

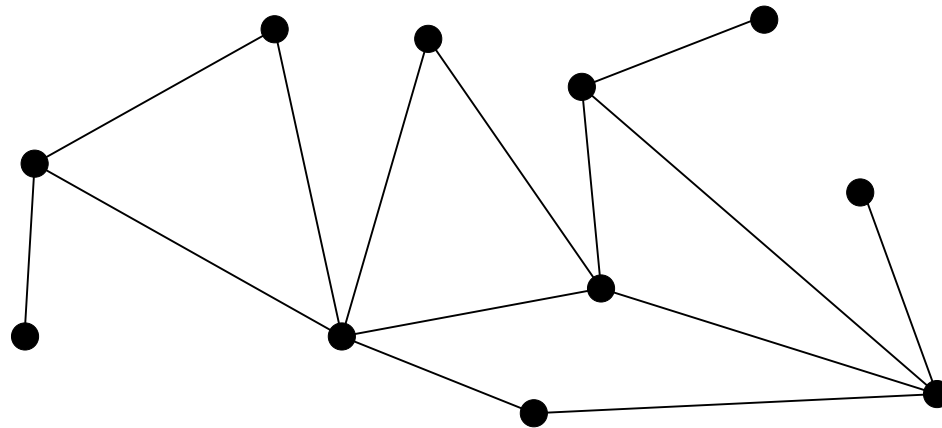
Topological Connectedness and Independent Sets in Graphs

Penny Haxell

University of Waterloo

Independent sets

A set T of vertices in a graph G is **independent** if no edge of G joins two vertices of T .



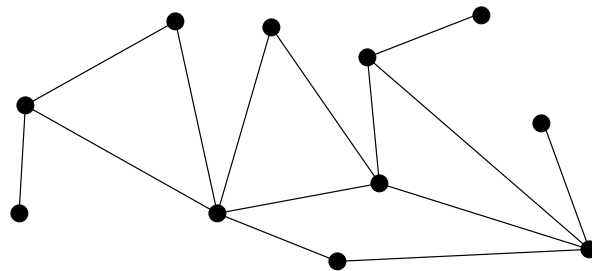
Aim: To see how **topological notions** can **(sometimes!)** help us understand the independent sets in a graph.

Simplicial complexes

An **abstract simplicial complex** is a family Σ of **sets** (called **simplices**) such that if B is a subset of $A \in \Sigma$ then B is in Σ . i.e. a “**downward-closed hypergraph**”.

The **dimension** of Σ is d where $d + 1$ is the largest size of a simplex in Σ .

The **independence complex** $\mathcal{I}(G)$ of a graph G is the abstract simplicial complex consisting of all **independent sets** of vertices in G .



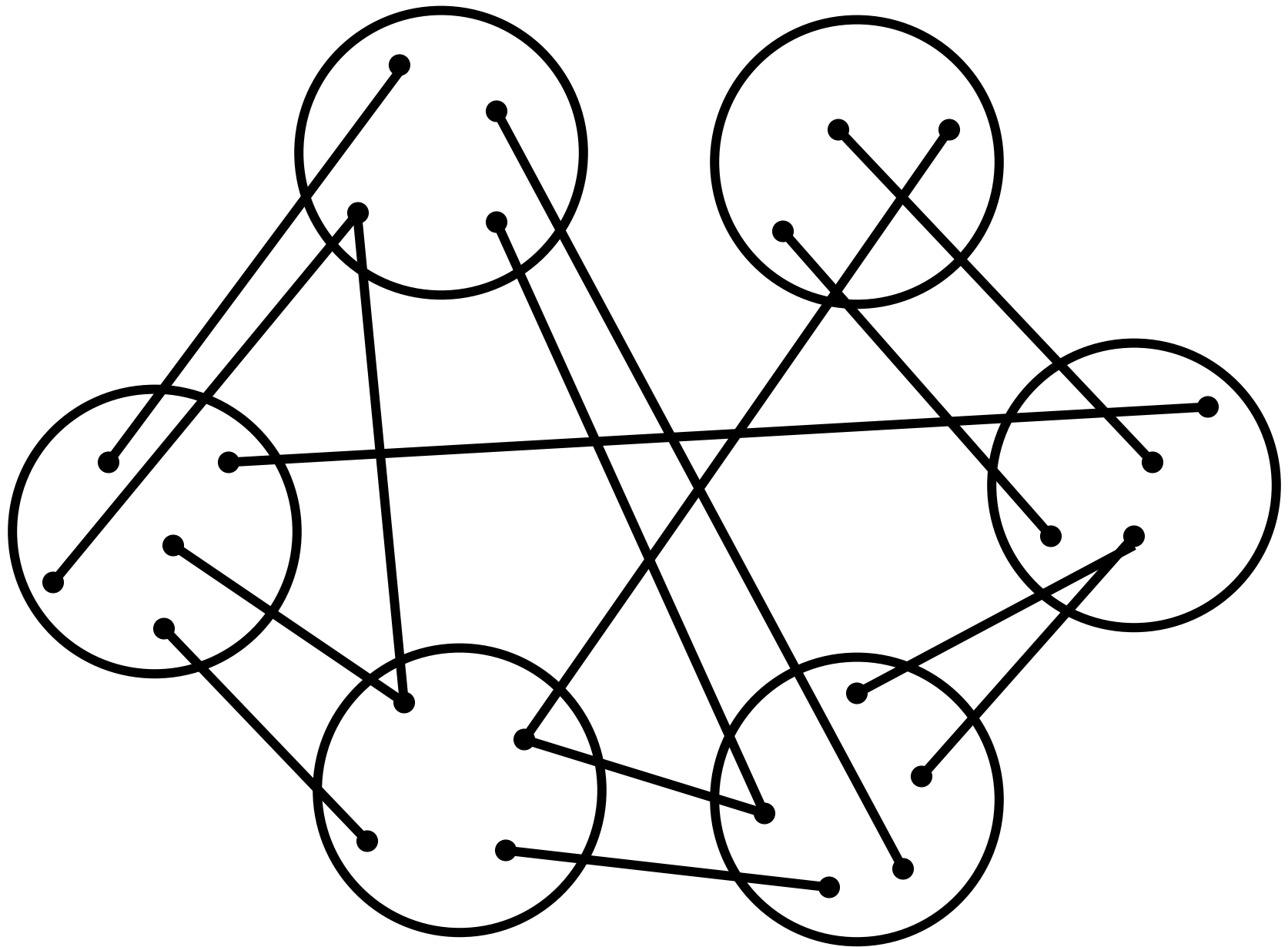
NASTY simplicial complex!

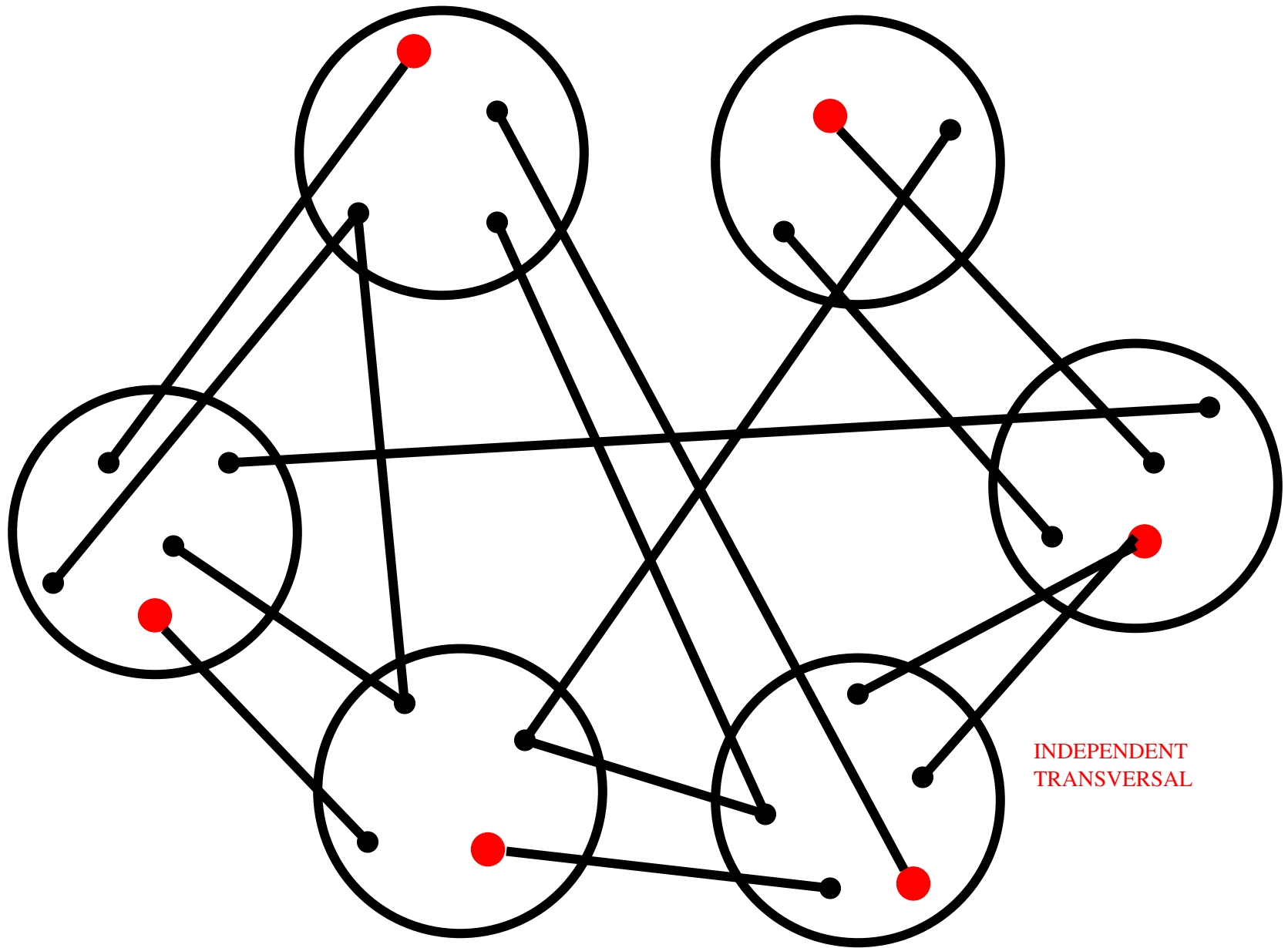
Independent transversals

Let G be a graph with a fixed **partition** of its vertex set.

