssé, 1954 and 1961)

The following statements are equivalent for all structures A,B:

- 1. Duplicator has a winning strategy in the k-round back-and-forth Ehrenfeucht-Fraissé game between A and B.
- 2.  $A \equiv^{FO_k} B$ . That is, for all first-order sentences  $\varphi$  with quantifier rank at most k,  $A \models \varphi \iff B \models \varphi$ .

#### Exercise

Let  $A = (\mathbb{N}, <)$  and  $B = (\{1, \dots, 5\}, <)$ . Does Duplicator have a winning strategy in the 2-round back-and-forth EF game?

## Forth-only EF games

Forth-only variant of the EF game: Spoiler plays always in the same structure, say A, and Duplicator responds in B.

- Duplicator wins after k rounds if  $\{(a_i, b_i) \mid i = 1, ..., k\}$  is a partial homomorphism from A to B.
- 1. For all  $i, j \in \{1, \dots, k\}$ ,  $a_i = a_j \implies b_i = b_j$ .
- 2. For all relation symbols R of arity n and all  $i_1,\ldots,i_n\in\{1,\ldots,k\}$ ,

$$(a_{i_1},\ldots,a_{i_n})\in R^A\implies (b_{i_1},\ldots,b_{i_n})\in R^B.$$

**Note:** Duplicator can win the forth-only game in both directions but still lose the back-and-forth game!

Consider e.g. 
$$A = (\mathbb{N}, \leq)$$
 and  $B = (\{1, \dots, 5\}, \leq)$ .

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## Forth-only EF games and logic

#### **Theorem**

The following statements are equivalent for all structures A, B:

- 1. Duplicator has a winning strategy in the k-round <u>forth-only</u> Ehrenfeucht-Fraïssé game played from A to B.
- 2.  $A \Rightarrow^{EP_k} B$ . That is, for all existential positive sentences  $\varphi$  with quantifier rank at most k,  $A \models \varphi \implies B \models \varphi$ .

#### **Exercise**

Show that Spoiler has a winning strategy in the 3-round forth-only EF game from  $A=(\mathbb{N},<)$  to  $B=(\{1,\ldots,5\},<)$ .

Find an existential positive  $\varphi$  with quantifier rank at most 3 such that  $A \vDash \varphi$  and  $B \not\vDash \varphi$ .

#### The Ehrenfeucht-Fraïssé comonad

#### Intuition:

- Games as semantic constructions in their own right.
- Make the set of all possible plays (in a given structure) in the forth-only EF game into a structure.

## For every structure A, let

Plays in A, at most k rounds

- $\mathbb{E}_k(A)$ : set of non-empty lists of length  $\leq k$  of elements of A.
- Last moves: define  $\varepsilon_A \colon \mathbb{E}_k(A) \to A$ ,  $[a_1, \dots, a_j] \mapsto a_j$ .
- Lift relations from A to  $\mathbb{E}_k(A)$ : for each relation R of arity n,  $R^{\mathbb{E}_k(A)}$  consists of the tuples  $(s_1, \ldots, s_n) \in \mathbb{E}_k(A)^n$  such that
  - 1.  $s_1, \ldots, s_n$  are pairwise comparable in the prefix order, and
  - 2.  $(\varepsilon_A(s_1),\ldots,\varepsilon_A(s_n))\in R^A$ .

#### The Ehrenfeucht-Fraïssé comonad

- The functions  $\varepsilon_A$ :  $\mathbb{E}_k(A) \to A$  become homomorphisms.
- Reconstructing the history of Duplicator's answers: Each homomorphism  $f: \mathbb{E}_k(A) \to B$  induces a homomorphism

$$f^*: \mathbb{E}_k(A) \to \mathbb{E}_k(B)$$
  
 $[a_1, \dots, a_j] \mapsto [f([a_1]), f([a_1, a_2]), \dots, f([a_1, \dots, a_j])].$ 

These data define a *comonad*, called Ehrenfeucht-Fraïssé comonad, on the category  $Str(\sigma)$  of  $\sigma$ -structures and their homomorphisms.

