

# Short proofs of coloring theorems on planar graphs

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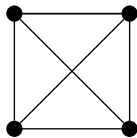
## Definitions (4-critical graphs)

graph  $G = (V, E)$

*coloring* is  $\varphi : V \rightarrow C$  such that  $\varphi(u) \neq \varphi(v)$  if  $uv \in E$

$G$  is a *k-colorable* if coloring with  $|C| = k$  exists

$G$  is a *4-critical graph* if  $G$  is not 3-colorable  
but every  $H \subset G$  is 3-colorable.



# Inspiration

Theorem (Grötzsch '59)

*Every planar triangle-free graph is 3-colorable.*

Recently reproved by Kostochka and Yancey using

Theorem (Kostochka and Yancey '12)

*If  $G$  is 4-critical graph, then*

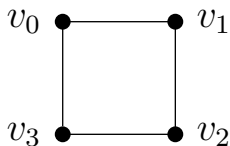
$$|E(G)| \geq \frac{5|V(G)| - 2}{3}.$$

used as  $3|E(G)| \geq 5|V(G)| - 2$

# Every planar triangle-free graph is 3-colorable.

Let  $G$  be a minimal counterexample - not 3-colorable but every subgraph is. i.e.  $G$  is 4-critical

CASE1  $G$  contains a 4-face (try 3-color  $G$ )

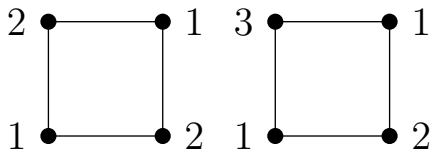


CASE2  $G$  contains no 4-faces

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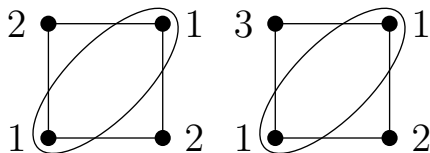


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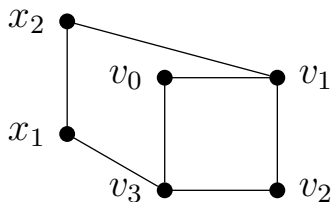


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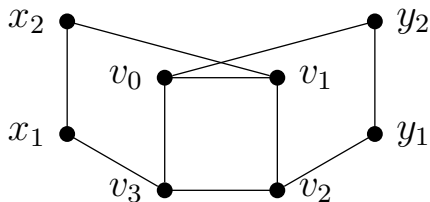


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CASE1  $G$  contains a 4-face (try 3-color  $G$ )

CASE2  $G$  contains no 4-faces

$$|E(G)| = e, |V(G)| = v, |F(G)| = f.$$

- $v - 2 + f = e$  by Euler's formula
- $2e \geq 5f$  since face is at least 5-face
- $5v - 10 + 5f = 5e$
- $5v - 10 + 2e \geq 5e$
- $5v - 10 \geq 3e$  (our case)
- $3e \geq 5v - 2$  (every 4-critical graph)

# Generalizations?

Theorem (Grötzsch '59)

*Every planar triangle-free graph is 3-colorable.*

Can be strengthened?

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Theorem (Grötzsch '59)

*Every planar triangle-free graph is 3-colorable.*

Can be strengthened?

Yes! - recall that CASE2

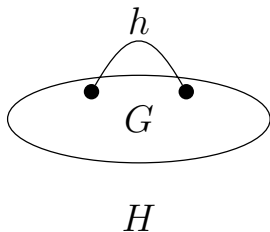
- $5v - 10 \geq 3e$  (no 3-,4-faces)
- $3e \geq 5v - 2$  (every 4-critical graph)

has some gap.

## Adding a bit

Theorem (Aksenov '77; Jensen and Thomassen '00)

Let  $G$  be a triangle-free planar graph and  $H$  be a graph such that  $G = H - h$  for some edge  $h$  of  $H$ . Then  $H$  is 3-colorable.



