

# Parameterized Algorithms for Book-Embedding Problems

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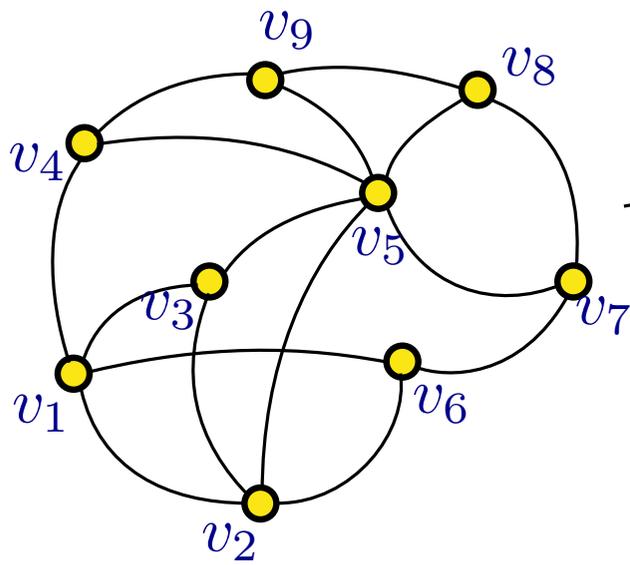
Graph Drawing · September 19, 2019



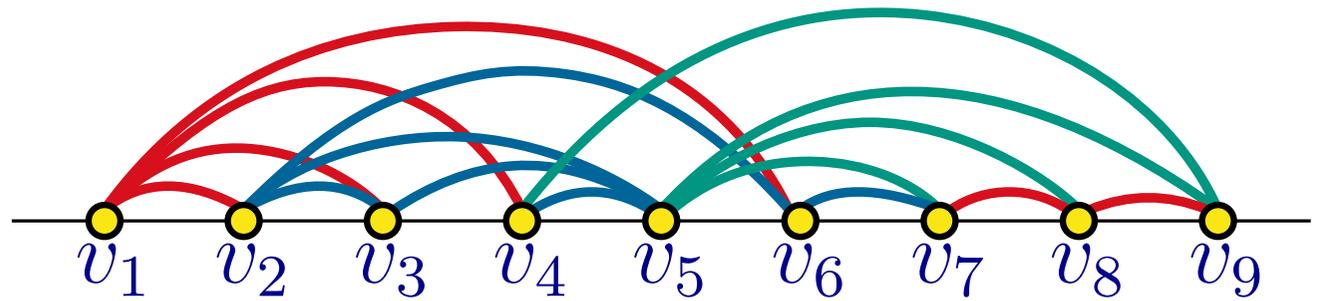
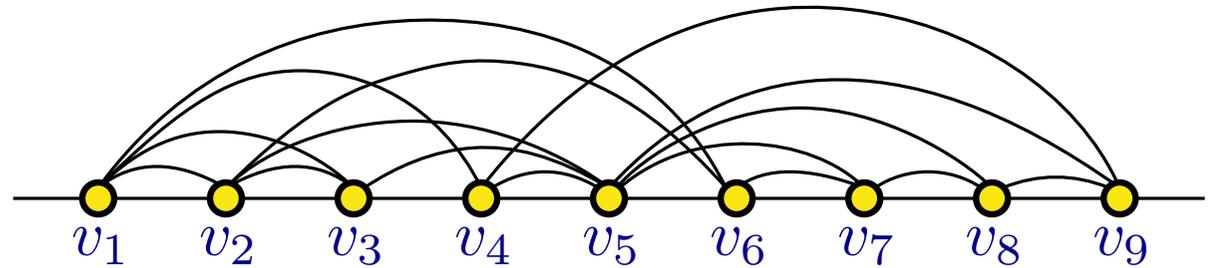
ALGORITHMS AND  
COMPLEXITY GROUP



# The Problem

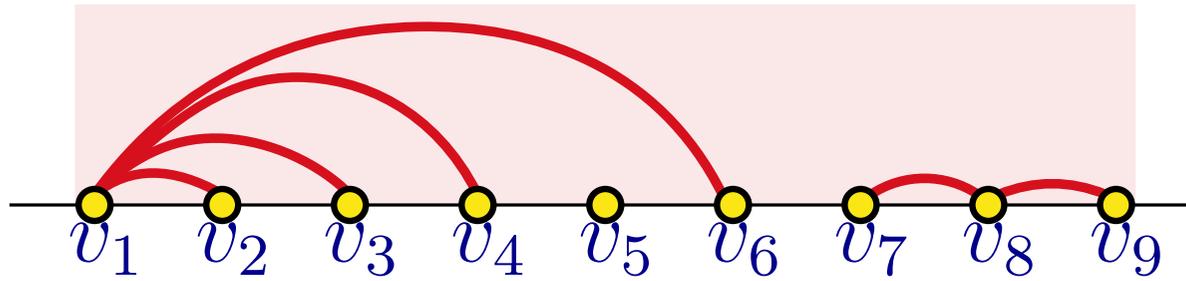


$$G = (V, E)$$

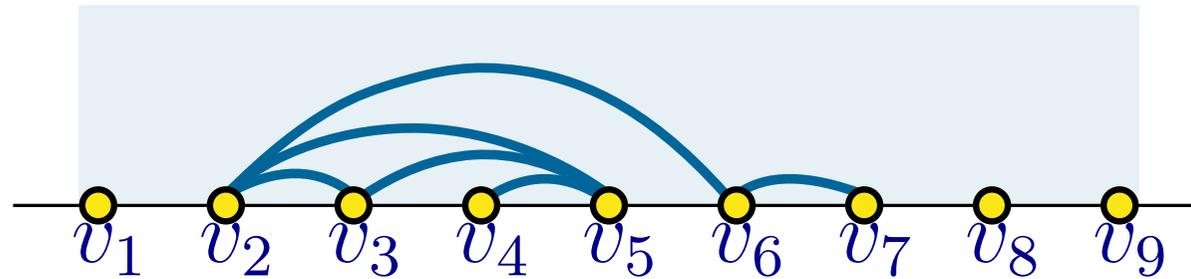


# The Problem

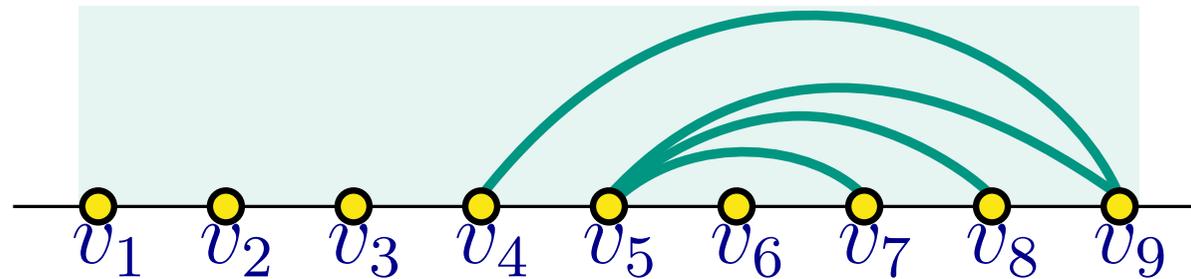
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$G$  has 3-Page Book-Embedding

- **Book Thickness** ( $bt(G)$ ): the minimum  $k$  such that  $G$  admits a  $k$ -page book-embedding.
- Alternatively, known as **Stack Number**.

