



Perfect Digraphs: Answers and Questions



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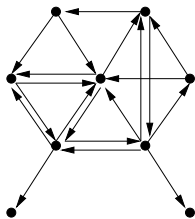
FernUniversität in Hagen

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- 1 Perfect digraphs
- 2 Main result and a “Strong Perfect Digraph Theorem”
- 3 Complexity results
- 4 A “Weak Perfect Digraph Theorem”
- 5 Open questions

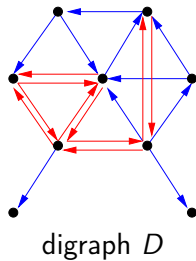
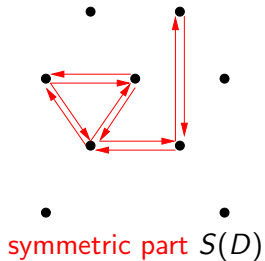
Perfect digraphs

Digraphs

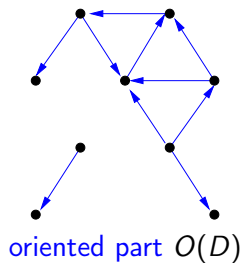
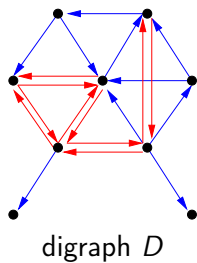
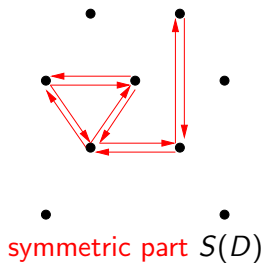


digraph D

Digraphs

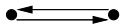


Digraphs



Graphs as digraphs

A **graph** is a digraph D with $D = S(D)$, i.e. its arc set contains only edges, but no single arcs.



an edge



a single arc

graph = symmetric digraph

The dichromatic number of a digraph

The **dichromatic number** $\chi(D)$ of a digraph D is the **smallest number** of **induced acyclic subdigraphs** of D that **cover** the **vertices** of D . [Neumann-Lara 1982]

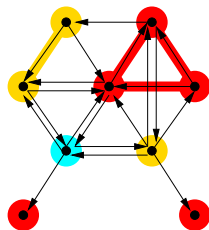
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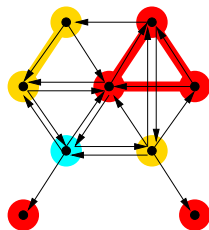
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2-COLORING of digraphs is **\mathcal{NP} -complete**.



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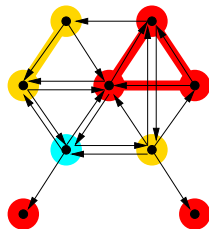
COLORING: color classes are **acyclic** subdigraphs

Theorem (Bokal, Fijavž, Juvan, Kayll, Mohar (2004))

2-COLORING of digraphs is \mathcal{NP} -complete.

Conjecture (Neumann-Lara (1985))

Orientations of *planar* graphs are *2-colorable*.



The clique number of a digraph

A **symmetric clique** is a digraph $D = (V, V \times V \setminus \{(v, v) \mid v \in V\})$.

The **clique number** $\omega(D)$ of a digraph D is the **largest size** of a **symmetric clique** in D .

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Theorem (Karp (1971))

Deciding whether a digraph has a symmetric clique of size k is \mathcal{NP} -complete.

Perfect digraphs

Observation

For any digraph D ,

$$\omega(D) \leq \chi(D).$$

A digraph D is **perfect** if, for any induced subdigraph H of D ,

$$\omega(H) = \chi(H).$$

Main result and a “Strong Perfect Digraph Theorem”

Technical requirements

Req. A)

For a digraph $D = (V, A)$ and $V' \subseteq V$, we denote by $D[V']$ the subdigraph of D induced by the vertices in V' .

Req. B)

Observation

For any digraph D , we have $\omega(D) = \omega(S(D))$.