Family of comonads, indexed by the *resource parameter k* (number of rounds)

#### Comonads defined

A comonad (in Kleisli–Manes form) on a category  $\mathscr C$  is given by:

- an object map  $G : \mathrm{Ob}(\mathscr{C}) \to \mathrm{Ob}(\mathscr{C})$ ,
- a counit morphism  $\varepsilon_A : GA \to A$  for every  $A \in \mathrm{Ob}(\mathscr{C})$ ,
- a coextension operation associating with any morphism  $f: GA \rightarrow B$  a morphism  $f^*: GA \rightarrow GB$ ,

such that for all morphisms  $f: GA \rightarrow B$  and  $g: GB \rightarrow C$ :

$$\varepsilon_A^* = \mathrm{id}_{GA}, \ \varepsilon_B \circ f^* = f, \ (g \circ f^*)^* = g^* \circ f^*.$$

A Kleisli morphism  $A \rightarrow_G B$  is a morphism  $GA \rightarrow B$  in  $\mathscr{C}$ .

**Note:**  $A \rightarrow B$  implies  $A \rightarrow_G B$ , but not vice versa.

## Strategies as Kleisli morphisms: the case of $\mathbb{E}_k$

#### **Theorem**

The following statements are equivalent for all structures A, B:

- 1. Duplicator has a winning strategy in the k-round forth-only EF game played from A to B.
- 2. There exists a Kleisli morphism  $A \rightarrow_{\mathbb{E}_k} B$ .

#### Proof.

- $1\Rightarrow 2$ . A Duplicator strategy in the k-round forth-only EF game from A to B defines a function  $\mathbb{E}_k(A)\to B$ . The winning condition ensures that this function is a homomorphism.
- $2 \Rightarrow 1$ . Fix a homomorphism  $f : \mathbb{E}_k(A) \to B$  and suppose Spoiler plays  $a_1, \ldots, a_k$ . Duplicator responds with  $b_i = b_j$  if  $a_i = a_j$  for some j < i, or  $b_i = f([a_1, \ldots, a_i])$  otherwise.

## Pebble games

(Back-and-forth) k-pebble game: Each player has k pebbles and the game proceeds as follows.

- In the  $i^{\text{th}}$  round, Spoiler places some pebble  $p_i$  on an element of one of the structures.
- Duplicator places their corresponding pebble  $p_i$  on an element of the other structure.
- Duplicator wins after n rounds if the relation determined by the *current placings* of the pebbles is a partial isomorphism, and wins the k-pebble game if they have a strategy which is winning after n rounds, for all  $n \ge 0$ .

**Note:** Because pebbles can be moved, this is an infinite game.

## Pebble games and logic

#### **Theorem**

The following are equivalent for all \*finite\* structures A, B:

- 1. Duplicator has a winning strategy in the back-and-forth k-pebble game between A and B.
- 2.  $A \equiv^{FO^k} B$ . That is, for all first-order sentences  $\varphi$  with at most k variables,  $A \vDash \varphi \iff B \vDash \varphi$ .

Similarly, the following are equivalent:

- 3. Duplicator has a winning strategy in the  $\underline{\text{forth-only}}$  k-pebble game played from A to B.
- 4.  $A \Rightarrow^{\mathrm{EP}^k} B$ . That is, for all existential positive sentences  $\varphi$  with at most k variables,  $A \models \varphi \implies B \models \varphi$ .