An **independent transversal** in G is a subset T of vertices such that

- T is independent,
- T contains exactly one vertex from each partition class (**transversal**)

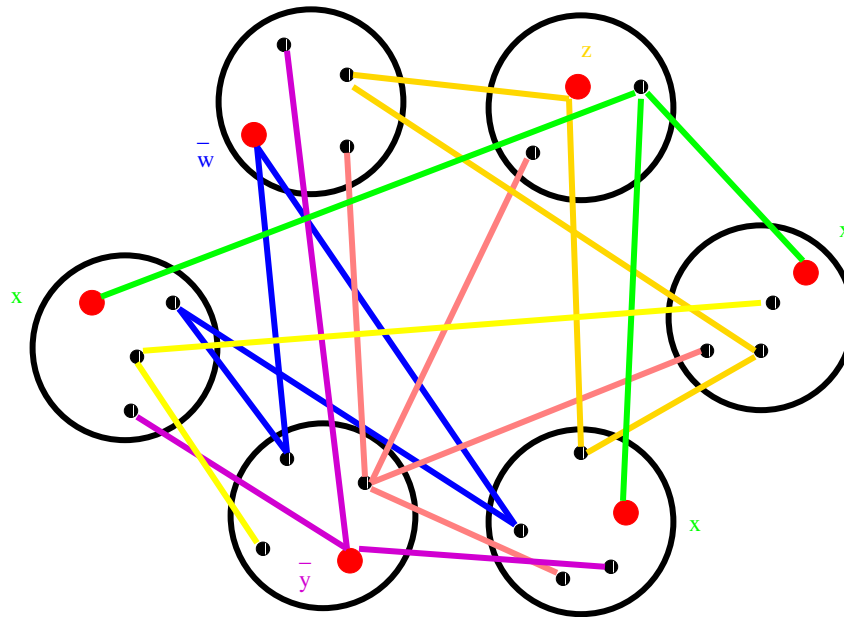




Independent Transversals

Many combinatorial problems can be formulated by asking whether a given graph with a given vertex partition has an independent transversal. For example, the SAT problem:

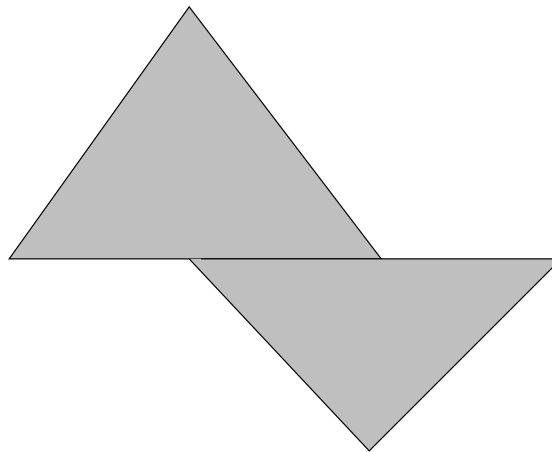
$$(x_1 \vee \bar{x}_4 \vee x_7) \wedge (\bar{x}_1 \vee \bar{x}_3 \vee x_2) \wedge (x_3 \vee \bar{x}_2) \wedge (x_5 \vee x_6 \vee \bar{x}_2)$$



Simplicial complexes

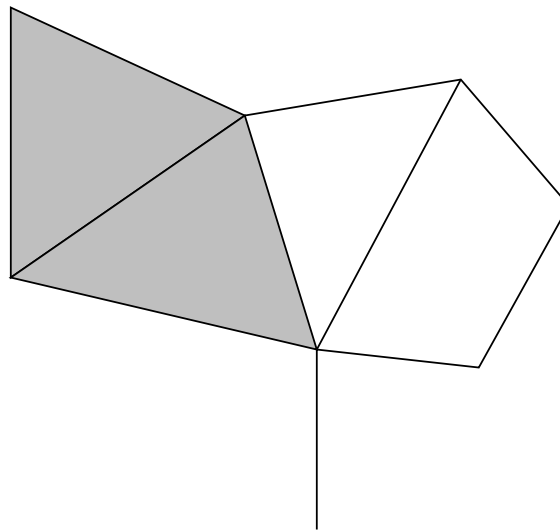
A **geometric simplicial complex** is a family Δ of **simplices in real space** such that

- if τ is a face of $\sigma \in \Delta$ then τ is in Δ , and
- the intersection of any two simplices in Δ is a face of both.



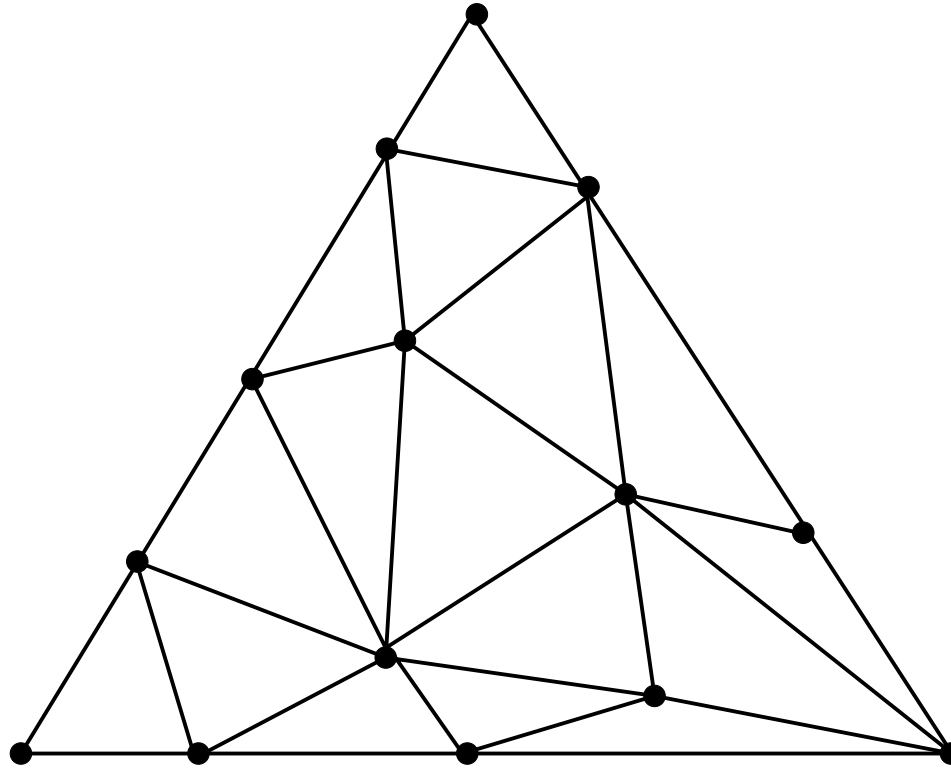
Simplicial complexes

Thus the family of **vertex sets of the simplices in a geometric simplicial complex** is an abstract simplicial complex.



Conversely, every abstract simplicial complex has a geometric realization.

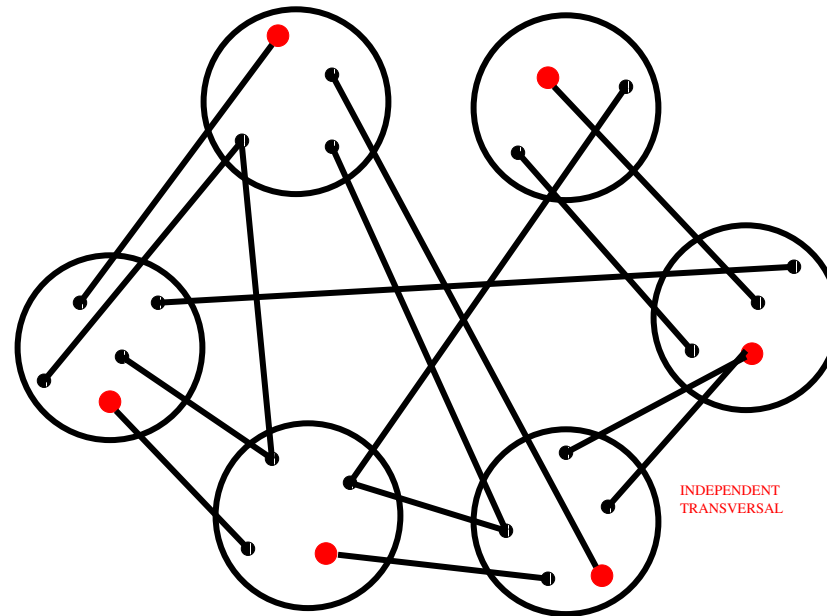
Geometric simplicial complexes



A triangulated n -simplex is a geometric simplicial complex.

NICE simplicial complex!

When does an independent transversal exist?



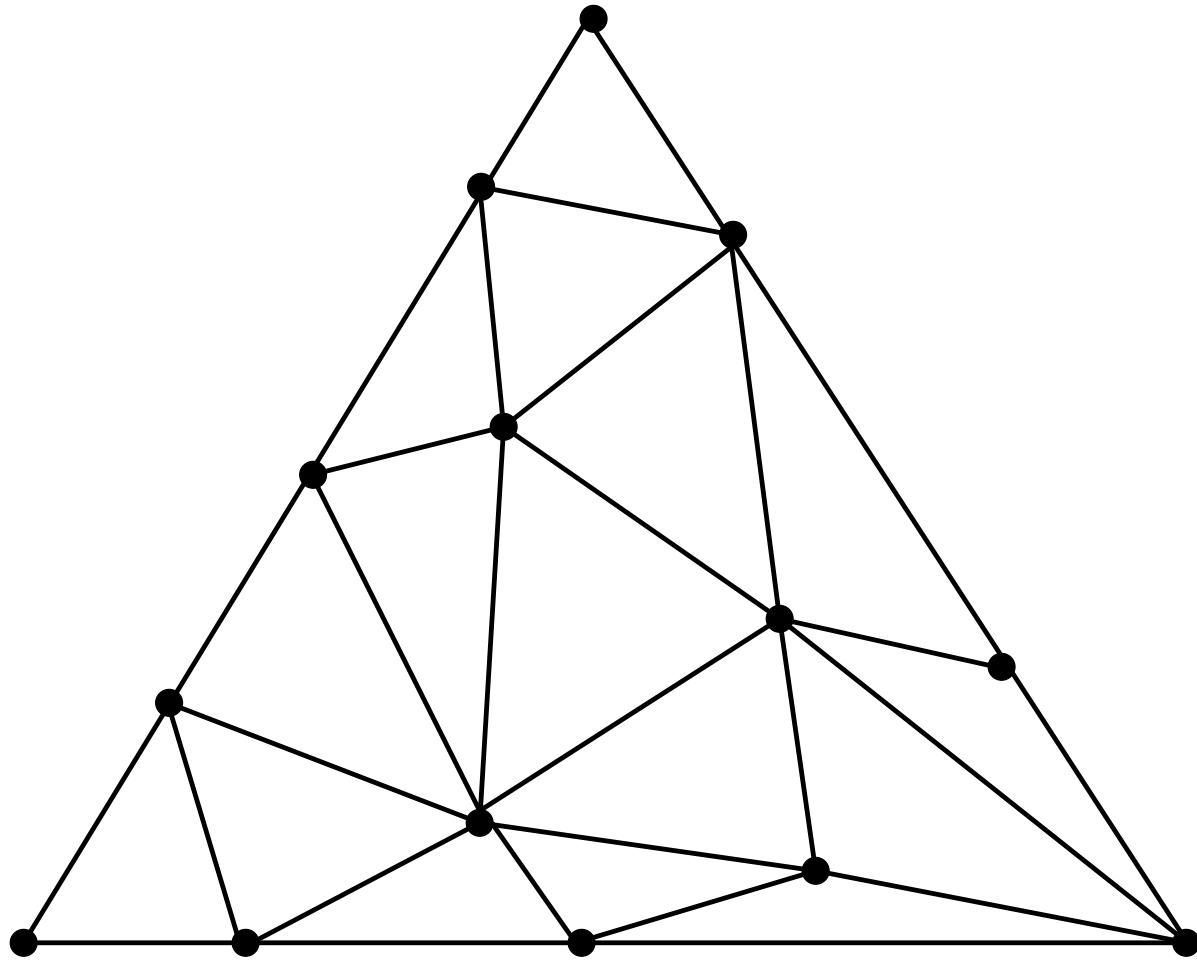
If we view the partition classes as **colours** for the vertices, then an independent transversal is the same as a **multicoloured simplex** in the simplicial complex $\mathcal{I}(G)$.