The main result

Theorem

A digraph D is perfect if and only if $S(D)$ is a perfect graph and D does not contain any induced directed cycle \vec{C}_n with $n \geq 3$.

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Proof (by contraposition).

" \implies " 1) Assume $S(D)$ is not perfect.

$\implies \exists$ induced subgraph $H = (V', E')$ of $S(D) : \omega(H) < \chi(H)$.

By the observation and from $S(D[V']) = H$ we get

$$\omega(D[V']) = \omega(S(D[V'])) = \omega(H) < \chi(H) = \chi(S(D[V'])) \leq \chi(D[V'])$$

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A digraph D is perfect if and only if $S(D)$ is a perfect graph and D does not contain any induced directed cycle \vec{C}_n with $n \geq 3$.

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- $$\omega(D[V']) = \omega(S(D[V'])) = \omega(H) < \chi(H) = \chi(S(D[V'])) \leq \chi(D[V'])$$
- $\implies D$ is not perfect.
- 2) **Assume** D contains induced directed cycle $\vec{C}_n, n \geq 3$.
 $\implies D$ is not perfect, since $\omega(\vec{C}_n) = 1 < 2 = \chi(\vec{C}_n)$.

The main result

Theorem

A digraph D is perfect if and only if $S(D)$ is a perfect graph and D does not contain any induced directed cycle \vec{C}_n with $n \geq 3$.

Proof (by contraposition).

" \Leftarrow " **Assume** that $S(D)$ is perfect but D is not perfect.

Suffices to show: D contains induced \vec{C}_n , $n \geq 3$.

Let $H = (V', A')$ be induced subdigraph of D : $\omega(H) < \chi(H)$.

$\implies \exists$ proper coloring of $S(H) = S(D)[V']$ with $\omega(S(H))$ colors, i.e., by Observation 1, with $\omega(H)$ colors.

\implies is not a feasible coloring for H .

$\implies \exists$ (not necessarily induced) monochromatic \vec{C}_n ($n \geq 3$) in $O(H)$

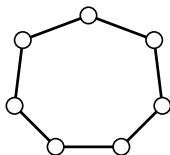
Let C be such a cycle of minimal length. C is induced!

The Strong Perfect Graph Theorem

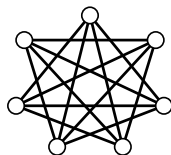
Theorem (Chudnovsky, Robertson, Seymour, Thomas (2006))

A *graph* is *perfect* if and only if it does *not contain induced subgraphs* of the following *types*:

- (1) *odd holes*: i.e. *cycles of odd length ≥ 5* resp.
- (2) *odd antiholes*: i.e. *complements of type (1)*.



type (1)



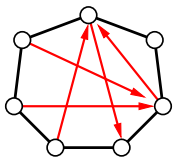
type (2)

The Strong Perfect Digraph Theorem

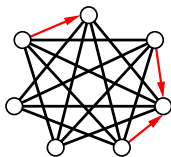
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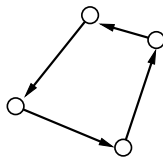
- (1) *filled odd holes*: i.e. D with $S(D)$ is *odd hole* resp.
- (2) *filled odd antiholes*: i.e. D with $S(D)$ is *odd antihole* resp.
- (3) *directed cycles* of length ≥ 3 .



type (1)



type (2)



type (3)

Complexity results

COLORING and MAX induced ACYCLIC SUBDIGRAPH

Corollary (from main result)

If D is a perfect digraph, then a coloring is feasible for D if and only if it is feasible for $S(D)$.

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Proofs use:

Theorem (Grötschel, Lovász, Schrijver (1981))

The COLORING resp. MAX INDEPENDENT SET problem for perfect graphs is polynomially solvable.

Question 1

Open Question

Are there other *interesting* \mathcal{NP} -hard problems on digraphs that are *polynomially solvable* for perfect digraphs?