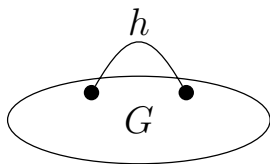
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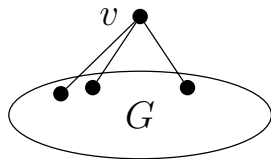
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Theorem (Jensen and Thomassen '00)

Let  $G$  be a triangle-free planar graph and  $H$  be a graph such that  $G = H - v$  for some vertex  $v$  of degree 3. Then  $H$  is 3-colorable.



$H$



$H$

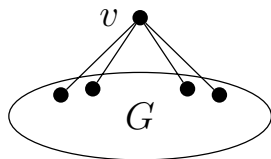
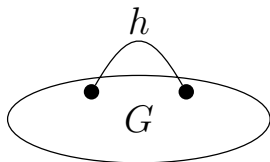
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Let  $G$  be a triangle-free planar graph and  $H$  be a graph such that  $G = H - h$  for some edge  $h$  of  $H$ . Then  $H$  is 3-colorable.

Theorem

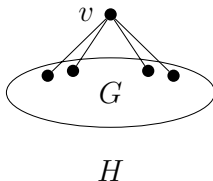
Let  $G$  be a triangle-free planar graph and  $H$  be a graph such that  $G = H - v$  for some vertex  $v$  of degree 4. Then  $H$  is 3-colorable.



# For proof

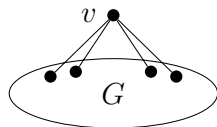
## Theorem

Let  $G$  be a triangle-free planar graph and  $H$  be a graph such that  $G = H - v$  for some vertex  $v$  of degree 4. Then  $H$  is 3-colorable.



# Proof

$G$  plane, triangle-free,  $G = H - v$ ,  
 $H$  is 4-critical



$H$

CASE1: No 4-faces in  $G$

$V(H) = v, E(H) = e, V(G) = v - 1, E(G) = e - 4, F(G) = f$

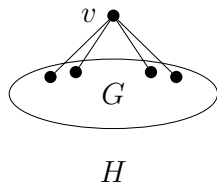
- $5f \leq 2(e - 4)$  since  $G$  has no 4-faces
- $(n - 1) + f - (e - 4) = 2$  by Euler's formula
- $5n + 5f - 5e = -5$
- $5n - 3e - 8 \geq -5$
- $5n - 3 \geq 3e$  (our case)
- but  $3e \geq 5n - 2$  ( $H$  is 4-criticality)

CASE2: 4-face  $(v_0, v_1, v_2, v_3) \in G$



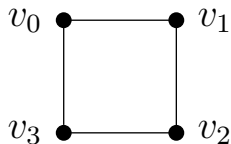
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$G$  plane, triangle-free,  $G = H - v$ ,  
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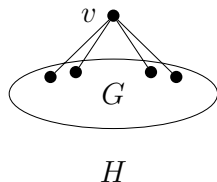
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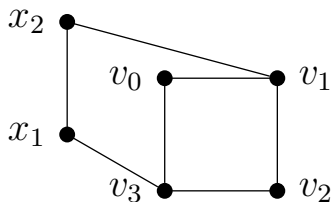
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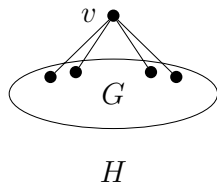
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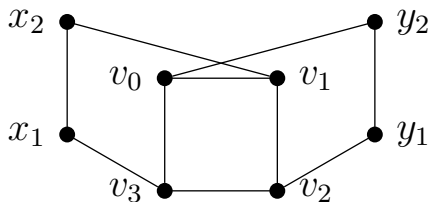
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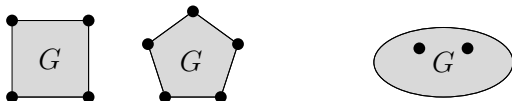
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# Precoloring

## Theorem (Grötzsch '59)

Let  $G$  be a triangle-free planar graph and  $F$  be a face of  $G$  of length at most 5. Then each 3-coloring of  $F$  can be extended to a 3-coloring of  $G$ .