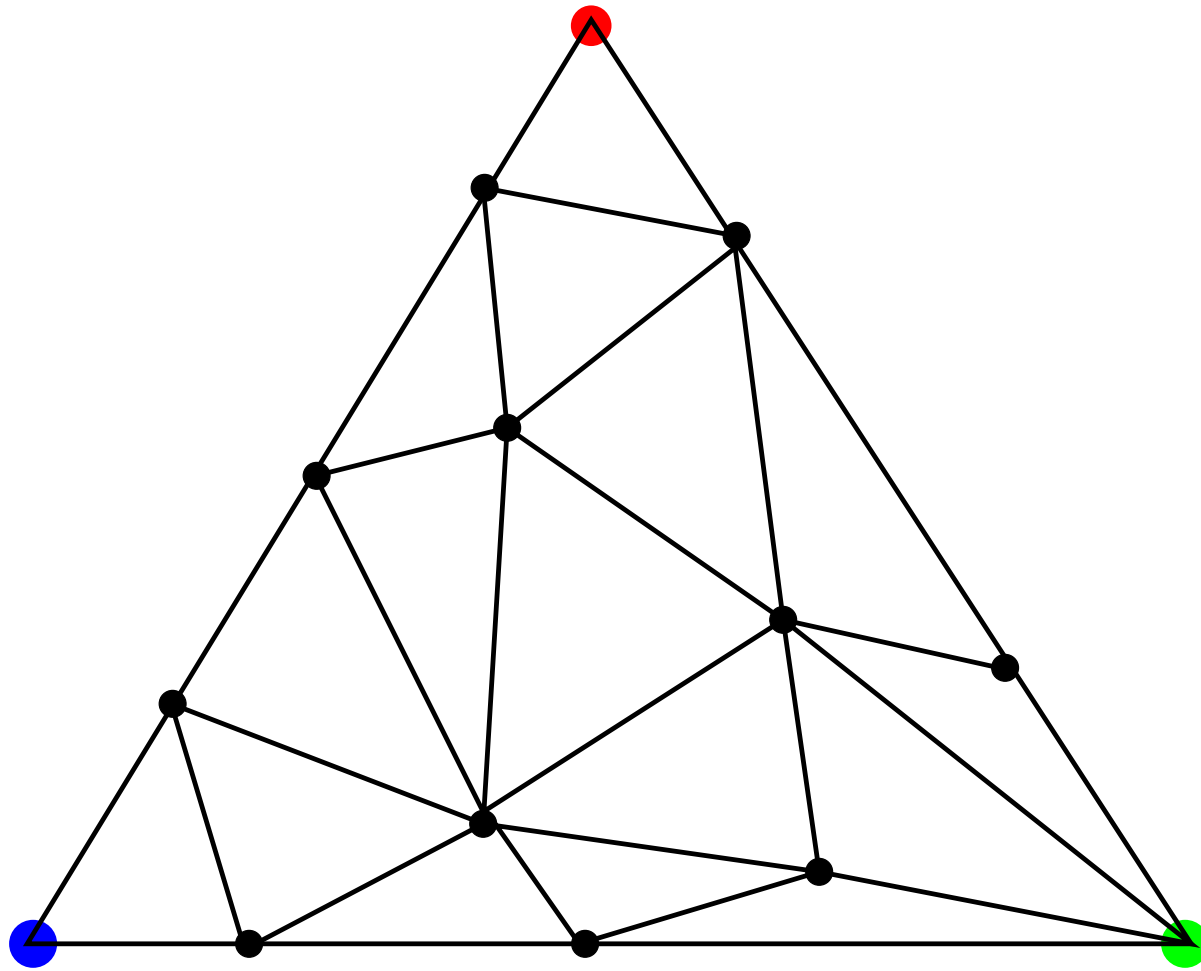
NASTY simplicial complex!

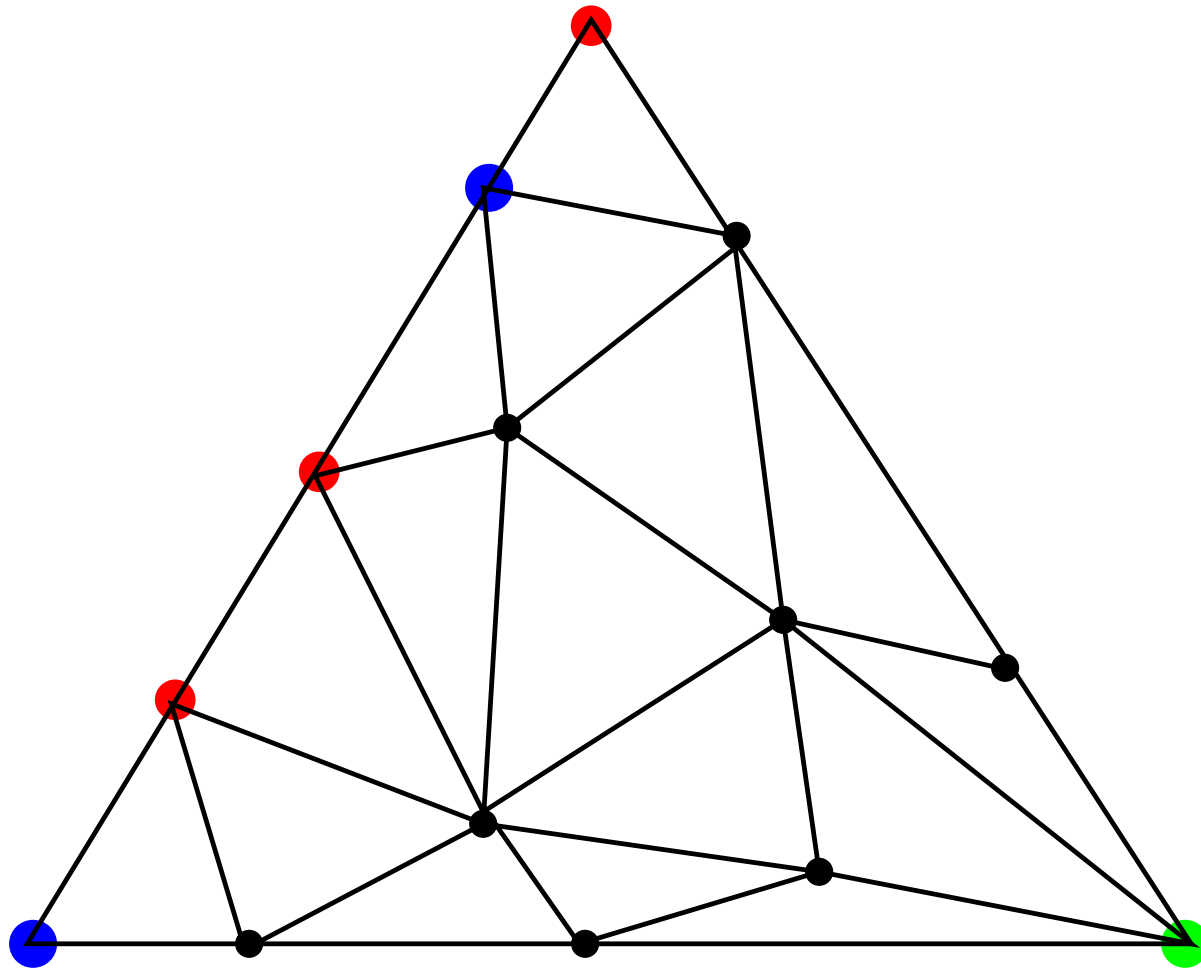
Sperner's Lemma

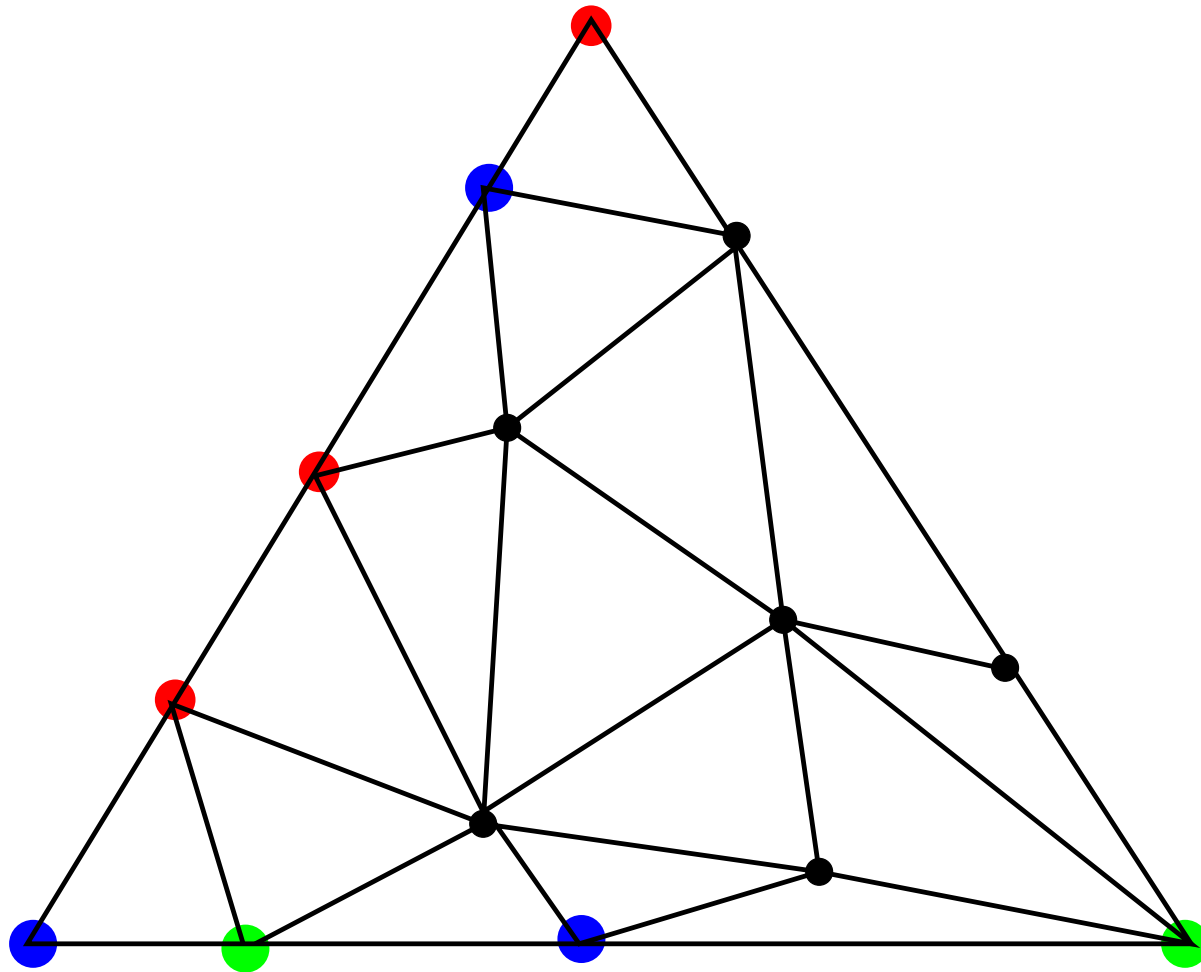
Let T be a **triangulation** of the n -**dimensional simplex**. Suppose the points of T are coloured with a **Sperner colouring**.

