

# Forbidden acyclic patterns in 0-1 matrices

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# The problem

- $A, P$ : 0-1 matrices
- $A$  **avoids**  $P$  iff  $P$  is – neither a *submatrix* of  $A$   
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- Example:

$$A = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 \end{pmatrix} \quad P = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}$$

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$$A = \begin{pmatrix} 0 & 1 & 0 & 0 \\ \textcolor{red}{1} & 0 & \textcolor{red}{1} & 1 \\ 0 & 1 & 1 & 1 \\ \textcolor{red}{1} & 0 & 0 & \textcolor{red}{1} \end{pmatrix} \quad P = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}$$

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$A$  **does not avoid**  $P$                       =                       $A$  **contains**  $P$

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- Extremal function:

$\text{Ex}(P, n) =$  maximum **weight** of an  $n \times n$  0-1 matrix **avoiding**  $P$



# of 1  
entries

# connection to extremal graph theory

adjacency matrices

$\sim$

bipartite graphs

contains

$\sim$

contains as a subgraph

$\text{Ex}(P, n)$

$=$

$\text{ex}(G, n)$

Turán-type extremal graph theory

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adjacency matrices	~	bipartite graphs
		with <b>vertex order</b>
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$\text{Ex}(P, n)$	=	$\text{ex}(G, n)$
		Turán-type <b>extremal graph theory</b>
		for <b>vertex ordered bipartite graphs</b>



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Also: Direct connection to **generalized Davenport-Schinzel theory**

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Also: Direct connection to **generalized Davenport-Schinzel theory**

Large number of **applications** in **combinatorial geometry** and other combinatorics, also in **analysis of algorithms**

# Brief history

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 $P$  is a bipartite adjacency matrix of (unordered)  $G$ , then:  
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Conj.1. is very false

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$$\text{Ex}(P_k, n) = \Omega(n^{4/3})$$

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- Janzer, Janzer, Magnan, Methuku 2024: Every row of  $P$  has  $\leq k$  1's

à la Füredi's result on  
bipartite graphs with each  
degree on one side  $\leq k$

$$\Rightarrow \text{Ex}(P_k, n) = O(n^{2-\frac{1}{k}+o(1)})$$

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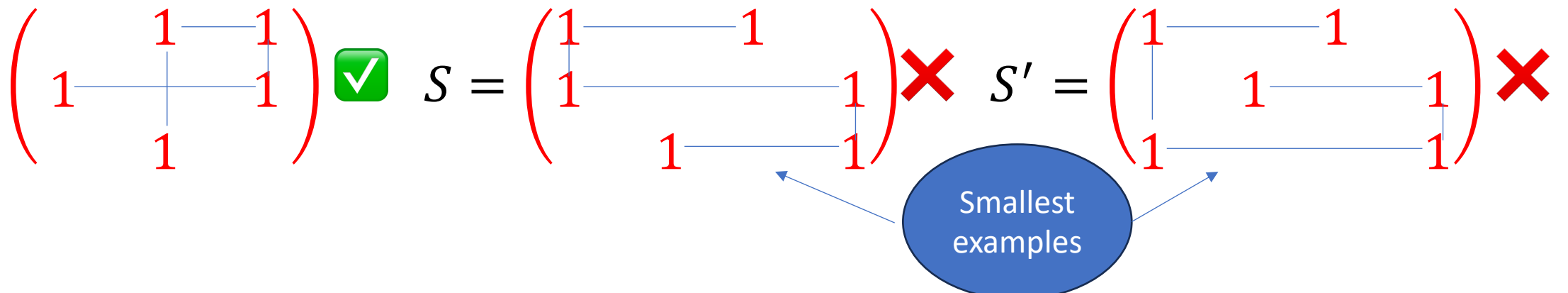
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Conj. 2' holds if  $P$  is built by simple rules