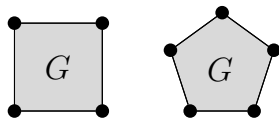
## Theorem (Aksenov et al. '02)

Let  $G$  be a triangle-free planar graph. Then each coloring of two non-adjacent vertices can be extended to a 3-coloring of  $G$ .

# For proof

## Theorem (Grötzsch '59)

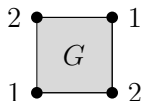
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# Proof

If  $G$  is triangle-free planar,  $F$  is a precolored 4-face or 5-face, then precoloring of  $F$  extends.

CASE1:  $F$  is a 4-face

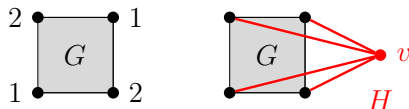


CASE2:  $F$  is a 5-face

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CASE1:  $F$  is a 4-face  $H$  is 3-colorable

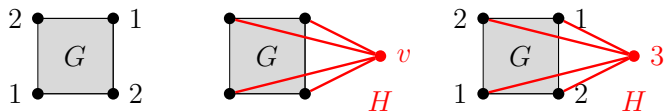


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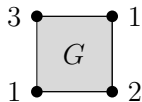
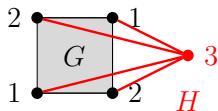
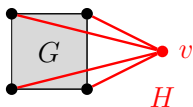
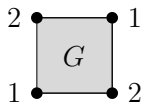
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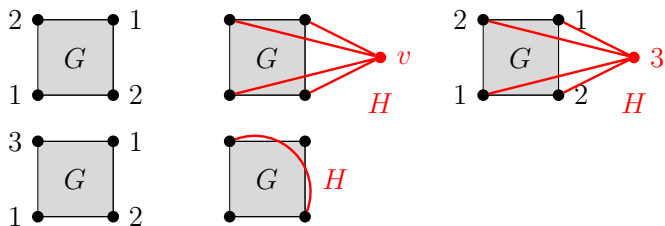


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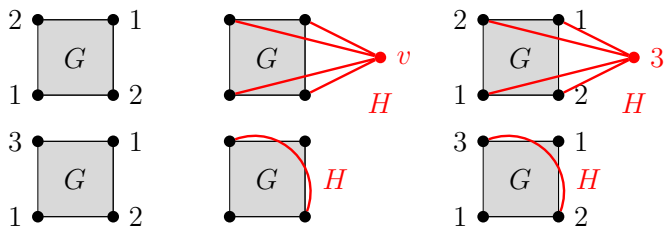


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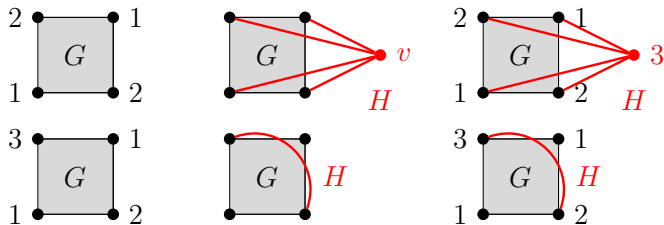