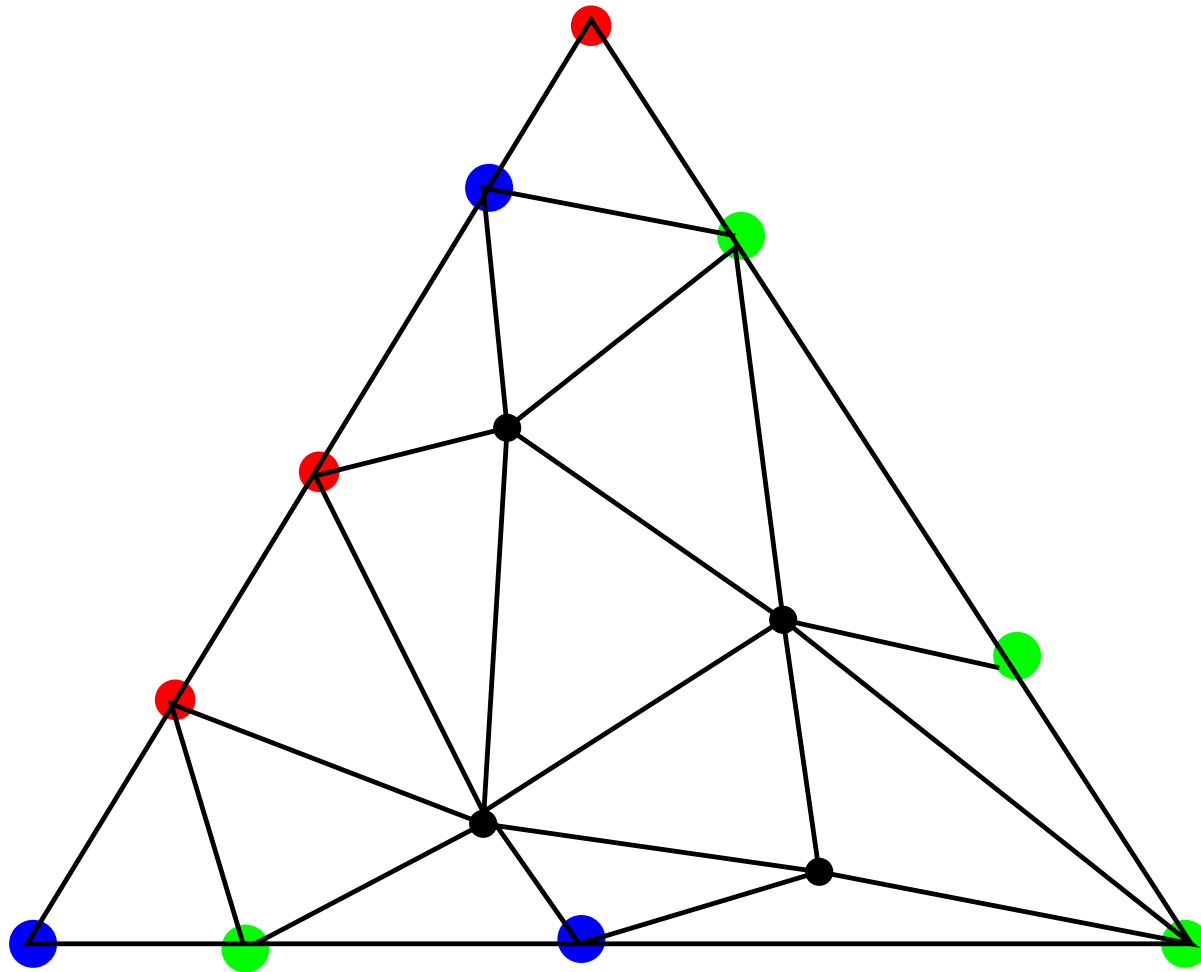
Then there exists a **multicoloured elementary simplex** in this colouring.

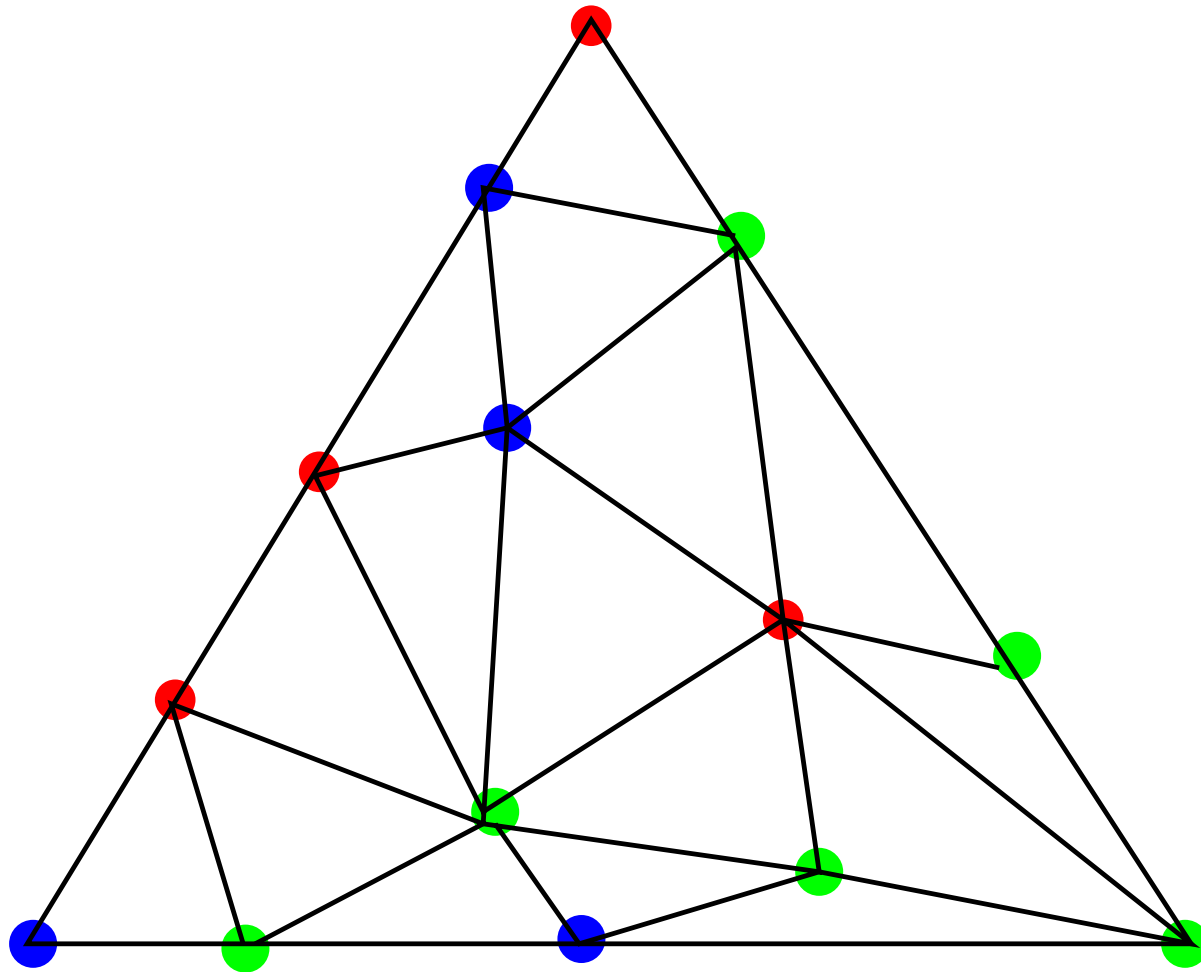


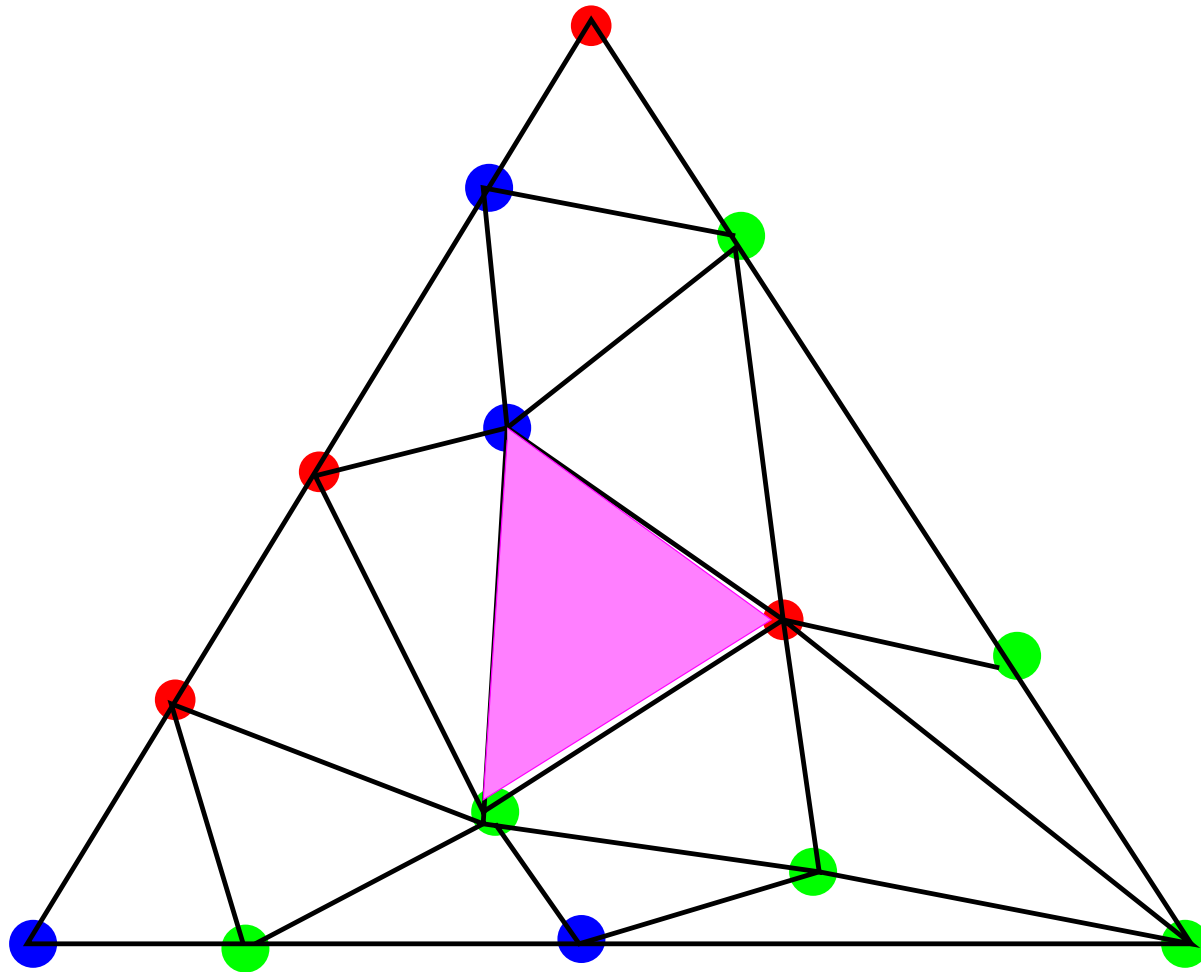












Q: Can we transfer the problem of finding a multicoloured simplex in the **independence complex of a graph** to that of finding a multicoloured simplex in a **triangulation of the simplex**?