RECOGNITION of perfect digraphs

In order to test, whether a digraph D is perfect, by our Theorem we have to test

- 1.) whether $S(D)$ is perfect, and
- 2.) whether D does not contain an induced \vec{C}_n , $n \geq 3$.

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Recognizing graphs of types (1) resp. (2) (“Berge graphs”) is in \mathcal{P} .

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Theorem

*The **PERFECT DIGRAPH RECOGNITION** problem is **co- \mathcal{NP} -complete**.*

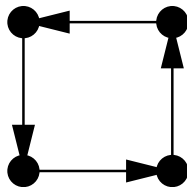
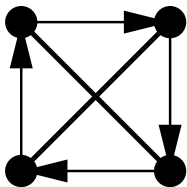
Question 2

Open Question

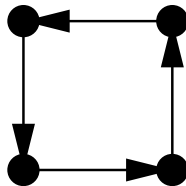
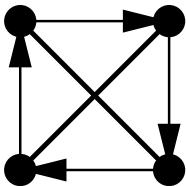
Are there other *interesting efficiently solvable problems* on perfect graphs that have *generalizations* to perfect digraphs which are *\mathcal{NP} -hard*?

A "Weak Perfect Digraph Theorem"

Complements of perfect digraphs may be not perfect



Complements of perfect digraphs may be not perfect



So there is no direct analog to Lovasz' Perfect Graph Theorem.

Theorem (Lovasz (1972))

A graph is *perfect* if and only if its *complement* is *perfect*.

A weak perfect digraph theorem

Def 1: A *superorientation* of an undirected graph $G = (V, E)$ is a digraph $D = (V, A)$, so that for any $e = vw \in E$ there is an arc (v, w) or (w, v) or both in A , and for any $vw \notin E$ there is none of the arcs (v, w) and (w, v) in A . We write $G(D) := G$.

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A digraph D is perfect if and only if its (loopless) complement \bar{D} is a clique-acyclic superorientation of a perfect graph.

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Proof. By main result, D being perfect is equivalent to $S(D)$ perfect ($\stackrel{\text{Lovasz}}{\iff} \overline{S(D)}$ perfect $\iff G(\bar{D})$ perfect) and D contains no induced directed cycle ($\iff \bar{D}$ is clique-acyclic)

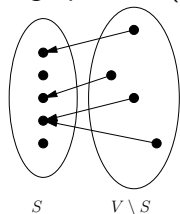
Consequences (I): Recognition of \overline{D}

Corollary

The recognition of clique-acyclic superorientations of perfect graphs is co- \mathcal{NP} -complete.

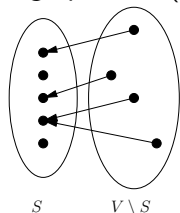
Consequences (II): Kernels

A **kernel** S of a digraph $D = (V, A)$:



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Theorem (Boros, Gurvich (2006))

"Perfect graphs are kernel-solvable," i.e. every clique-acyclic superorientation of a perfect graph has a kernel.

Corollary

For any perfect digraph D , the complement \overline{D} has a kernel.

Kernels: The contrast

Corollary

For any *perfect digraph* D , the *complement* \overline{D} has a *kernel*.

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For any *perfect digraph* D , the *complement* \bar{D} has a *kernel*.

However:

Theorem

It is \mathcal{NP} -complete to *decide* whether a *perfect digraph* has a *kernel*.

Kernels: The contrast

Corollary

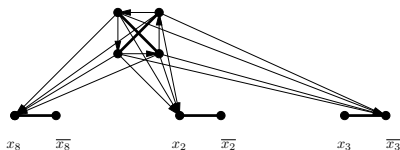
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Proof. Reduction from 3-SAT like in Chvátal's classical proof that existence of kernels in digraphs is \mathcal{NP} -complete:



Open questions

Open questions on perfect digraphs

Open Question (1)

Are there other *interesting efficiently solvable problems* on perfect graphs that have *generalizations* to perfect digraphs which are *\mathcal{NP} -hard*?