CASE2:  $F$  is a 5-face

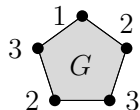
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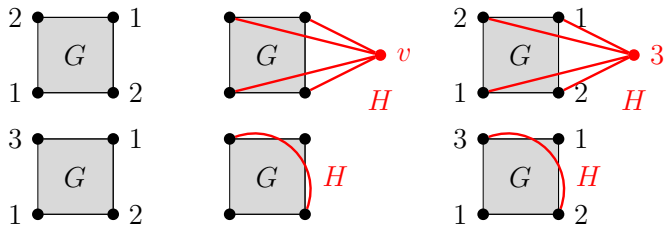
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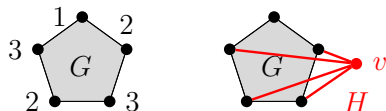
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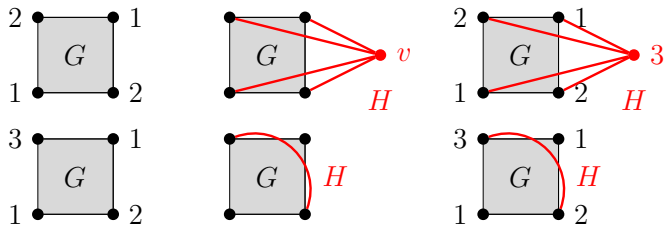
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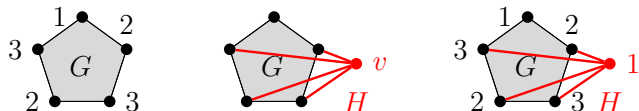
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CASE2:  $F$  is a 5-face



# Some triangles?

Theorem (Grötzsch '59)

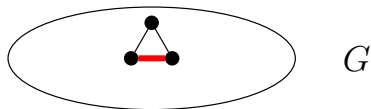
*Every planar triangle-free graph is 3-colorable.*

# Some triangles?

Theorem (Grötzsch '59)

*Every planar triangle-free graph is 3-colorable.*

We already showed one triangle!



Removing one edge of triangle results in triangle-free  $G$ .



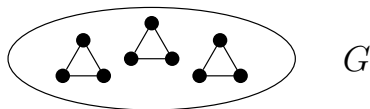
# Some triangles?

Theorem (Grötzsch '59)

*Every planar triangle-free graph is 3-colorable.*

Theorem (Grünbaum '63; Aksenov '74; Borodin '97)

*Let  $G$  be a planar graph containing at most three triangles.  
Then  $G$  is 3-colorable.*



## Three triangles - Proof outline

Theorem (Grünbaum '63; Aksenov '74; Borodin '97)

*Let  $G$  be a planar graph containing at most three triangles.  
Then  $G$  is 3-colorable.*

- $G$  is 4-critical (minimal counterexample)
- 3-cycle is a face
- 4-cycle is a face or has a triangle inside and outside
- 5-cycle is a face or has a triangle inside and outside

CASE1:  $G$  has no 4-faces

CASE2:  $G$  has a 4-faces with triangle (no identification)

CASE3:  $G$  has a 4-face where identification applies

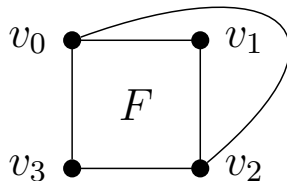
## Three triangles - Proof outline

CASE1:  $G$  has no 4-faces

- $v - 2 + f = e$  by Euler's formula
- $5v - 4 + 5f - 6 = 5e$
- $2e \geq 5(f - 3) + 3 \cdot 3 = 5f - 6$  since 3 triangles
- $5v - 4 \geq 3e$  (our case)
- $3e \geq 5v - 2$  (every 4-critical graph)

## Three triangles - Proof outline

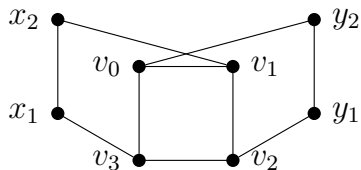
CASE2:  $G$  has a 4-face  $F$  with a triangle (no identification)



Both  $v_0, v_1, v_2$  and  $v_0, v_2, v_3$  are faces.  $G$  has 4 vertices!