Q: Can we transfer the problem of finding a multicoloured simplex in the **independence complex of a graph** to that of finding a multicoloured simplex in a **triangulation of the simplex**?

A: YES!

Q: Can we transfer the problem of finding a multicoloured simplex in the **independence complex of a graph** to that of finding a multicoloured simplex in a **triangulation of the simplex**?

A: YES!

How?

Q: Can we transfer the problem of finding a multicoloured simplex in the **independence complex of a graph** to that of finding a multicoloured simplex in a **triangulation of the simplex**?

A: YES!

How?

Using **topological connectedness**.

How to find an independent transversal?

Let G be a graph with vertex partition U_1, \dots, U_m . Suppose we can construct a **triangulation** T of the $(m - 1)$ -dimensional simplex, and a **simplicial map** f from T to $\mathcal{I}(G)$ such that the induced colouring on T is a **Sperner colouring**.

A **simplicial map** f from a simplicial complex Δ to a simplicial complex Σ is a **function** $f : V(\Delta) \rightarrow V(\Sigma)$ such that

$f(\tau) = \{f(w) : w \in V(\tau)\}$ is a simplex of Σ for each simplex τ of Δ .

Then T contains a **multicoloured simplex**, which gives an **independent transversal** in G .

Q: What conditions will guarantee such a simplicial map exists?

Topological connectedness

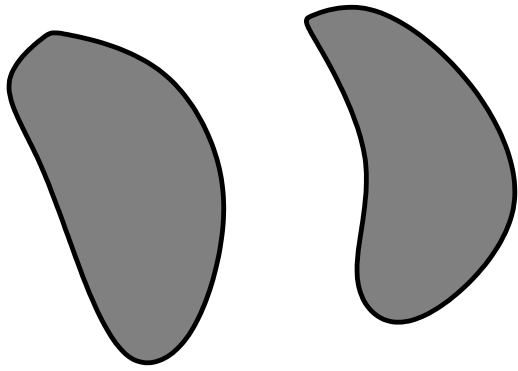
A topological space X is said to be k -connected if

- for each $-1 \leq d \leq k$, and
- for each continuous map f from the d -sphere S^d to X

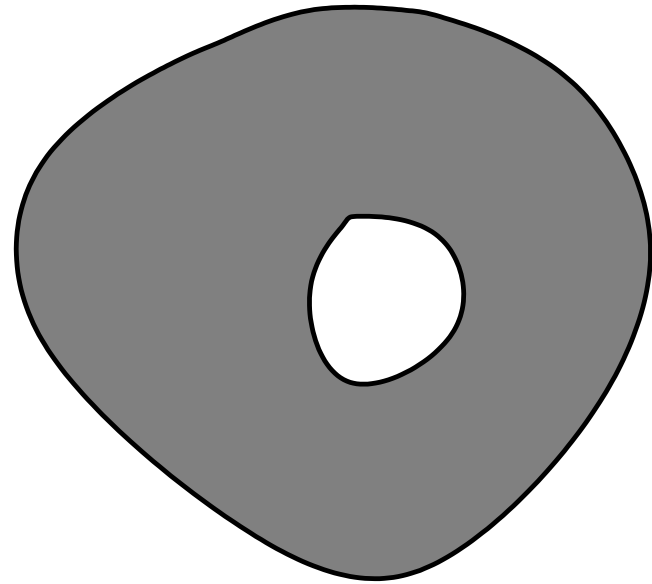
there exists a continuous map f' from the $(d + 1)$ -ball B^{d+1} to X that extends f .

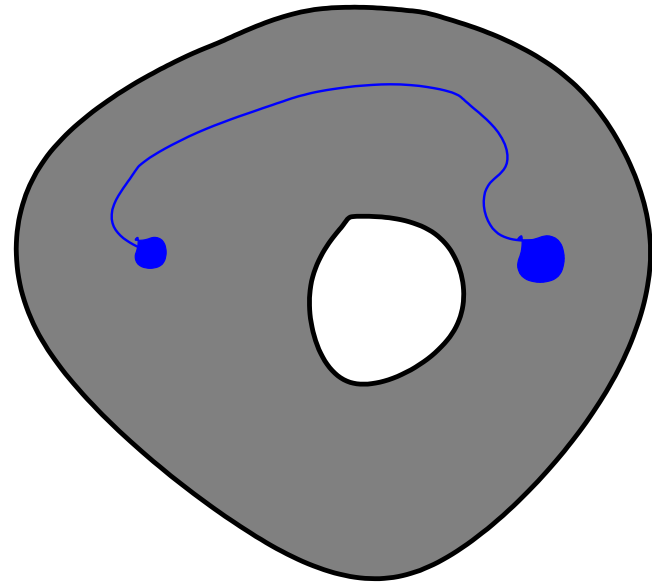
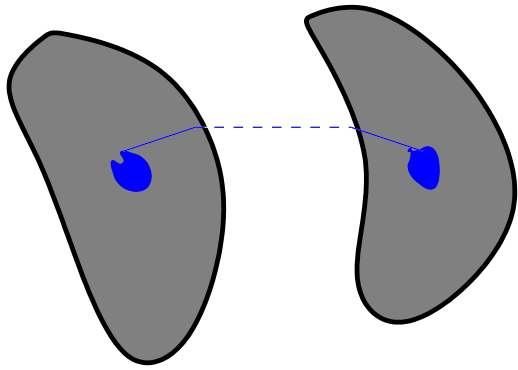
i.e. “there are no holes up to dimension k ”.

In particular -1 -connected means nonempty.

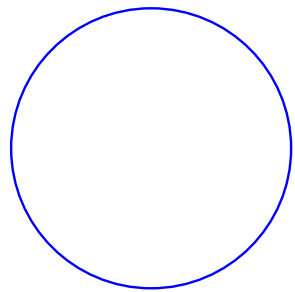
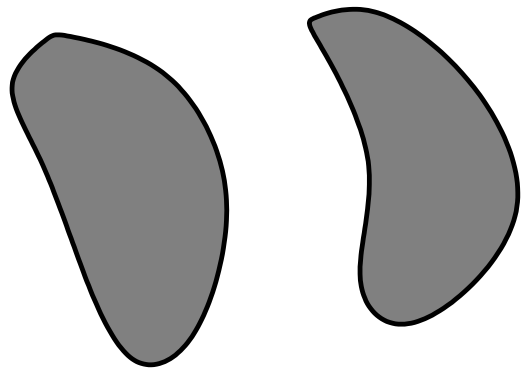