e.g. adding first/last rows/column with a single 1



# acyclic pattern upper bounds

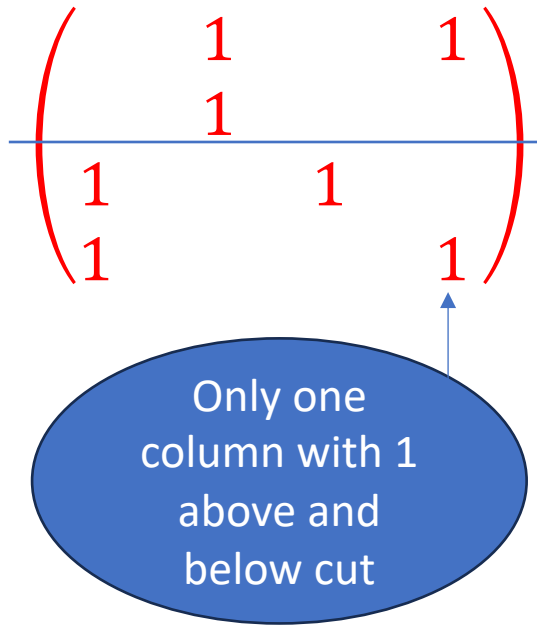
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- Korándi, T., Tomon, Weidert 2019:

$\text{Conj. 2''} \text{Ex}(P, n) = n^{1+o(1)}$  for acyclic  $P$   
Conj 2'' holds if  $P$  is built from rows by stacking

Stacking:



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$$S = \left( \begin{array}{ccc} 1 & \text{---} & 1 \\ 1 & \text{---} & 1 \\ & & 1 & \text{---} & 1 \end{array} \right) \checkmark \quad S' = \left( \begin{array}{ccc} 1 & \text{---} & 1 \\ & 1 & \text{---} & 1 \\ 1 & \text{---} & 1 \end{array} \right) \checkmark$$

$$\text{Ex}(S, n) = n 2^{O(\sqrt{\log n})}$$

$$\text{Ex}(S', n) = n 2^{O((\log n)^{2/3})}$$

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Smallest example

$$\begin{pmatrix} 1 & & 1 \\ 1 & 1 & & 1 \\ & 1 & & 1 \end{pmatrix} \quad \times \quad \begin{pmatrix} 1 & & 1 & & 1 \\ & 1 & & 1 & \\ 1 & & & 1 & \\ & & & 1 & 1 \end{pmatrix} \quad \times$$

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Not known:

$$\exists \varepsilon > 0 \quad \forall \text{ acyclic } P \quad \text{Ex}(P, n) = O(n^{2-\varepsilon})$$



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 $\exists P$  acyclic:  $\text{Ex}(P, n) = \Omega(n \log n \log \log n)$

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Conj 2  
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Conj 2'  
false!

upper  
bound for  
pattern  $S$   
tight!

# construction

$$P = \begin{pmatrix} & 1 & \text{---} & 1 \\ 1 & \text{---} & & 1 \end{pmatrix}$$

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Construction of a 0-1 matrix avoiding  $P$ :

rows and columns:  $\{0,1\}^m$ , ordered **lexicographically**

1 entry in row  $r$ , column  $c \iff r$  and  $c$  differ in a single coordinate  $i$   
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$n = 2^m$  rows / columns

$m2^{m-1} = \frac{1}{2}n \log n$  1 entries



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 $r$  and  $s$  differ in more positions

That may lead to large all-1 submatrix  
 $\Rightarrow$  contains every pattern

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Pettie, T 2024:  
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 $O(1)$  positions  
2025: unbounded  
# of positions

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Construction of a 0-1 matrix avoiding  $S$ :

rows:  $[m] \times [m]^b$   
columns:  $[m]^b \times \{0,1\}^b$  } ordered **lexicographically**

1 entry in row  $(s, r)$ , column  $(c, i) \iff r - c = si$

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$n = m^{b+1}$  rows /  $(2m)^b$  columns - choose  $m = 2^b$ ,  $n = 2^{b^2+b}$

$\approx \frac{m}{b} n \approx n2^{\Theta(\sqrt{\log n})}$  1 entries ✓

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$(c, i) \quad (c', i')$

| |

$(s, r) - 1 \text{ ————— } 1$

$c < c'$ , first non-0 of  $c' - c$  is  $s$



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$(c, i)$      $(c', i')$

$(s, r) -$   $\begin{array}{c|c} 1 & 1 \end{array}$

$(s', r') -$   $\begin{array}{c} 1 \end{array}$

$c < c'$ , first non-0 of  $c' - c$  is  $s$

$s < s'$

SIMPLE

rows:  $[m] \times [m]^b$   
columns:  $[m]^b \times \{0,1\}^b$  } ordered **lexicographically**