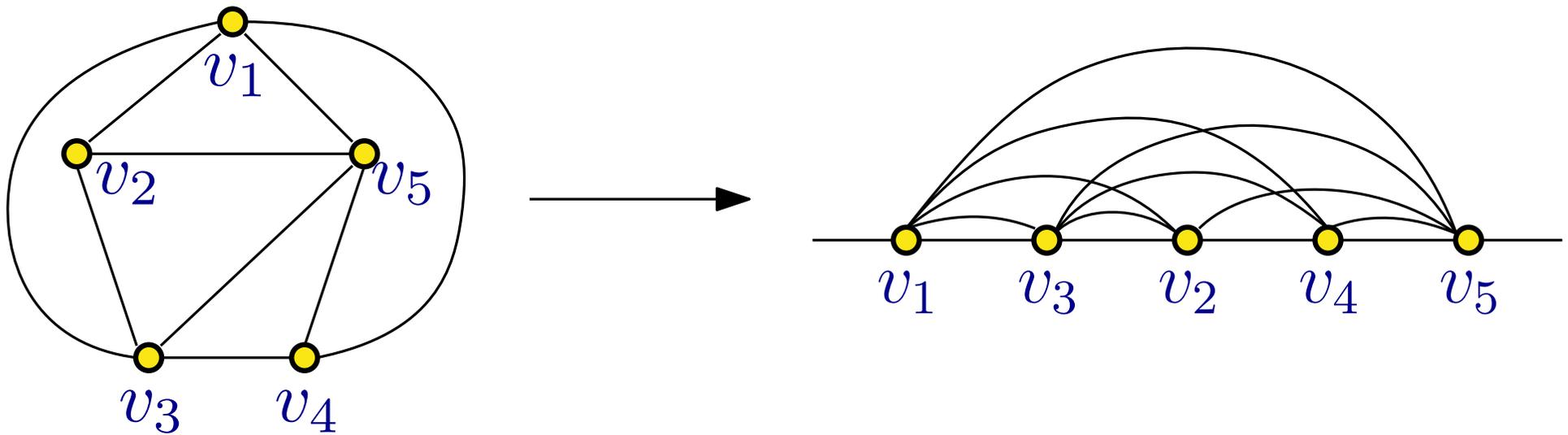
## Applications:

- Bioinformatics
- VLSI
- Parallel Computing

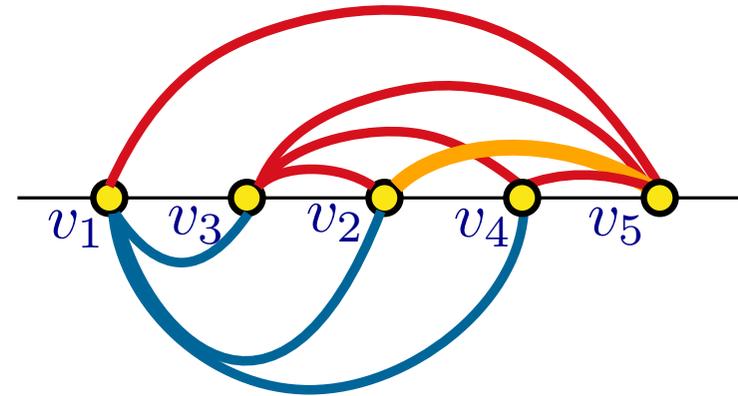
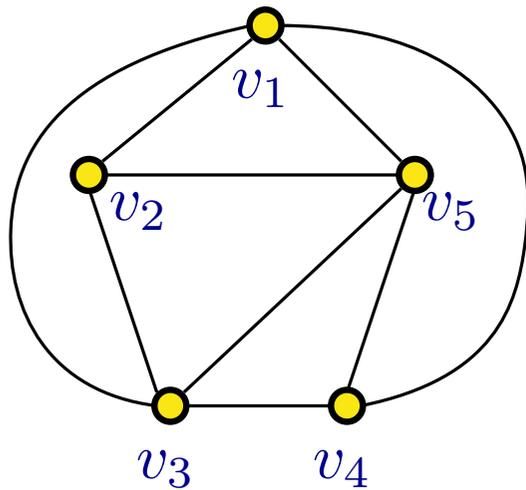
## What we know ...

- Every planar graph has **book thickness** at most four.  
[ Yannakakis – J. Comput. Syst. Sci., 89]
- Given a graph  $G$  and a positive integer  $k$ , determining whether  $bt(G) \leq k$  is NP-complete (even for  $k \geq 2$ ).  
[Bernhart et al. – J. Comb. Theory, Ser. B, 79]

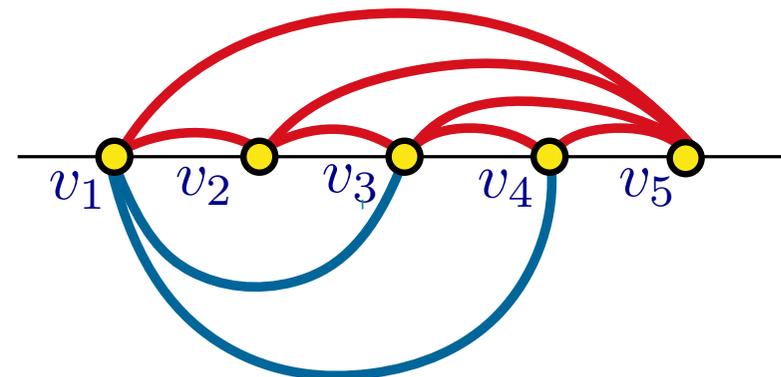
What happens if the linear order  $\prec$  of the vertices is fixed?