S^0

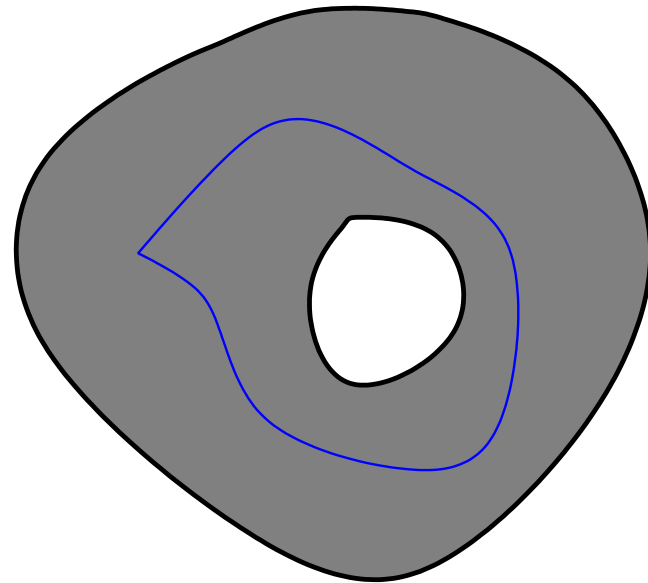




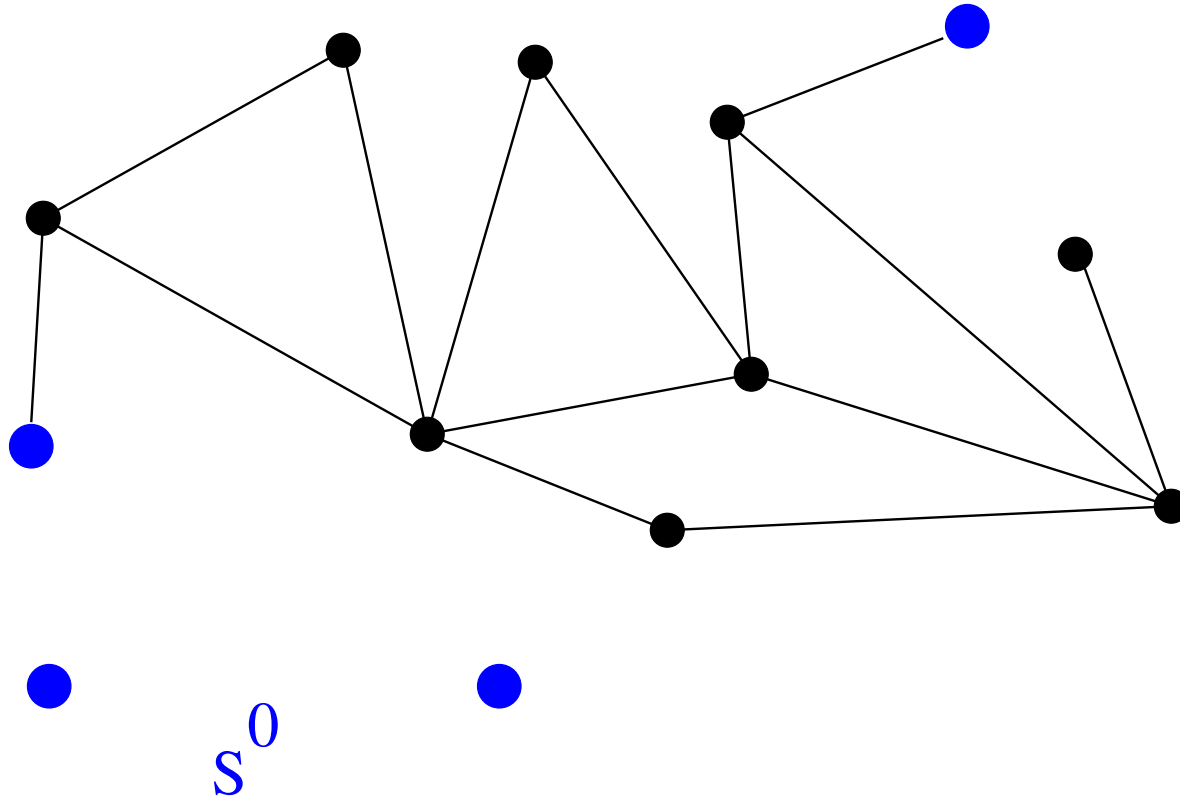
B^1



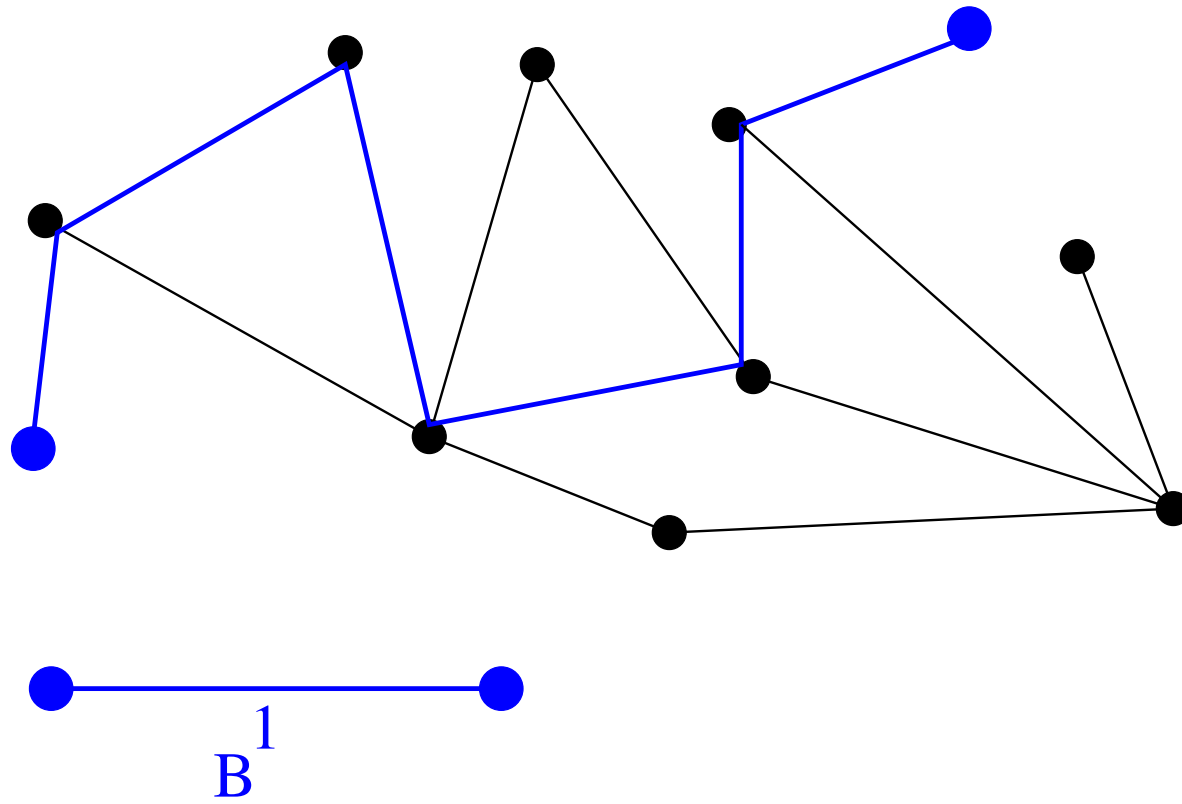
S^1



Connectedness vs connectivity



Topological 0-connectedness corresponds to connected in a graph.



Connectedness

If the simplicial complex Σ is k -connected then

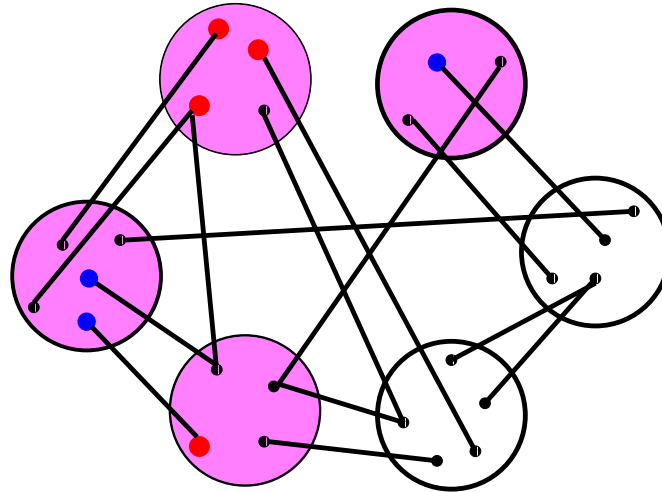
- for each $-1 \leq d \leq k$,
- for each triangulation T of the boundary of a $(d + 1)$ -simplex, and
- for each simplicial map f from T to Σ ,

the triangulation T can be extended to a triangulation T' of the whole $(d + 1)$ -simplex, and f can be extended to a simplicial map f' from T' to Σ .

View Σ as a topological space via its geometric realization, OR just use the above as definition.

Independent Transversals

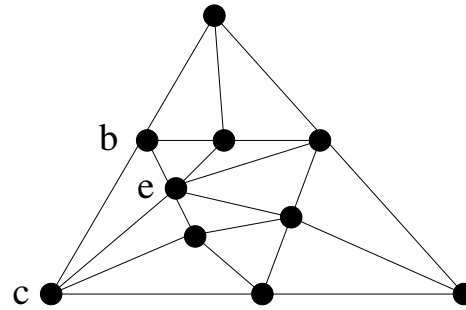
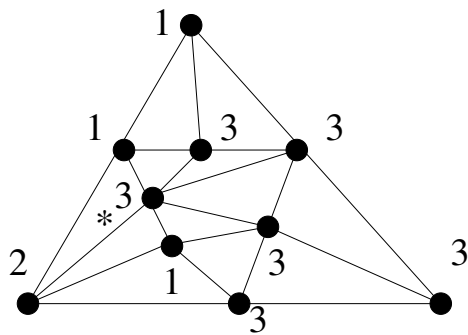
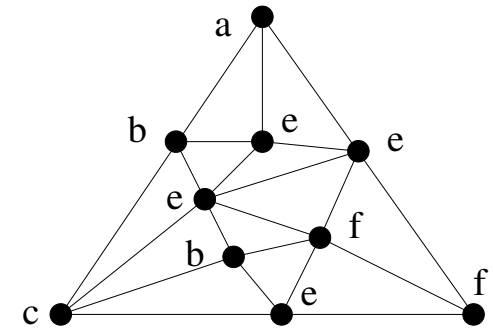
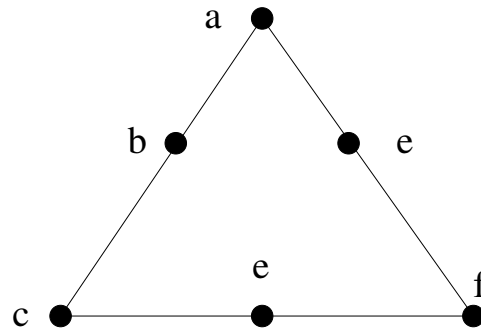
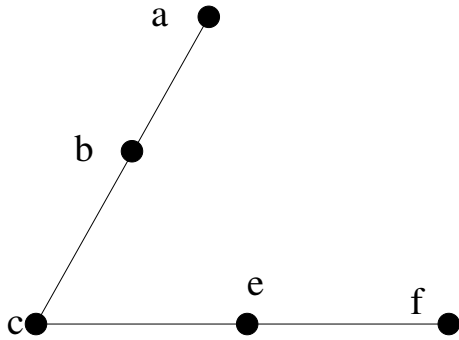
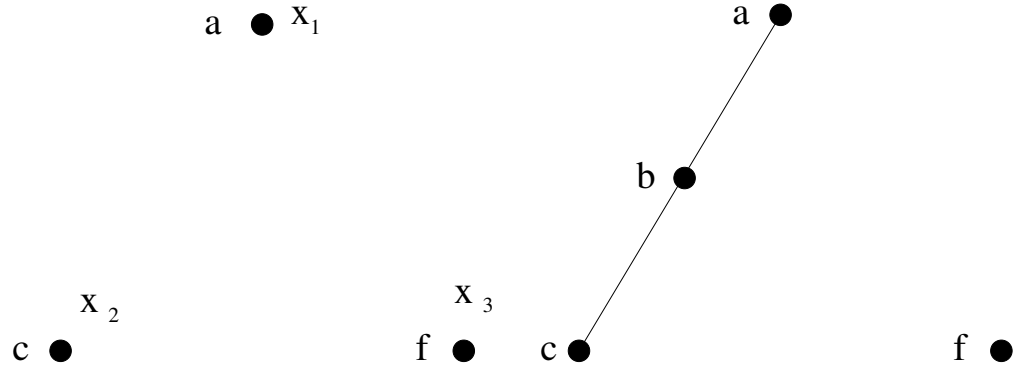
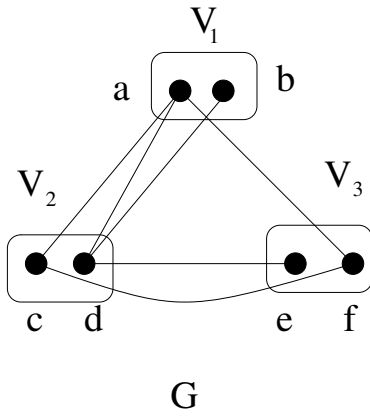
THEOREM:(Aharoni-H, Aharoni-Berger) Let G be a graph with vertex partition U_1, \dots, U_m . Suppose that for each $S \subseteq [m]$, the subcomplex $\mathcal{I}(G_S)$ of independent sets in $G_S = G[\bigcup_{i \in S} U_i]$ is $(|S| - 2)$ -connected.



Then G has an **independent transversal** with respect to the vertex partition U_1, \dots, U_m .

Proof:

Build up a suitable triangulation T of the $(m - 1)$ -dimensional simplex, and a suitable simplicial map from T to $\mathcal{I}(G)$, starting with the 0-dimensional faces and proceeding face by face in order of dimension.



Then **theory about topological connectedness** helps obtain **lower bounds** on the connectedness of the $\mathcal{I}(G_S)$, if we know certain **special properties** about the graph G and its vertex partition.

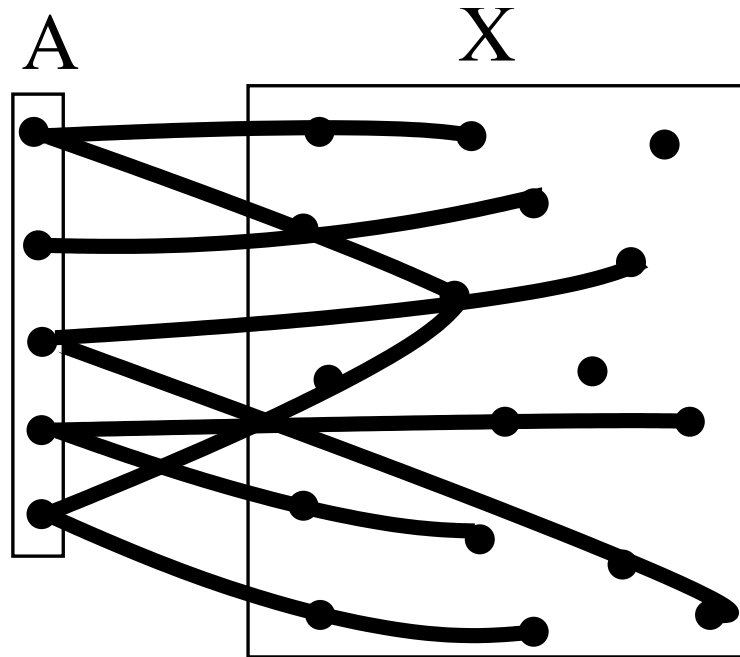
Q: What properties of a graph influence the topological connectedness of its independence complex?

