

Algorithms and datastructures II

Lecture 10: NP-completeness

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Decision problems

Definition

A **(decision) problem** is a function from $\{0, 1\}^*$ (the set of all possible inputs) to $\{0, 1\}$.

Definition (Reduction)

Given problems A and B , we say that A is **(polynomial time) reducible** to B (and write $A \rightarrow B$) if there exists function $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ such that for every $x \in \{0, 1\}^*$ it holds $A(x) = B(f(x))$ and f can be computed in polynomial time relative to $|x|$. Function f is also called **(polynomial time) reduction**.

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"I can't find an efficient algorithm, but neither can all these famous people."

Definition (P)

P is the class of all (decision) problems that can be solved by a polynomial time algorithm.

$L \in P$ if and only if there exists algorithm A and polynomial f such that for every input x running $A(x)$ will finish in time at most $f(|x|)$ and $A(x) = L(x)$.

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$P = NP$ is open since 1970's.

NP-completeness

Definition (*NP*-hardness)

Problem L is ***NP-hard*** if every problem from ***NP*** can be reduced to L .

Lemma

*If some ***NP-hard*** problem L is in ***P*** then $P = NP$.*

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- 2 Graph problems: IndSet, Clique, graph coloring, Hamiltonian path, Hamiltonian cycle, ...
- 3 Numerical problems: Finding subset of a given sum, Knapsack, $Ax = 1$, ...



Stephen Cook, Leonid Levin

Cook-Levin theorem, 1971

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- ① Show that every problem in NP can be solved by CircuitSAT
- ② Show reduction of CircuitSAT to SAT

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- ④ Time of the computation can be done by using T copies of the circuit connected sequentially.



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- ④ AND gate corresponds to CNF formula: $(z \vee \neg x \vee \neg y) \wedge (\neg z \vee x) \wedge (\neg z \vee y)$.
- ⑤ Combining formulas for all gates together leads to an input to 3-SAT.

□

Proof of Cook-Levin theorem.

We have shown that CircuitSAT is **NP**-complete and then we gave reduction to 3-SAT. We also know that 3-SAT is in NP and equivalent to SAT.

□

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- ⑤ Combine above methods

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IndSetInForest (T with root v , boolean array M indexed by vertices)

- ① $M[v] \leftarrow \text{true}$.
- ② If v is a leaf: Return.
- ③ For each son w of v :
 - ④ $M \leftarrow \text{IndSetInForest}(\text{subtree of } T \text{ with root } w, M)$.
 - ⑤ If $M[w] = \text{true}$: $M[v] \leftarrow \text{false}$.
- ⑥ Return M .

Coloring interval graphs

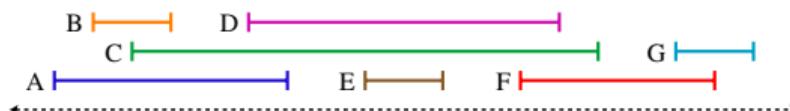
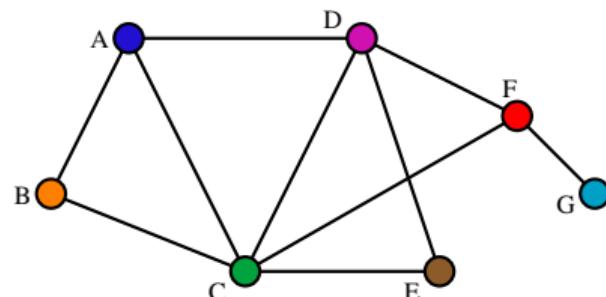
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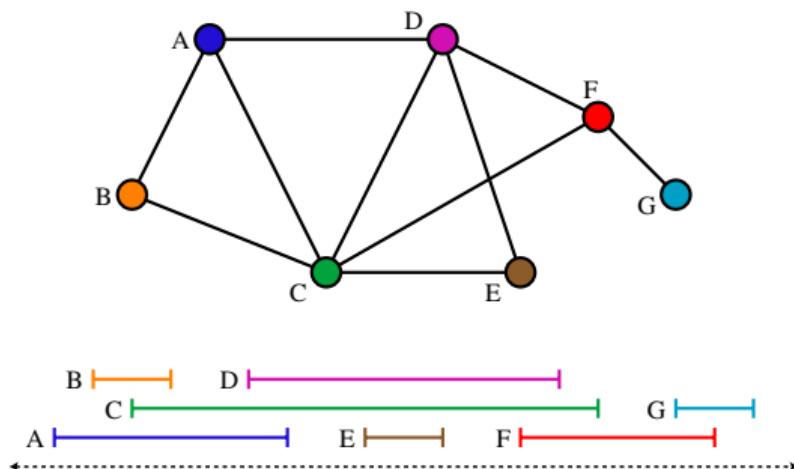
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IntervalGraphColoring (intervals $[x_1, y_1] \dots [x_n, y_n]$)

- 1 $b \leftarrow 0$.
- 2 $B \leftarrow \emptyset$.
- 3 Sort set $\{x_1, y_1, \dots, x_n, y_n\}$.
- 4 For $\{x_1, y_1, \dots, x_n, y_n\}$ in increasing order:
 - 5 If we process some x_i :
 - 6 If $B \neq \emptyset$: Remove color from B ; store it to c_i
 - 7 else: $b \leftarrow b + 1$, $c_i \leftarrow b$
 - 8 If we process some y_i :
 - 9 Add c_i to B .
- 10 Return coloring c_1, \dots, c_n .

Knapsack problem

Knapsack problem

Given set of n objects with weights w_1, \dots, w_n , costs c_1, \dots, c_n and maximum weight W your knapsack can carry. Find subset $P \subseteq \{1, 2, \dots, n\}$ such that $w(P) = \sum_{i \in P} w_i$ is at most W and the cost $c(P) = \sum_{i \in P} c_i$ is maximum possible.

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 - ① $A_0(0) = 0, A_0(1) = A_0(2) = \dots = A_0(C) = \infty$.

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- ② Given A_{k-1} compute

$$A_k(c) = \min(A_{k-1}(c), A_{k-1}(c - c_k) + w_k)$$

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Given set of n objects with weights w_1, \dots, w_n , costs c_1, \dots, c_n and maximum weight W your knapsack can carry. Find subset $P \subseteq \{1, 2, \dots, n\}$ such that $w(P) = \sum_{i \in P} w_i$ is at most W and the cost $c(P) = \sum_{i \in P} c_i$ is maximum possible.

We can use dynamic programming to solve the problem in polynomial time in $C = \sum c_i$.

- ① Denote by $A_k(c)$ the minimum of weights of subsets $P \subseteq \{1, 2, \dots, k\}$ satisfying $c(P) = c$.
- ② Proceed by induction:

- ① $A_0(0) = 0, A_0(1) = A_0(2) = \dots = A_0(C) = \infty$.
- ② Given A_{k-1} compute

$$A_k(c) = \min(A_{k-1}(c), A_{k-1}(c - c_k) + w_k)$$

- ③ Once A_n is determined we know for every possible cost the subset P of that cost minimizing the weight. It remains to find maximal c such that $A_n(c) \leq W$
- ④ To determine the set P one can remember how the values $A_k(c)$ was determined.

(This is **pseudopolynomial algorithm**).