Fixed-order book-thickness ( $\text{fo-bt}(G) = 3$ ):



Book-thickness ( $\text{bt}(G) = 2$ ):



## What we know ...

- Deciding whether  $\text{fo-bt}(G, \prec) \leq 2$  is **Polynomial**, since equivalent to testing the bipartiteness of a suitable conflict graph.
- Deciding if  $\text{fo-bt}(G, \prec) \leq 4$  is **NP-Complete**, since equivalent to finding a 4-coloring of a circle graph which is NP-complete [W. Unger – STACS 1992].

- Problem + Parameter

A problem is **fixed-parameter tractable (FPT)** with respect to parameter  $k$  if there exists a solution running in  $f(k) \cdot n^{O(1)}$  time, where  $f$  is a computable function of  $k$  which is independent of  $n$ .

## FPT-algorithms :

- **FIXED-ORDER BOOK THICKNESS** parameterized by the **vertex cover number** of the graph
- **FIXED-ORDER BOOK THICKNESS** parameterized by the **pathwidth** of the graph w.r.t the vertex order
- **BOOK THICKNESS** parameterized by the **vertex cover number** of the graph

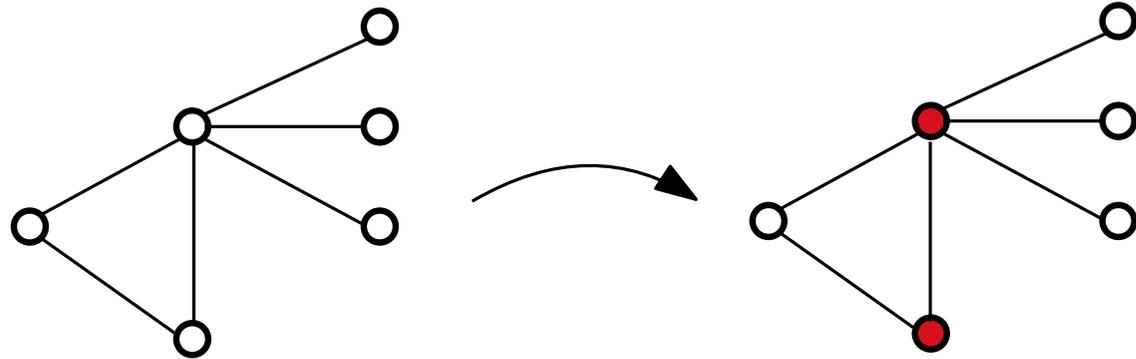
# Algorithms for FIXED-ORDER BOOK-THICKNESS ...

- **Input:** Graph  $G = (V, E)$ , a linear order  $\prec$  of  $V$ , and a positive integer  $k$ .
- **Task:** Decide if there is a page assignment  $\sigma: E \rightarrow [k]$  such that  $\langle \prec, \sigma \rangle$  is a  $k$ -page book embedding of  $G$ , that is whether  $\text{fo-bt}(G, \prec) \leq k$ .

If the answer is 'YES' we shall return a corresponding  $k$ -page book embedding as a witness.

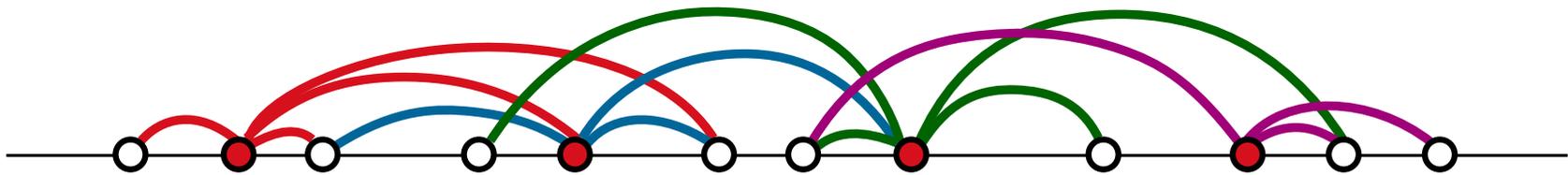
## Parameterization by the Vertex Cover number ( $\tau$ )...

Vertex Cover



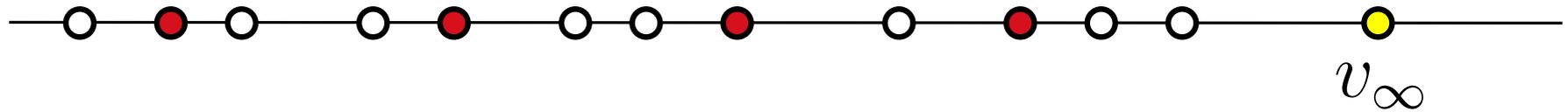
- Vertex Cover  $C$  of a graph  $G$  can be computed in time  $\mathcal{O}(2^\tau + \tau \cdot n)$  [TCS, 10 - Chen et al.]

**Observation 1** *Every graph  $G$  with a vertex cover  $C$  of size  $\tau$  admits a  $\tau$ -page book embedding with any vertex order  $\prec$ .*

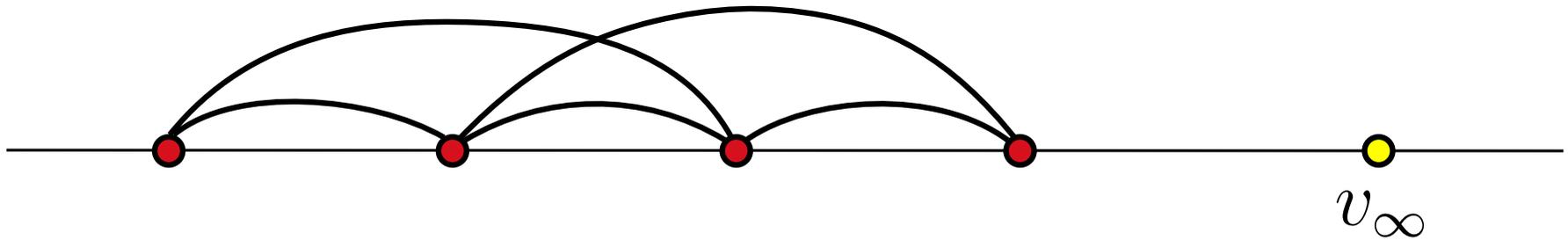


4-page book embedding ...