Open Question (3)

Are there other *problems* that are *\mathcal{NP} -complete* or *co- \mathcal{NP} -complete* for graphs in general as well as for perfect digraphs?

Open Question (2)

Are there other *interesting \mathcal{NP} -hard problems* on digraphs that are *polynomially solvable* for perfect digraphs?

Open Question (4)

What is the *complexity* of recognizing *superorientations* of perfect graphs that have a kernel?

Other questions on dichromatic numbers

Conjecture (Neumann-Lara (1985))

Orientations of *planar* graphs are *2-colorable*.

Open Question

Determine the *maximum dichromatic number* $M(n)$ of a *tournament* of order n .

Known values

n	0	1	2	3	4	5	6	7	8	9	10	11
$M(n)$	0	1	1	2	2	2	2	3	3	3	3	4

Thank you!

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Recognition of non-perfect digraphs

NON-PERFECT DIGRAPH RECOGNITION: Given a digraph, decide whether it is not perfect.

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*The **NON-PERFECT DIGRAPH RECOGNITION** problem is \mathcal{NP} -complete.*

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Theorem

The *NON-PERFECT DIGRAPH RECOGNITION* problem is \mathcal{NP} -complete.

Proof. 1.) NON-PERFECT DIGRAPH RECOGNITION is in \mathcal{NP} .
Certificate is an induced directed cycle, a filled odd hole/antihole.

Recognition of non-perfect digraphs

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Proof. 1.) NON-PERFECT DIGRAPH RECOGNITION is in \mathcal{NP} .
Certificate is an induced directed cycle, a filled odd hole/antihole.

2.) Now we prove \mathcal{NP} -completeness. This proof is very similar to the proof of Bang-Jensen, Havet, and Trotignon (2010) of the \mathcal{NP} -completeness of testing whether a digraph has an induced directed cycle.

\mathcal{NP} -completeness proof I

We describe a **reduction from 3-SAT** to NON-PERFECT DIGRAPH RECOGNITION.

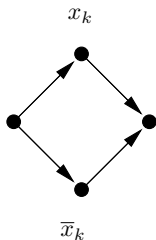
Instance of 3-SAT: **Boolean formula** of type

$$F = \bigwedge_{i=1}^m C_i = \bigwedge_{i=1}^m (l_{i1} \vee l_{i2} \vee l_{i3}) \quad \text{with } l_{ij} \in \{x_1, \dots, x_n, \bar{x}_1, \dots, \bar{x}_n\}.$$

Given such an instance, **we construct a digraph** in the following way.

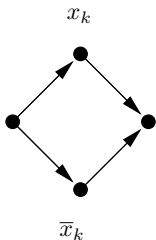
\mathcal{NP} -completeness proof II (gadgets)

For each variable x_k we construct a **variable gadget**:

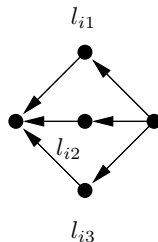


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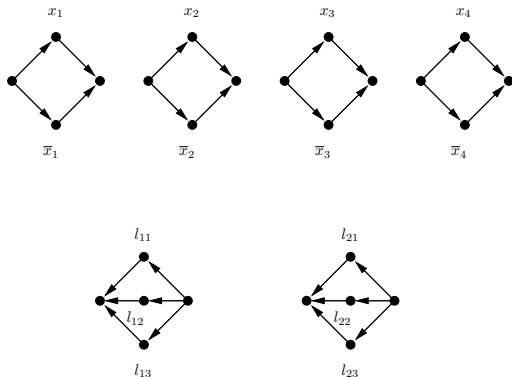
For each variable x_k we construct a **variable gadget**:



For each clause C_i we construct a **clause gadget**:

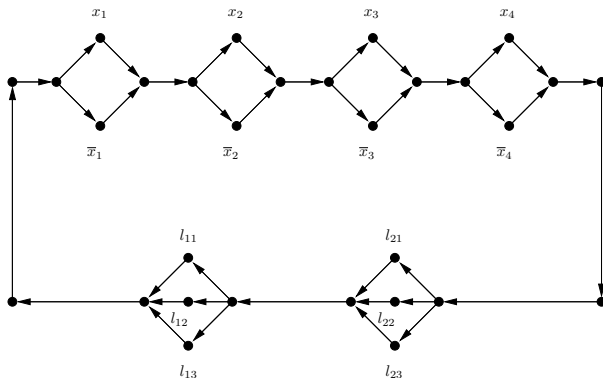


\mathcal{NP} -completeness proof III (construction)



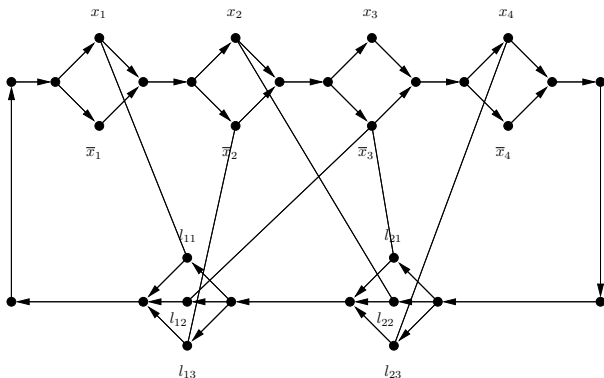
$(\bar{x}_1 \vee x_3 \vee x_2) \wedge (x_3 \vee \bar{x}_2 \vee \bar{x}_4)$ The **gadgets**

\mathcal{NP} -completeness proof III (construction)



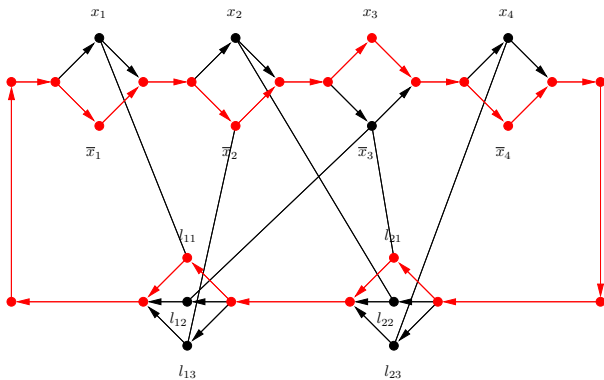
$(\bar{x}_1 \vee x_3 \vee x_2) \wedge (x_3 \vee \bar{x}_2 \vee \bar{x}_4)$ Form a **ring**

\mathcal{NP} -completeness proof III (construction)



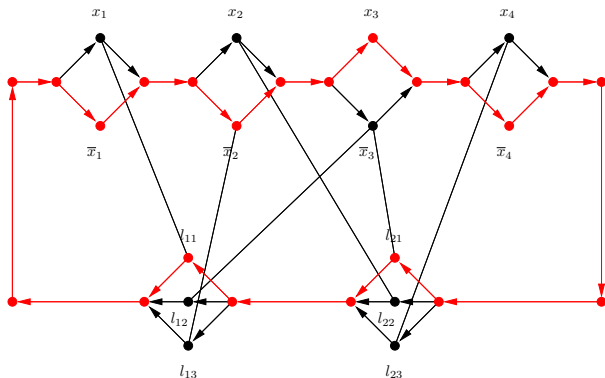
$(\bar{x}_1 \vee x_3 \vee x_2) \wedge (x_3 \vee \bar{x}_2 \vee \bar{x}_4)$ Insert edges

\mathcal{NP} -completeness proof III (construction)



$(\bar{x}_1 \vee x_3 \vee x_2) \wedge (x_3 \vee \bar{x}_2 \vee \bar{x}_4)$ Induced directed cycle iff satisfiable

\mathcal{NP} -completeness proof III (construction)



$(\bar{x}_1 \vee x_3 \vee x_2) \wedge (x_3 \vee \bar{x}_2 \vee \bar{x}_4)$ not perfect iff satisfiable