## The pebble comonad

## For every structure A, let

#### Plays in A

- P<sub>k</sub>(A): set of non-empty finite lists of elements of k × A, where k := {p<sub>1</sub>,..., p<sub>k</sub>}. An element (p<sub>i</sub>, a) ∈ k × A is a move and p<sub>i</sub> is the pebble index of the move.
- $\varepsilon_A \colon \mathbb{P}_k(A) \to A$ ,  $[(p_1, a_1), \ldots, (p_j, a_j)] \mapsto a_j$ .
- Lift relations from A to  $\mathbb{P}_k(A)$ : for each relation R of arity n,  $R^{\mathbb{P}_k(A)}$  consists of the tuples  $(s_1, \ldots, s_n) \in \mathbb{P}_k(A)^n$  such that
  - 1.  $s_1, \ldots, s_n$  are pairwise comparable in the prefix order,
  - 2. for all  $i, j \in \{1, ..., n\}$ , if  $s_i$  is a prefix of  $s_j$ , the pebble index of the last move of  $s_i$  does not appear in the suffix of  $s_i$  in  $s_j$ ,
  - 3.  $(\varepsilon_A(s_1),\ldots,\varepsilon_A(s_n))\in R^A$ .

Extra condition on current placings of the pebbles

## The pebble comonad

- The functions  $\varepsilon_A$ :  $\mathbb{P}_k(A) \to A$  become homomorphisms.
- Reconstructing the history of Duplicator's answers: Each homomorphism  $f: \mathbb{P}_k(A) \to B$  induces a homomorphism

$$f^*\colon \mathbb{P}_k(A) o \mathbb{P}_k(B)$$
 
$$[(p_1,a_1),\ldots,(p_j,a_j)]\mapsto [(p_1,b_1),\ldots,(p_j,b_j)]$$
 where  $b_i:=f([(p_1,a_1),\ldots,(p_i,a_i)])$  for all  $i=1,\ldots,j$ .

These data define a comonad, called pebbling comonad, on the category  $\mathbf{Str}(\sigma)$  of  $\sigma$ -structures and their homomorphisms.

Family of comonads, indexed by the *resource parameter k* (number of pebbles)

## Bisimulation games

Bisimulation game (for modal logic) between pointed Kripke structures (A, a) and (B, b):

- The initial position is  $(a_0, b_0) := (a, b)$ .
- In the  $i^{\text{th}}$  round, where the current position is  $(a_{i-1}, b_{i-1})$ , Spoiler chooses a binary relation R, one of the two structures, say A, and  $a_i \in A$  such that  $(a_{i-1}, a_i) \in R^A$ .
- Duplicator must respond with an element of the other structure, say  $b_i \in B$ , such that  $(b_{i-1}, b_i) \in R^B$ . If there is no such response available, Duplicator loses.
- Duplicator wins after k rounds if, for all unary predicates P, we have  $a_i \in P^A \iff b_i \in P^B$  for all  $i \in \{0, ..., k\}$ .

## (Bi)simulation games and logic

#### **Theorem**

The following statements are equivalent for all pointed Kripke structures (A, a), (B, b):

- 1. Duplicator has a winning strategy in the k-round bisimulation game between (A, a) and (B, b).
- 2.  $A \equiv^{\mathrm{ML}_k} B$ . That is, for all modal formulas  $\varphi$  of modal depth at most k, A,  $a \models \varphi \iff B$ ,  $b \models \varphi$ .

Similarly, the following are equivalent:

- 3. Duplicator has a winning strategy in the k-round <u>simulation</u> game played from (A, a) to (B, b).
- 4. For all existential positive modal formulas  $\varphi$  of modal depth at most k, A,  $a \models \varphi \implies B$ ,  $b \models \varphi$ .

#### The modal comonad

Plays in  $\mathbf{A}$ , at most k rounds

For every pointed Kripke structure  $\mathbf{A} = (A, a)$ ,

•  $\mathbb{M}_k(A)$ : set of paths of length  $\leq k$  starting from a:

$$a \xrightarrow{R_1} a_1 \xrightarrow{R_2} a_2 \to \cdots \xrightarrow{R_n} a_n$$

where  $R_1, \ldots, R_n$  are binary relations.