# Set-up for the Algorithm

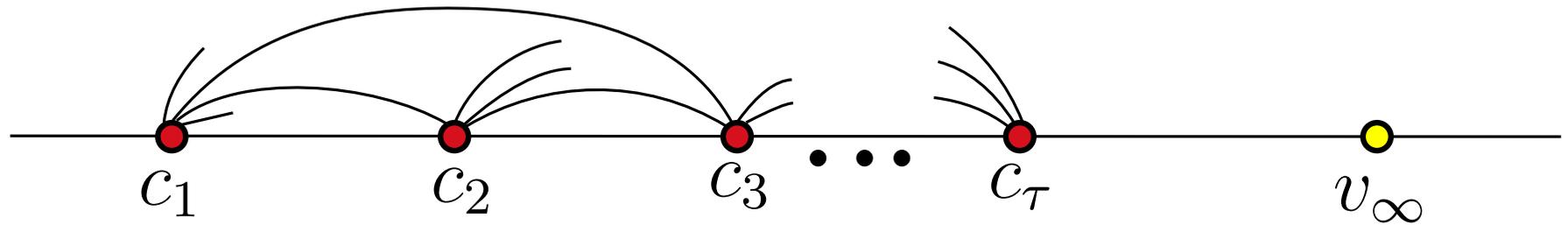


- If  $\tau \leq k$  - Yes!
- Else ...

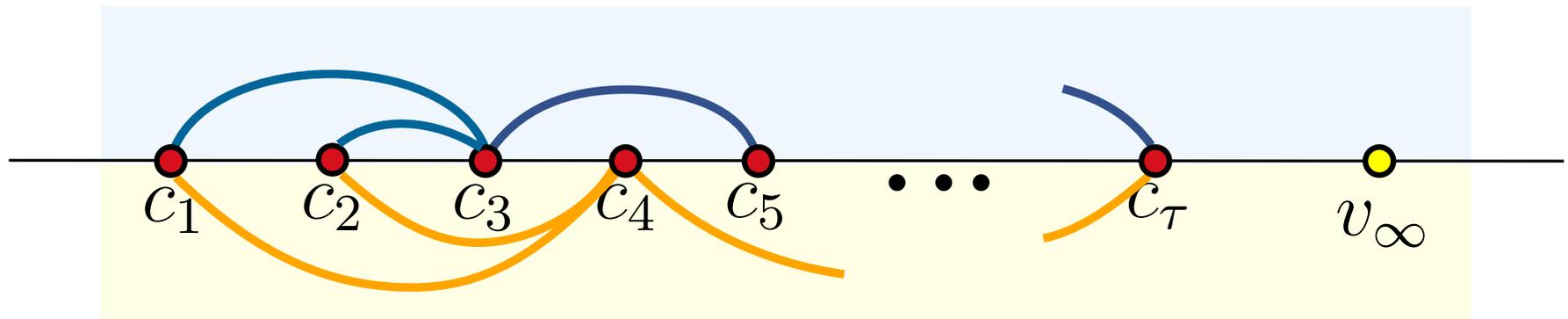


- Compute set of all valid page assignments  $S$  of  $G[C]$
- $|S| < \tau^{\tau^2}$

# Towards the dynamic program ...



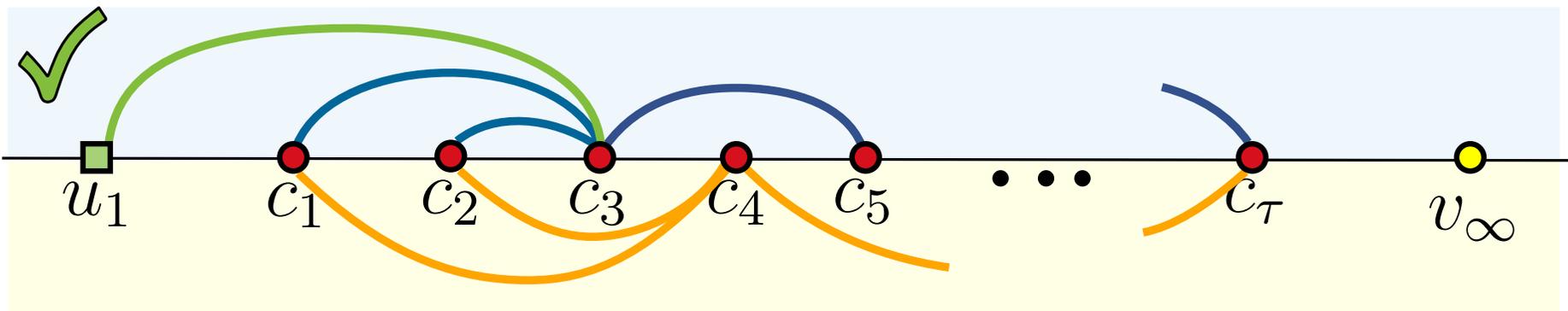
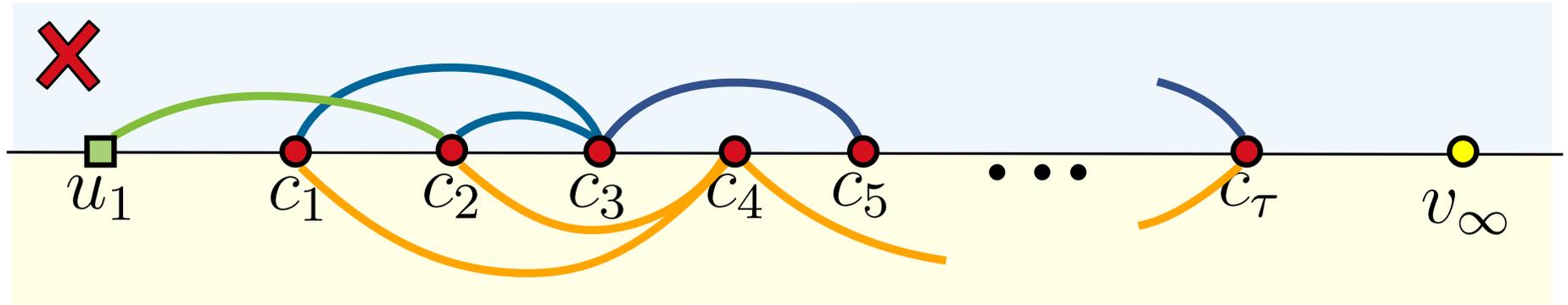
Consider an assignment  $s \in S$