1 entry in row  $(s, r)$ , column  $(c, i) \iff r - c = si$

SIMPLE

$(c, i) \quad (c', i')$

$(s, r) - 1$  ——— 1

$(s', r') - 1$

$c < c'$ , **first non-0** of  $c' - c$  is  $s$

$s < s'$

ASSUME  
 $S$  contained

	$c$	$c'$	$c''$	$c'''$
$s$ —	1		1	
$s'$ —	1			1
$s''$ —		1		1

rows:  $[m] \times [m]^b$   
columns:  $[m]^b \times \{0,1\}^b$  } ordered lexicographically

1 entry in row  $(s, r)$ , column  $(c, i) \iff r - c = si$

SIMPLE

$(c, i) \quad (c', i')$

$(s, r) - 1$  ——— 1

$(s', r') - 1$

$c < c'$ , first non-0 of  $c' - c$  is  $s$

$s < s'$

$c \quad c' \quad c'' \quad c'''$

$s < s' < s''$

$s - 1$  ——— 1

$s' - 1$  ——— 1

$s'' - 1$  ——— 1

First non-0 of  $x = c'' - c$  is  $s$

First non-0 of  $y = c''' - c$  is  $s'$

First non-0 of  $z = c''' - c'$  is  $s''$

ASSUME  
 $s$  contained

rows:  $[m] \times [m]^b$   
columns:  $[m]^b \times \{0,1\}^b$  } ordered **lexicographically**

1 entry in row  $(s, r)$ , column  $(c, i)$   $\iff r - c = si$

SIMPLE

$(c, i)$      $(c', i')$

$(s, r) -$  1 ——— 1

$(s', r') -$  1

$c < c'$ , **first non-0** of  $c' - c$  is  $s$

$s < s'$

$c$      $c'$      $c''$      $c'''$

$s < s' < s''$

$s$  — 1 ——— 1

$s'$  — 1 ——— 1

$s''$  —    1 ——— 1

First non-0 of  $x = c'' - c$  is  $s$

First non-0 of  $y = c''' - c$  is  $s'$

First non-0 of  $z = c''' - c'$  is  $s''$

$x, z \leq y$   
 $x + z \geq y$

ASSUME  
 $S$  contained

rows:  $[m] \times [m]^b$   
columns:  $[m]^b \times \{0,1\}^b$  } ordered **lexicographically**

1 entry in row  $(s, r)$ , column  $(c, i) \iff r - c = si$

SIMPLE

$(c, i) \quad (c', i')$

$(s, r) - 1$  ——— 1

$(s', r') - 1$

$c < c'$ , **first non-0** of  $c' - c$  is  $s$

$s < s'$

ASSUME  
 $S$  contained

$c \quad c' \quad c'' \quad c'''$

$s - 1$  ——— 1

$s' - 1$  ——— 1

$s'' - 1$  ——— 1

$s < s' < s''$

First non-0 of  $x = c'' - c$  is  $s$

First non-0 of  $y = c''' - c$  is  $s'$

First non-0 of  $z = c''' - c'$  is  $s''$

$x, z \leq y$   
 $x + z \geq y$



# Open problems for acyclic patterns $P$

- Conjecture 2'':  $\text{Ex}(n, P) = n^{1+o(1)}$  for all acyclic  $P$
- Prove this for certain acyclic patterns, e.g.:

$$\begin{pmatrix} 1 & \text{---} & 1 \\ & 1 & \\ 1 & \text{---} & 1 \\ & 1 & \text{---} & 1 \end{pmatrix} \text{ or } \begin{pmatrix} 1 & \text{---} & 1 & \text{---} & 1 \\ | & & 1 & \text{---} & 1 & | \\ 1 & \text{---} & 1 & & 1 & | \\ | & & 1 & & 1 & | \end{pmatrix}$$

- Prove a weaker version for all acyclic  $P$ , e.g.:  $\text{Ex}(n, P) = O(n^{1.5})$
- Characterize  $P$  with  $\text{Ex}(n, P) = O(n)$   
For **connected patterns**, see Füredi, Kostochka, Mubayi, and Verstraete  
No conjecture in general, but nice conjecture for **light patterns**.
- What extremal functions show up?  
E.g., can it be strictly between  $\Theta(n\alpha(n))$  and  $\Theta(n \log n)$ ?  
Yes, for **pairs of patterns**.

- Lot more about non-acyclic patterns, like  $\begin{pmatrix} & & 1 & \text{---} & 1 \\ & & | & & | \\ 1 & \text{---} & | & \text{---} & 1 \\ | & & 1 & & | \\ 1 & \text{---} & 1 & & \end{pmatrix}$