- $\varepsilon_{\mathbf{A}} : \mathbb{M}_k(\mathbf{A}) \to A$  sends a path to its last element.
- Lift relations from A to  $\mathbb{M}_k(\mathbf{A})$ : for each unary relation P,  $P^{\mathbb{M}_k(\mathbf{A})}$  consists of the paths s such that  $\varepsilon_{\mathbf{A}}(s) \in P^A$ . For each binary relation R,  $R^{\mathbb{M}_k(\mathbf{A})}$  consists of the pairs of paths (s,t) such that t is obtained by extending s by one step along R.
- The distinguished element of  $\mathbb{M}_k(\mathbf{A})$  is the trivial path (a).

#### The modal comonad

- The functions  $\varepsilon_{\mathbf{A}} \colon \mathbb{M}_k(\mathbf{A}) \to \mathbf{A}$  become homomorphisms of pointed Kripke structures.
- Each homomorphism  $f: \mathbb{M}_k(\mathbf{A}) \to \mathbf{B}$  yields a homomorphism

$$f^* \colon \mathbb{M}_k(\mathbf{A}) o \mathbb{M}_k(\mathbf{B})$$
 $(a \xrightarrow{R_1} a_1 \cdots \xrightarrow{R_n} a_n) \mapsto (b \xrightarrow{R_1} b_1 \cdots \xrightarrow{R_n} b_n)$ 
where  $b_i := f(a \xrightarrow{R_1} a_1 \cdots \xrightarrow{R_i} a_i)$ .

These data define a comonad, called modal comonad, on the category  $\mathbf{Str}_*(\sigma)$  of pointed Kripke structures and their homomorphisms.

Family of comonads, indexed by the resource parameter k (number of rounds)

## Strategies as Kleisli morphisms: the case of $\mathbb{P}_k$ and $\mathbb{M}_k$

#### **Theorem**

The following statements are equivalent for all structures A, B:

- 1. Duplicator has a winning strategy in the forth-only k-pebble game played from A to B.
- 2. There exists a Kleisli morphism  $A \rightarrow_{\mathbb{P}_k} B$ .

#### Theorem

The following statements are equivalent for all pointed Kripke structures **A**, **B**:

- 1. Duplicator has a winning strategy in the k-round simulation game played from  $\mathbf{A}$  to  $\mathbf{B}$ .
- 2. There exists a Kleisli morphism  $\mathbf{A} \to_{\mathbb{M}_{\nu}} \mathbf{B}$ .

## The Kleisli category of a comonad

Let G be a comonad on a category  $\mathscr{C}$ .

• Kleisli morphisms compose: given Kleisli morphisms  $f: A \rightarrow_G B$  and  $g: B \rightarrow_G C$ , their composition is

$$GA \xrightarrow{f^*} GB \xrightarrow{g} C.$$

• The identity  $A \rightarrow_G A$  is the counit  $\varepsilon_A : GA \rightarrow A$ .

The Kleisli category of G is the category K(G) such that

- $\mathrm{Ob}(\mathbf{K}(G)) = \mathrm{Ob}(\mathscr{C})$
- K(G)(A, B) consists of the Kleisli morphisms  $A \rightarrow_G B$ .

**Note:** In the case of  $\mathbb{E}_k$ ,  $\mathbb{P}_k$  and  $\mathbb{M}_k$ , composition of Kleisli morphisms corresponds to *composition of winning strategies*.

#### Outlook

The Kleisli category K(G) arises naturally by considering winning strategies in various forth-only games.

• From a logical viewpoint K(G) captures preservation of existential positive fragments, in the sense that

$$\rightarrow_{\mathsf{G}} = \Rightarrow^{\mathscr{L}}$$

for appropriate choices of G and  $\mathscr{L}$ .

E.g., if  $G = \mathbb{E}_k$  then  $\mathscr{L}$  consists of all existential positive sentences with quantifier rank  $\leq k$ .

 K(G) sits in a larger category of coalgebras for G that capture combinatorial parameters of structures.

This is the topic of tomorrow's lecture.

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