2-page assignment

# Notion of Visibility ...

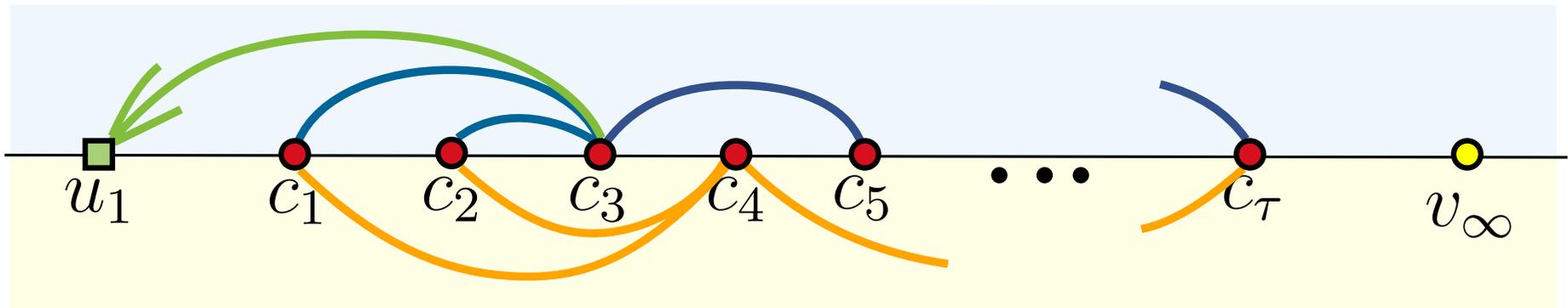
$s \in S$



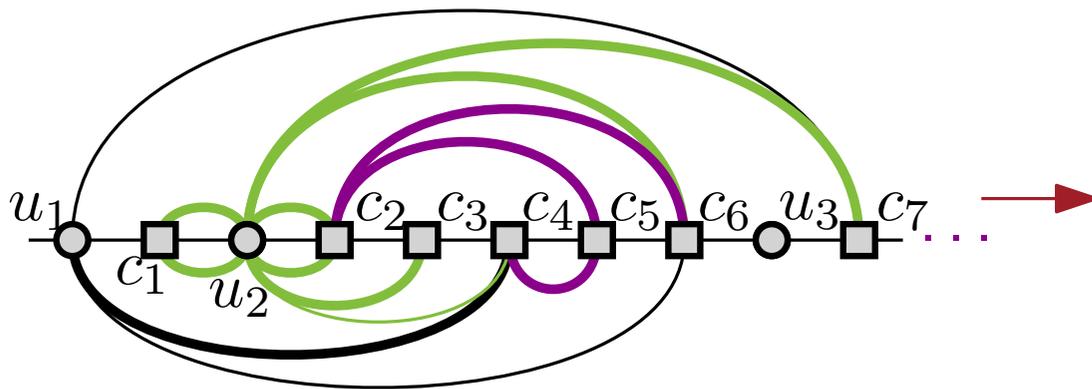
valid page assignment...

# Building visibility matrix ...

$s \in S$



for an index  $a \in [n - \tau]$ , a  $k \times \tau$  **visibility matrix**  $M_i(a, \alpha, s) \dots$



$$M_2(2, \alpha, s) = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix}$$

- Dynamically process the vertices in  $U$  (non vertex cover vertices) from left to right ...
- For each vertex,
  - a bounded size **snapshot** of its visibility vertices ...
- Store one (arbitrarily) chosen valid partial edge assignment ...

All valid partial page assignments lead to the same visibility matrices are **interchangeable** ...

## Record set ...

For a vertex  $u_i \in U$ ,

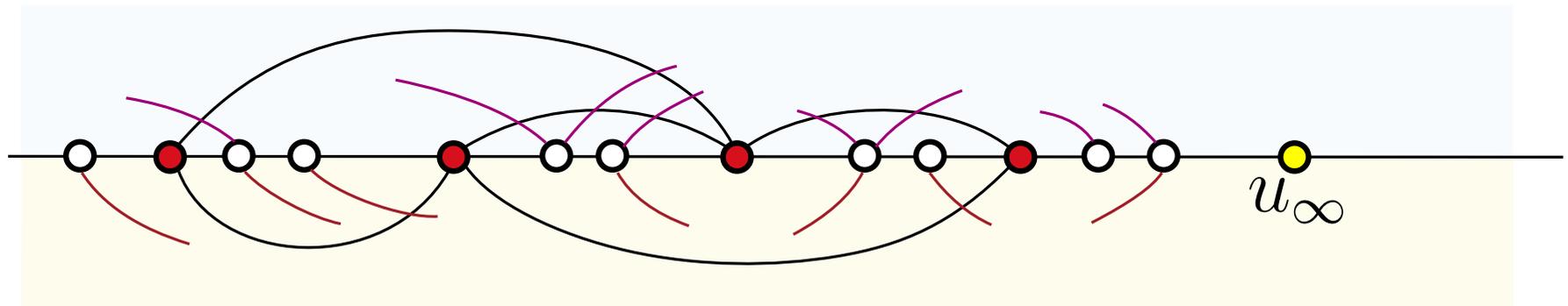
$$\mathcal{R}_i(s) = \{ (M_i(i, \alpha, s), M_i(x_1, \alpha, s), \dots, M_i(x_z, \alpha, s)) \mid \exists \text{ valid partial page assignment } \alpha: E_i \rightarrow [k] \}$$

## Some Observations ...

- $|\mathcal{R}_i(s)| \leq 2^{\tau^3 + \tau^2}$
- If  $\mathcal{R}_{n-\tau}(s) \neq \emptyset$  for some  $s$  ( $u_{n-\tau}$  is a dummy vertex)

then there is a valid partial page assignment  $\alpha: E_{n-\tau} \rightarrow [k]$  s.t.  $s \cup \alpha$  is a non-crossing page assignment of *all* edges in  $G$ .

**Observation 2** *If for all  $s \in S$  it holds that  $\mathcal{R}_{n-\tau}(s) = \emptyset$ , then  $(G, \prec, k)$  is a **NO-instance** of FIXED-ORDER BOOK THICKNESS.*



- It suffices to compute  $\mathcal{R}_{n-\tau}(s)$  for each  $s \in S$ .

- Compute  $\mathcal{R}_1(s)$  ...
- Assume we have computed  $\mathcal{R}_{i-1}(s)$  ...
- Branch over each page assignment  $\beta$  of the edges ( $\leq \tau$ ) incident to  $u_{i-1}$ , and each tuple  $\rho \in \mathcal{R}_{i-1}(s)$  ...
- If it is **NOT** a valid partial page assignment - discard!
- Else, compute the visibility matrices add the corresponding tuple into  $\mathcal{R}_i(s)$ .