Several properties involving **domination in G** turn out to be related to the connectedness of $\mathcal{I}(G_S)$.

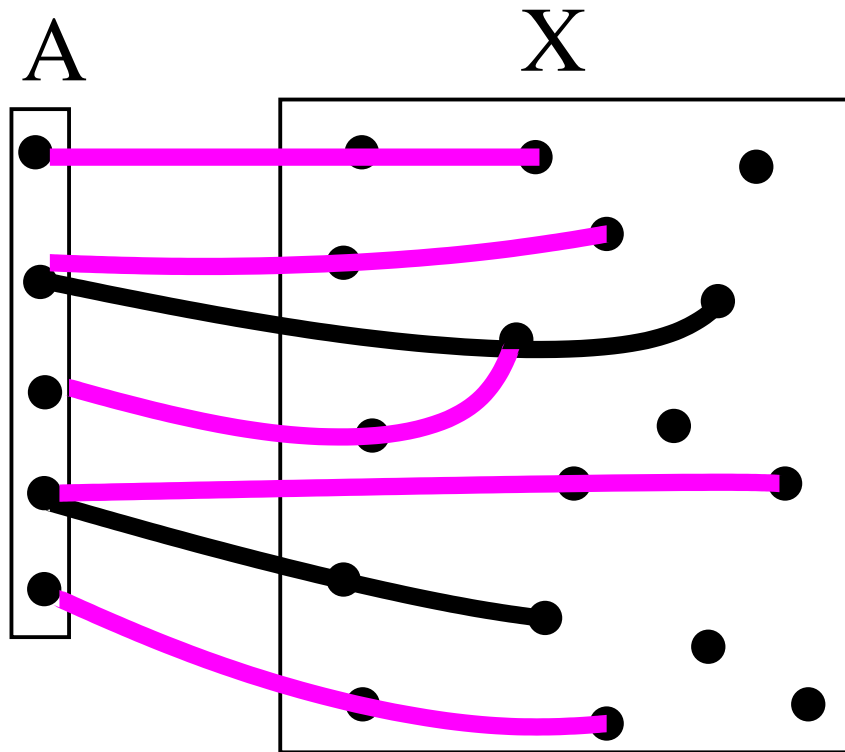
Here we will focus on the case in which G is the **line graph of a hypergraph**, to obtain results on **hypergraph matching**.

Matching in bipartite hypergraphs

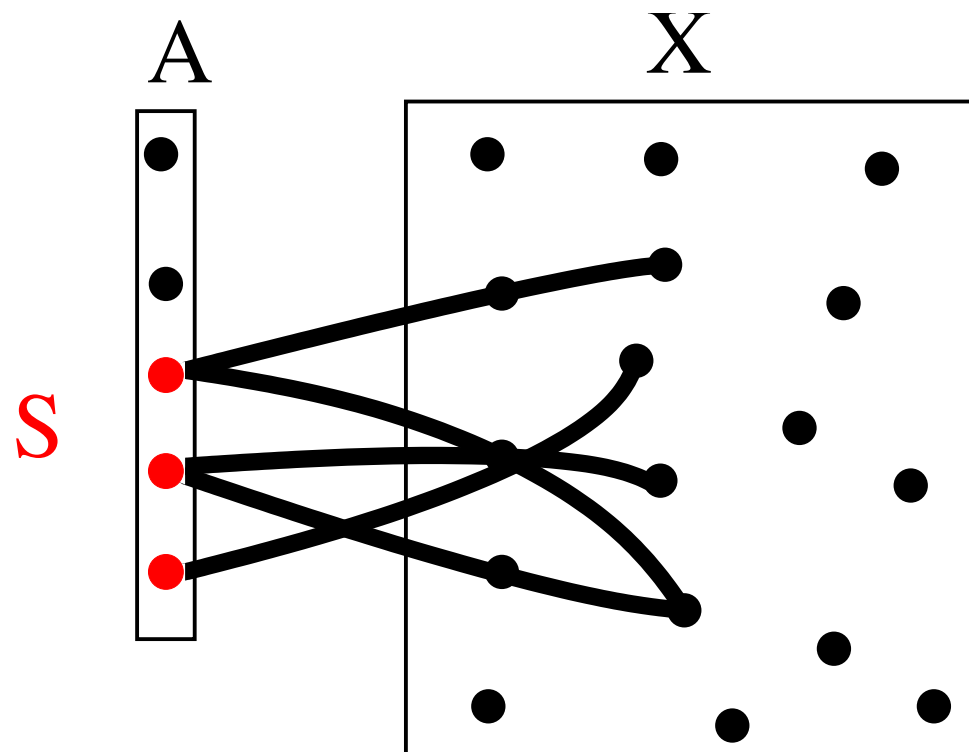
def: A bipartite 3-uniform hypergraph:

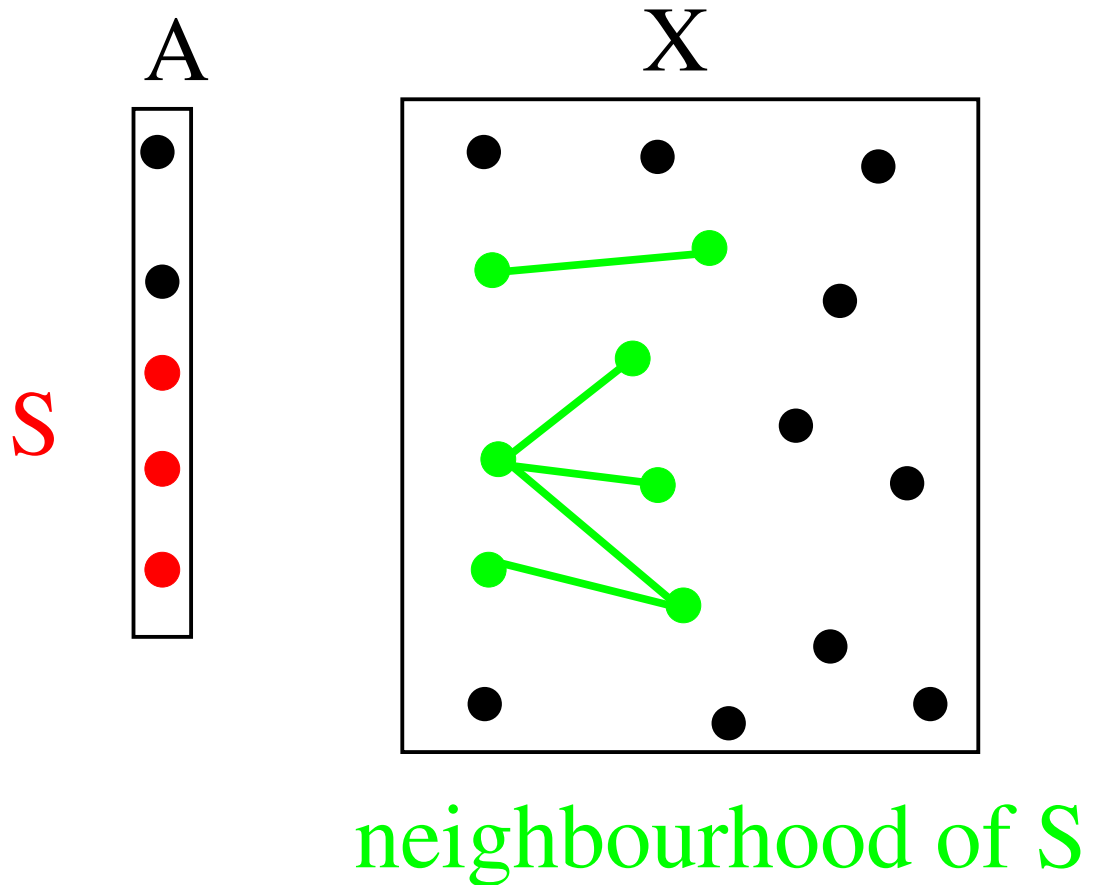


def: A complete hypermatching:



def: The neighbourhood (link) $\Gamma(S)$ of a subset S of A :





Formulated in terms of independent transversals

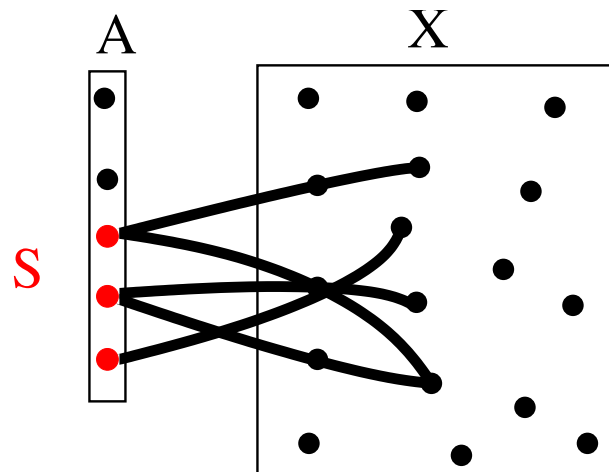
Given a bipartite hypergraph \mathcal{J} with vertex classes A and X , define the graph G to be the line graph of $\Gamma(A)$.

The partition classes are determined by the elements of A : we put $e \in V(G)$ into the class corresponding to $a \in A$ precisely when $e \cup \{a\} \in \mathcal{J}$.

Then the independent transversals in G correspond precisely to the complete hypergraph matchings in \mathcal{J} .

Hall's Theorem for r -uniform hypergraphs

THEOREM:(Aharoni-H) The bipartite r -uniform hypergraph J has a complete matching if: For every subset $S \subseteq A$, the $(r - 1)$ -uniform neighbourhood hypergraph $\Gamma(S)$ has a matching that has size at least $(r - 1)(|S| - 1) + 1$.



Hall's Theorem for hypergraphs follows from

Fact. If a graph G contains an **independent set** that is not **totally dominated** by a set of at most $t + 1$ vertices of G , then $\mathcal{I}(G)$ is t -connected.

This **Fact** is applied to the partitioned line graph G of $\Gamma(A)$. In particular if a hypergraph contains a **large matching** then it is **hard to dominate**.