## Three triangles - Proof

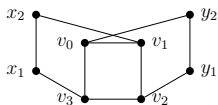
CASE3:  $G$  has a 4-face where identification applies



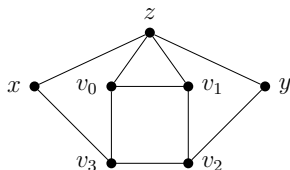
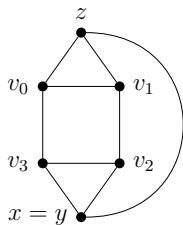
Since  $G$  is planar, some vertices are the same.

# Three triangles - Proof

CASE3:  $G$  has a 4-face where identification applies



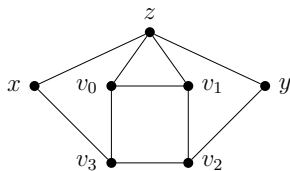
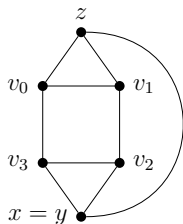
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## Three triangles - Proof

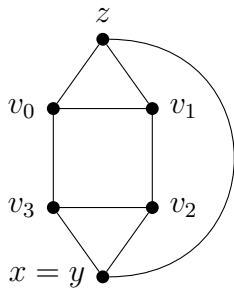
CASE3:  $G$  has a 4-face where identification applies

Since  $G$  is planar, some vertices are the same.



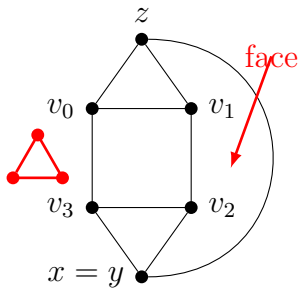
Only some cases left ...

# One of the 2 cases left

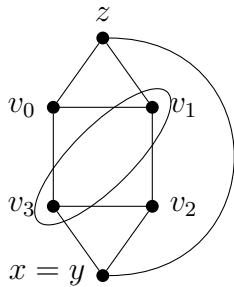
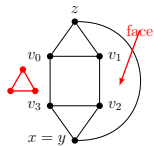




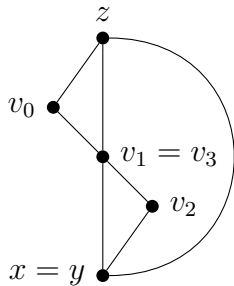
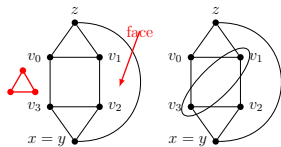
# One of the 2 cases left