**Lemma 1** *The procedure correctly computes  $\mathcal{R}_i(s)$  from  $\mathcal{R}_{i-1}(s)$ .*

Runtime is upper-bounded by -

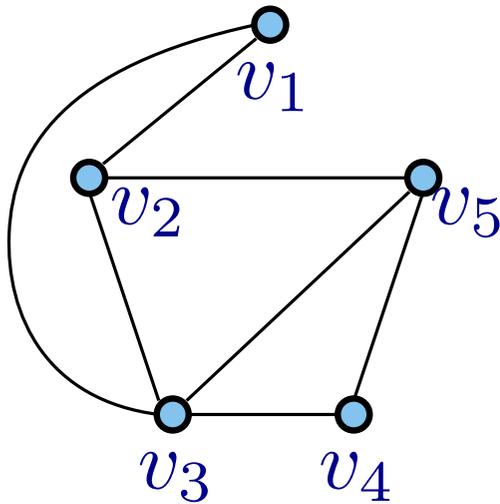
$$(\tau^{\tau^2}) \cdot n \cdot (2^{\tau^3 + \tau^2} \tau^\tau)$$

**Theorem 1** *There is an algorithm which takes as input an  $n$ -vertex graph  $G$  with a vertex order  $\prec$ , runs in time  $2^{\mathcal{O}(\tau^3)}$ .  $n$  where  $\tau$  is the vertex cover number of  $G$ , and computes a page assignment  $\sigma$  such that  $(\prec, \sigma)$  is a  $(\text{fo-bt}(G, \prec))$ -page book embedding of  $G$ .*

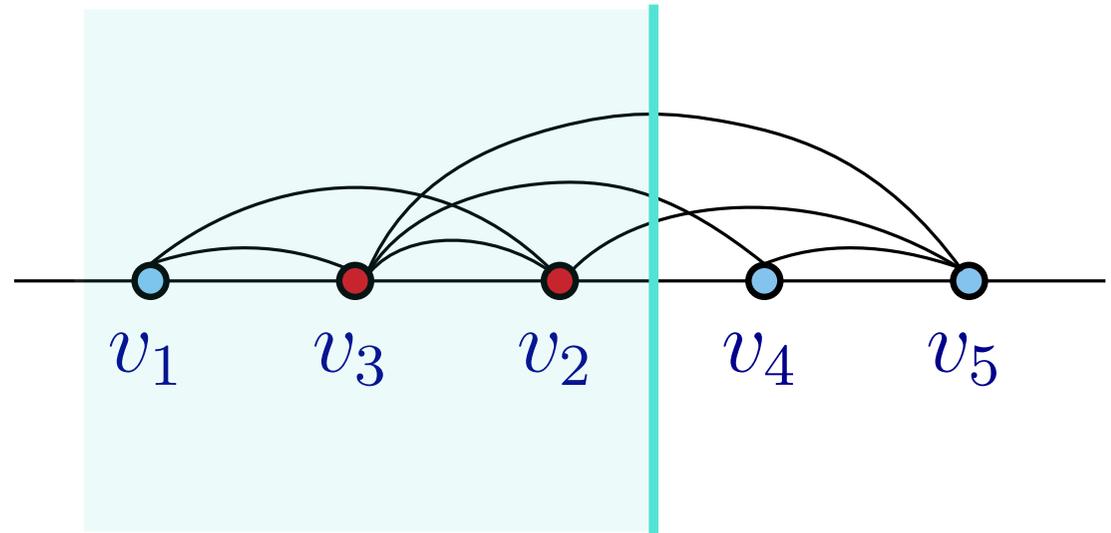
FPT-algorithms :

- FIXED-ORDER BOOK THICKNESS parameterized by the **vertex cover number** of the graph
- FIXED-ORDER BOOK THICKNESS **parameterized by the pathwidth of the graph w.r.t a vertex order**
- BOOK THICKNESS parameterized by the **vertex cover number** of the graph.

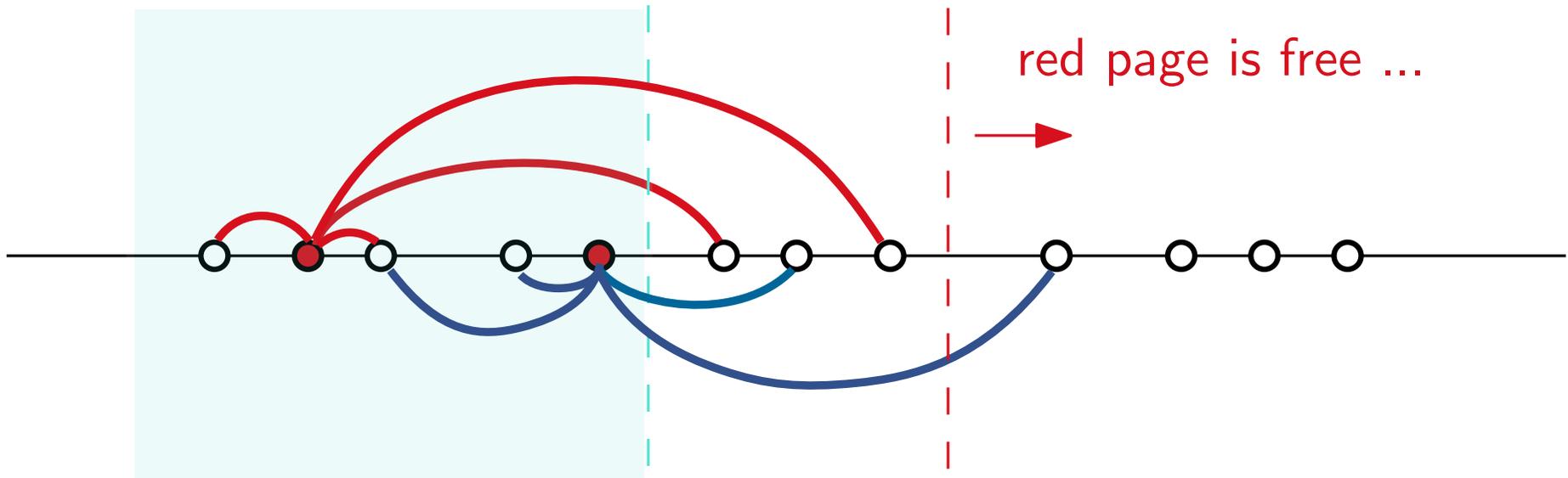
## Parameterization by the pathwidth ...