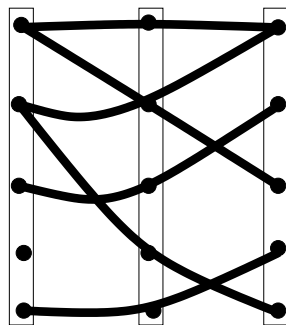
Ryser's Conjecture

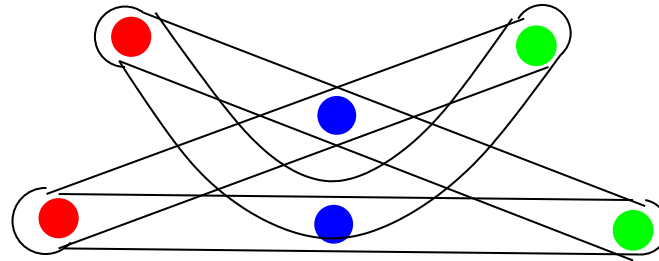
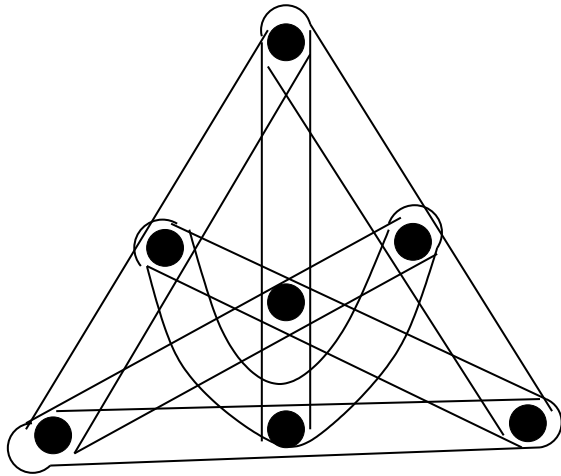
A **cover** of the hypergraph \mathcal{H} is a set of vertices C of \mathcal{H} such that **every edge of \mathcal{H} contains a vertex of C** . The parameter $\tau(\mathcal{H})$ is defined to be the minimum size of a cover of \mathcal{H} . We denote by $\nu(\mathcal{H})$ the maximum size of a matching in \mathcal{H} .

Note that for every **r -uniform** hypergraph \mathcal{H} we have $\tau(\mathcal{H}) \leq r\nu(\mathcal{H})$.

Ryser's Conjecture: Let \mathcal{H} be an **r -partite** r -uniform hypergraph. Then

$$\tau(\mathcal{H}) \leq (r - 1)\nu(\mathcal{H}).$$





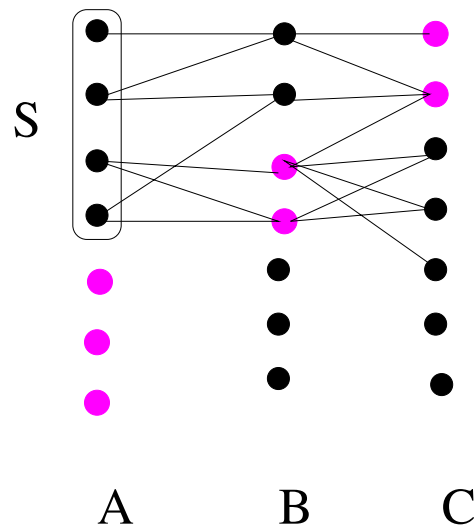
Ryser's Conjecture

THEOREM (Aharoni 2001): Let \mathcal{H} be a 3-partite 3-uniform hypergraph.
Then

$$\tau(\mathcal{H}) \leq 2\nu(\mathcal{H}).$$

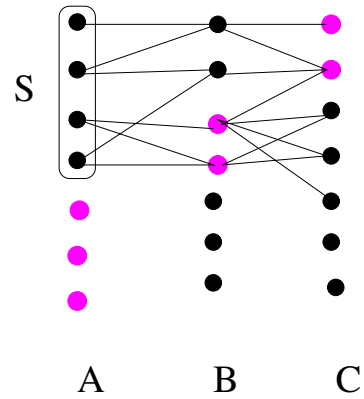
The proof uses a defect version of Hall's Theorem for Hypergraphs.

Proof Idea



Let \mathcal{H} be a 3-partite 3-uniform hypergraph. Then every subset S of A gives a cover of \mathcal{H} of size $|A| - |S| + \tau(G_S)$.

Here G_S is the (bipartite) multigraph of pairs bc such that $abc \in \mathcal{H}$ for some $a \in S$.

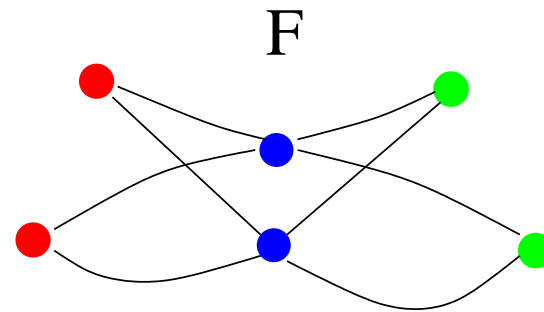
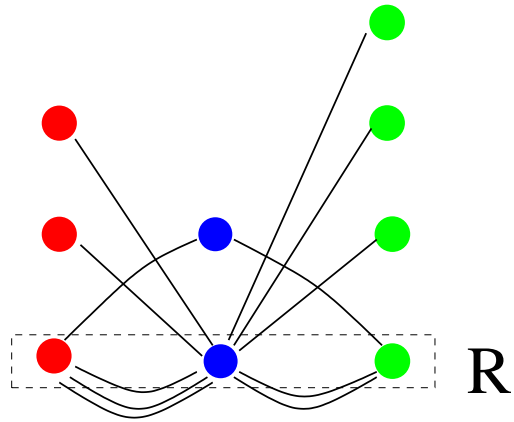
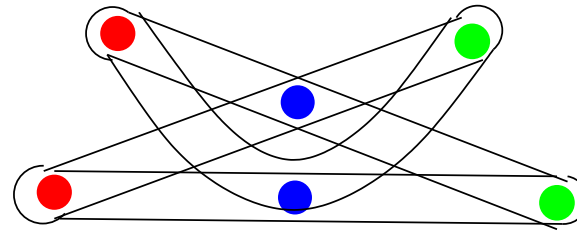
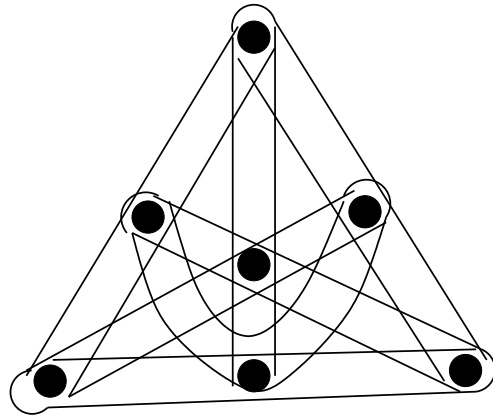


By König's Theorem $\tau(G_S) = \nu(G_S)$, so

$$\tau(\mathcal{H}) \leq |A| - |S| + \nu(G_S).$$

Therefore for every $S \subseteq A$ we find

$$\nu(G_S) \geq |S| - |A| + \tau(\mathcal{H}).$$



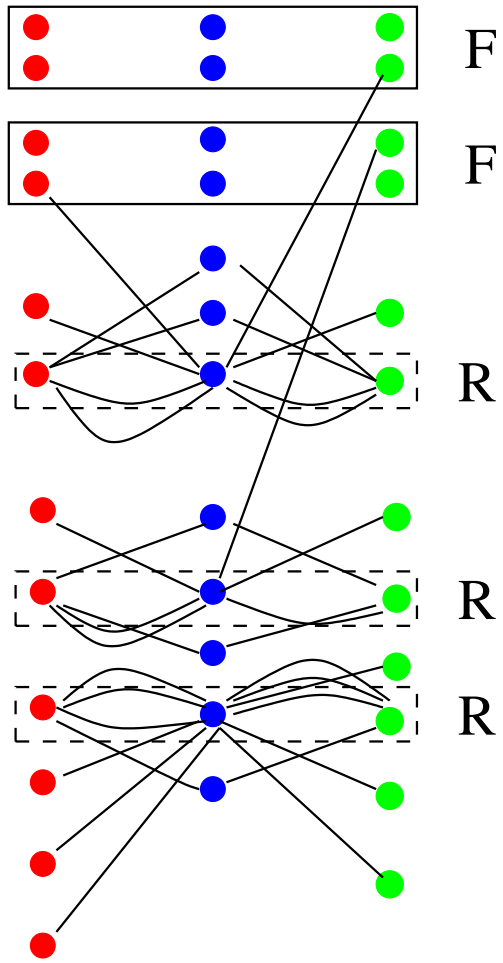
Extremal hypergraphs for Ryser's Conjecture

THEOREM (H, Narins, Szabó 2018): Let \mathcal{H} be a 3-partite 3-uniform hypergraph. Suppose

$$\tau(\mathcal{H}) = 2\nu(\mathcal{H}).$$

Then \mathcal{H} is a **home base hypergraph**.

The proof involves a characterisation of bipartite graphs for which the **connectedness of the independence complex of their line graphs** is as small as possible with respect to their **matching number**.



Stability for matchings in regular hypergraphs

THEOREM: Let $r > 0$ be given. Every r -regular 3-partite 3-uniform (multi)hypergraph, with n vertices in each class, has a matching of size at least $n/2$.

This is easily implied by Aharoni's Theorem. It is best possible for **all even r** and **all even n** : for an example take $n/2$ **disjoint copies** of $\frac{r}{2} \cdot F$ ($(r/2)$ **multiples** of the hypergraph F).

THEOREM (H, Narins 2018): Let \mathcal{H} be an r -regular 3-partite 3-uniform (multi)hypergraph with n vertices in each class, with $\nu(\mathcal{H}) \leq (1 + \varepsilon)\frac{n}{2}$. Then \mathcal{H} has at least

$$\left(1 - \left(22r - \frac{77}{3}\right)\varepsilon\right)\frac{n}{2}$$

components that are copies of $\frac{r}{2} \cdot F$.

Open Problems

- The last theorem implies that if the r -regular 3-partite 3-uniform (multi)hypergraph with n vertices in each class contains no copy of $\frac{r}{2} \cdot F$ then

$$\nu(\mathcal{H}) \geq \left(1 + \frac{1}{22r - \frac{77}{3}}\right) \frac{n}{2}.$$

This is close to being best possible, since examples exist with $\nu(\mathcal{H}) \leq \left(1 + \frac{1}{r}\right) \frac{n}{2}$. It is natural to conjecture that this is the right value.

- It is believed that much stronger bounds should hold if \mathcal{H} is **simple**, i.e. does not have multiple edges. There exist simple r -regular 3-partite 3-uniform hypergraphs \mathcal{H} for which $\nu(\mathcal{H}) = \frac{2n}{3}$, and this could be the correct bound. **A lower bound of $\frac{3}{5}$ for the $r = 3$ case was proved by Cavenaugh, Kuhl and Wanless.**

- More generally, Alon and Kim conjectured that the edges of every simple 3-uniform hypergraph with **maximum degree** r can be partitioned into $(\frac{3}{2} + o(1))r$ matchings.
- No **stability result** for Ryser's Conjecture for 3-partite 3-uniform hypergraphs is currently known.
- **Ryser's Conjecture** is still wide open for all $r \geq 4$. For $r \geq 6$ no **nontrivial bound** is known.