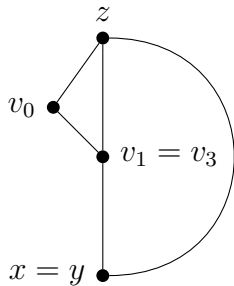
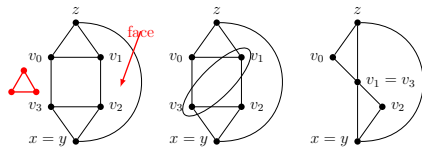
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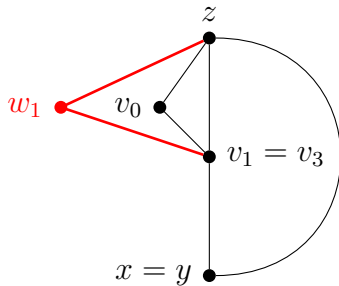
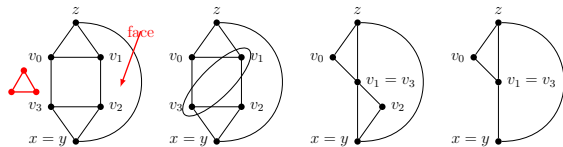
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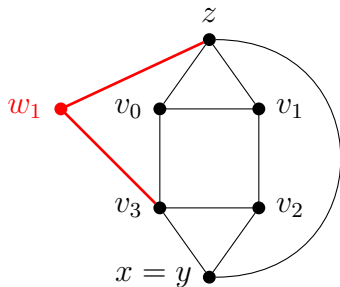
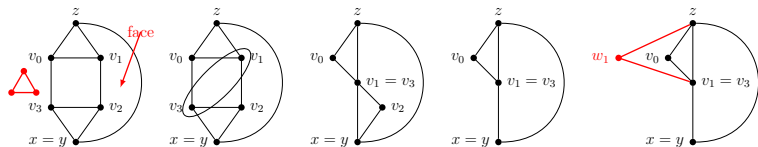
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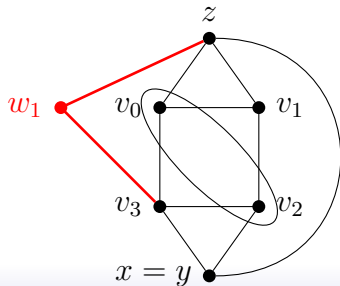
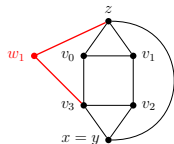
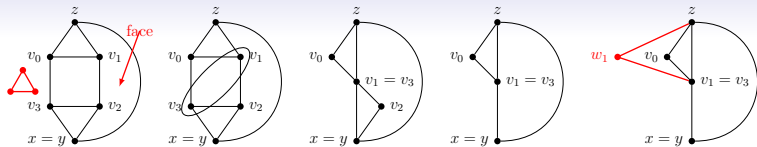
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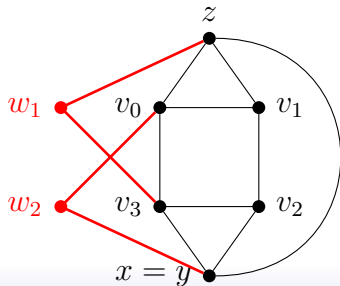
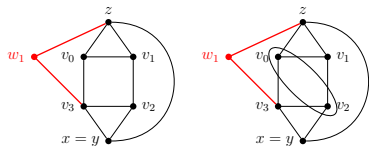
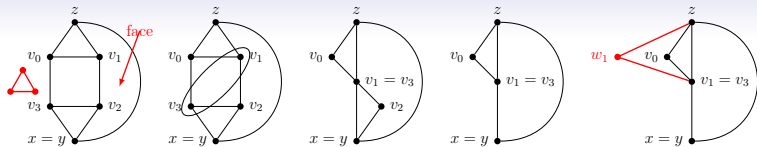
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# One of the 2 cases left

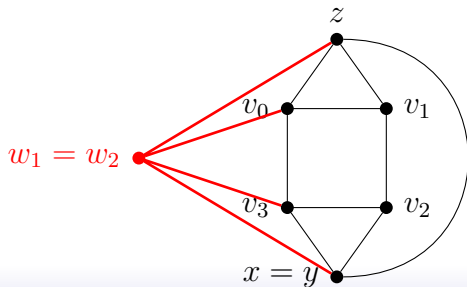
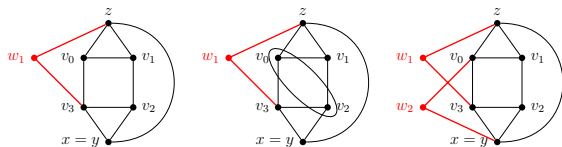
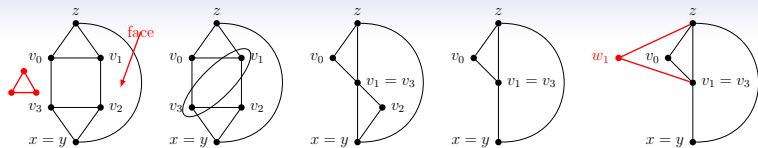


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Thank you for your attention!

I hope to see you at EXCILL2, Mar 16-18 @ UIUC