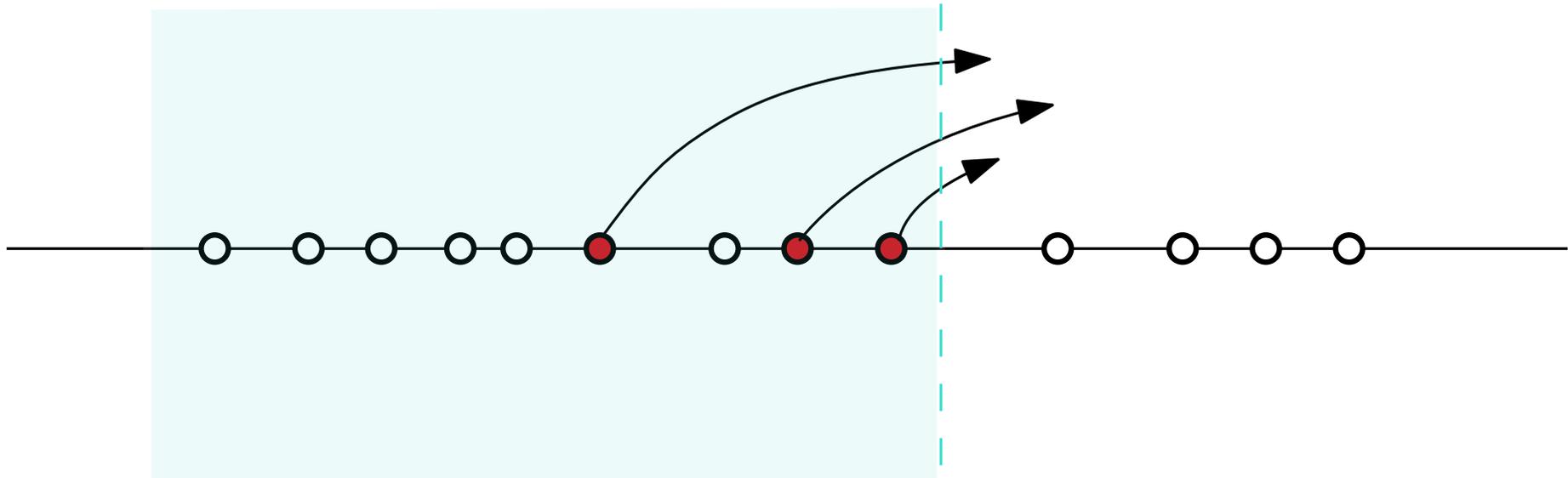
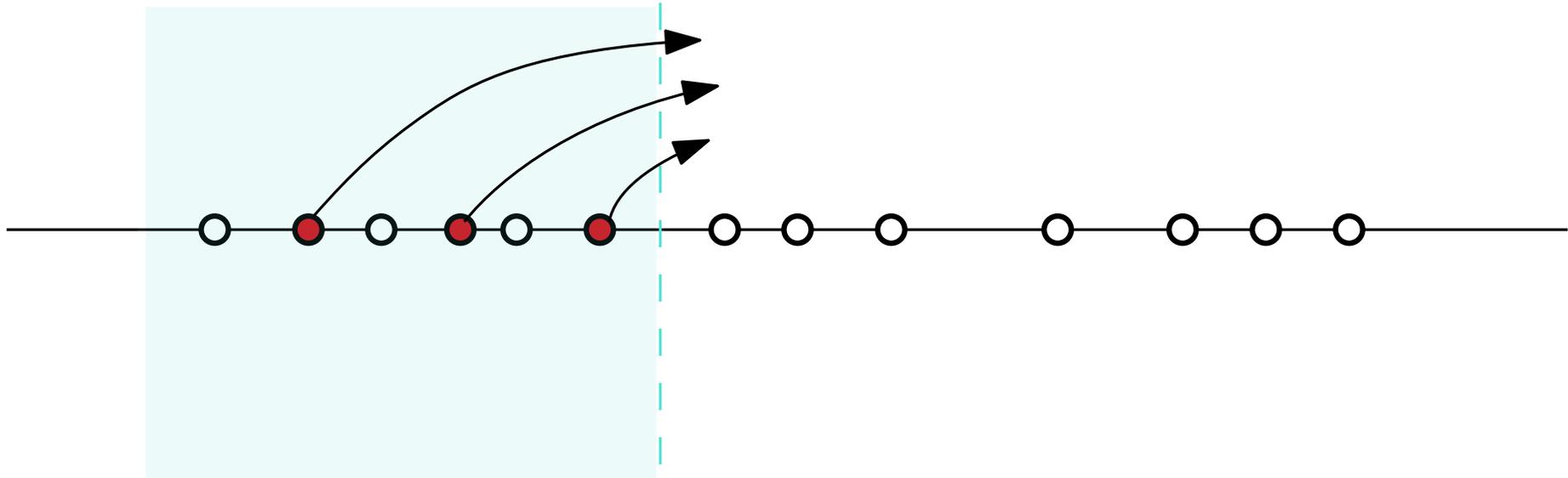
$i/p : G = (V, E), \prec$



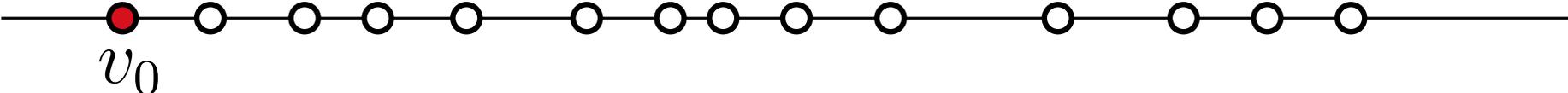
**Lemma 2** Every graph  $G = (V, E)$  with a linear order  $\prec$  of  $V$  such that  $(G, \prec)$  has pathwidth  $k$  admits a  $k$ -page book embedding  $\langle \prec, \sigma \rangle$ , which can be computed in  $\mathcal{O}(n + k \cdot n)$  time.



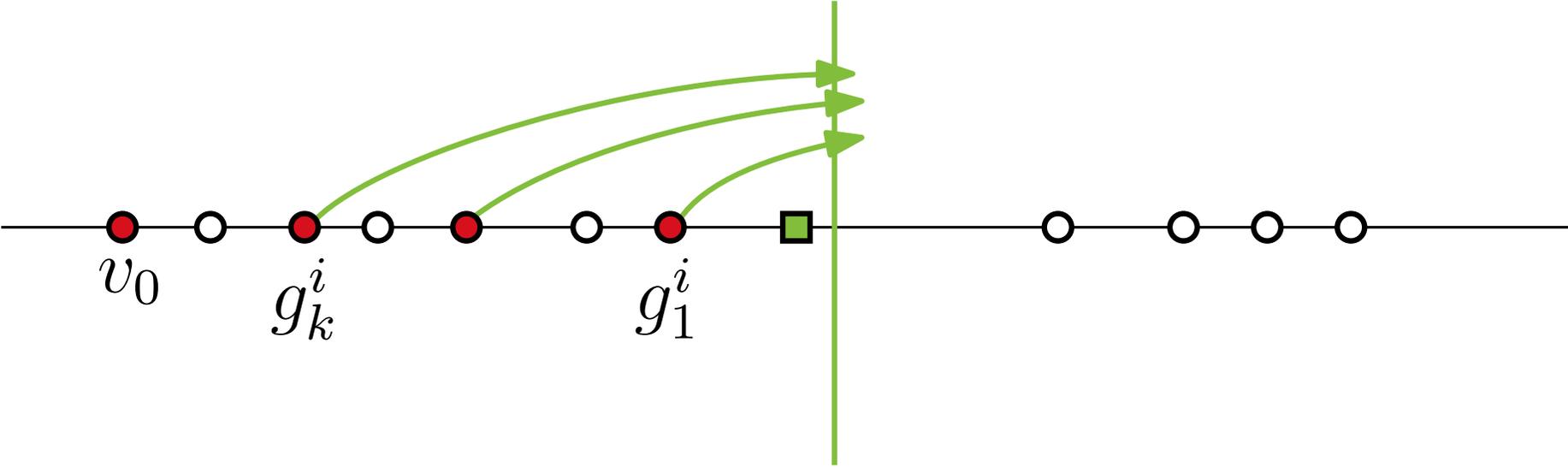
# Dynamic guard sets ...



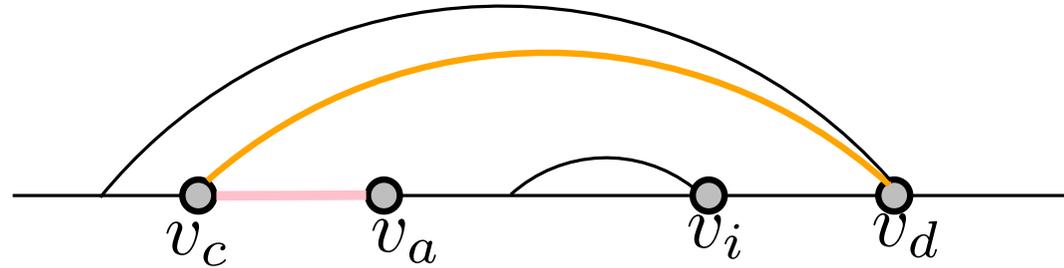
# Set-up for the algorithm ...



concept of guards, **BUT**, in reverse order ...



# Concept of $(\alpha, i, p)$ important edge ...



**Observation 3** *If  $v_a$  has no  $(\alpha, i, p)$ -important edge, then every vertex  $v_x$  with  $x < a$  is  $\alpha$ -visible to  $v_a$ . If the  $(\alpha, i, p)$ -important guard of  $v_a$  is  $v_c$ , then  $v_x$  ( $x < a$ ) is  $\alpha$ -visible to  $v_a$  if and only if  $x \geq c$ .*

**Lemma 3** *The procedure correctly computes  $Q_{i-1}$  from  $Q_i$ .*

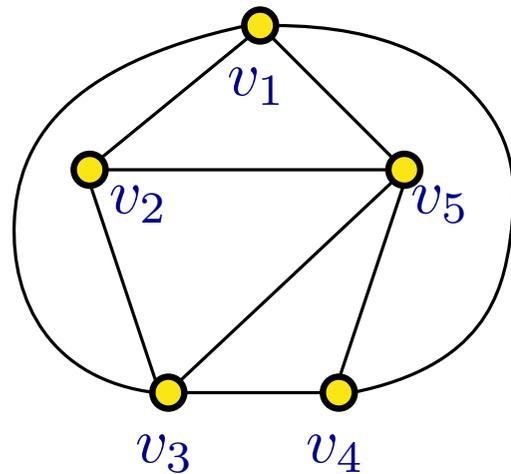
Runtime is upper bounded by  

$$\mathcal{O}(n \cdot (\kappa + 2)^{\kappa^2} \cdot \kappa^\kappa)$$

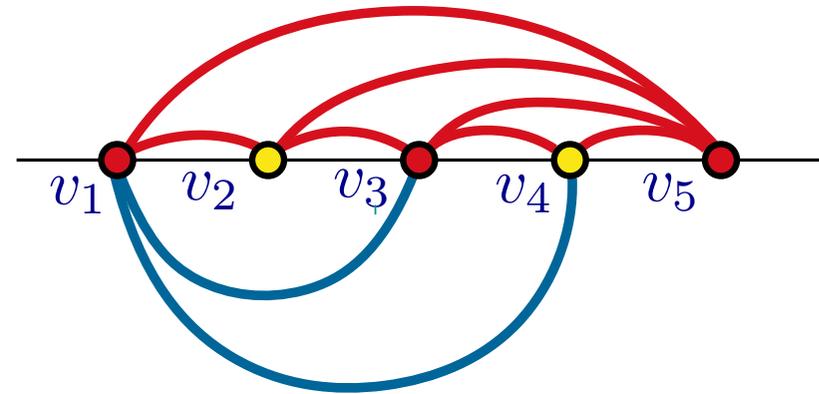
**Theorem 2** *There is an algorithm which takes as input an  $n$ -vertex graph  $G = (V, E)$  with a vertex ordering  $\prec$  and computes a page assignment  $\sigma$  of  $E$  such that  $(\prec, \sigma)$  is a  $(\text{fo-bt}(G, \prec))$ -page book embedding of  $G$ . The algorithm runs in  $n \cdot \kappa^{\mathcal{O}(\kappa^2)}$  time where  $\kappa$  is the pathwidth of  $(G, \prec)$ .*

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- BOOK THICKNESS parameterized by the **vertex cover number** of the graph



Book-thickness ( $bt(G)$ ):



**Theorem 3** Given a graph  $G = (V, E)$  with vertex cover number  $\tau$  and a positive integer  $k$ , there is an algorithm that runs in time  $\mathcal{O}(\tau^{\tau^{\mathcal{O}(\tau)}} + 2^\tau \cdot n)$  ( $\tau = \tau(G)$  is the vertex cover number of  $G$ ), and decides whether  $bt(G) \leq k$ .

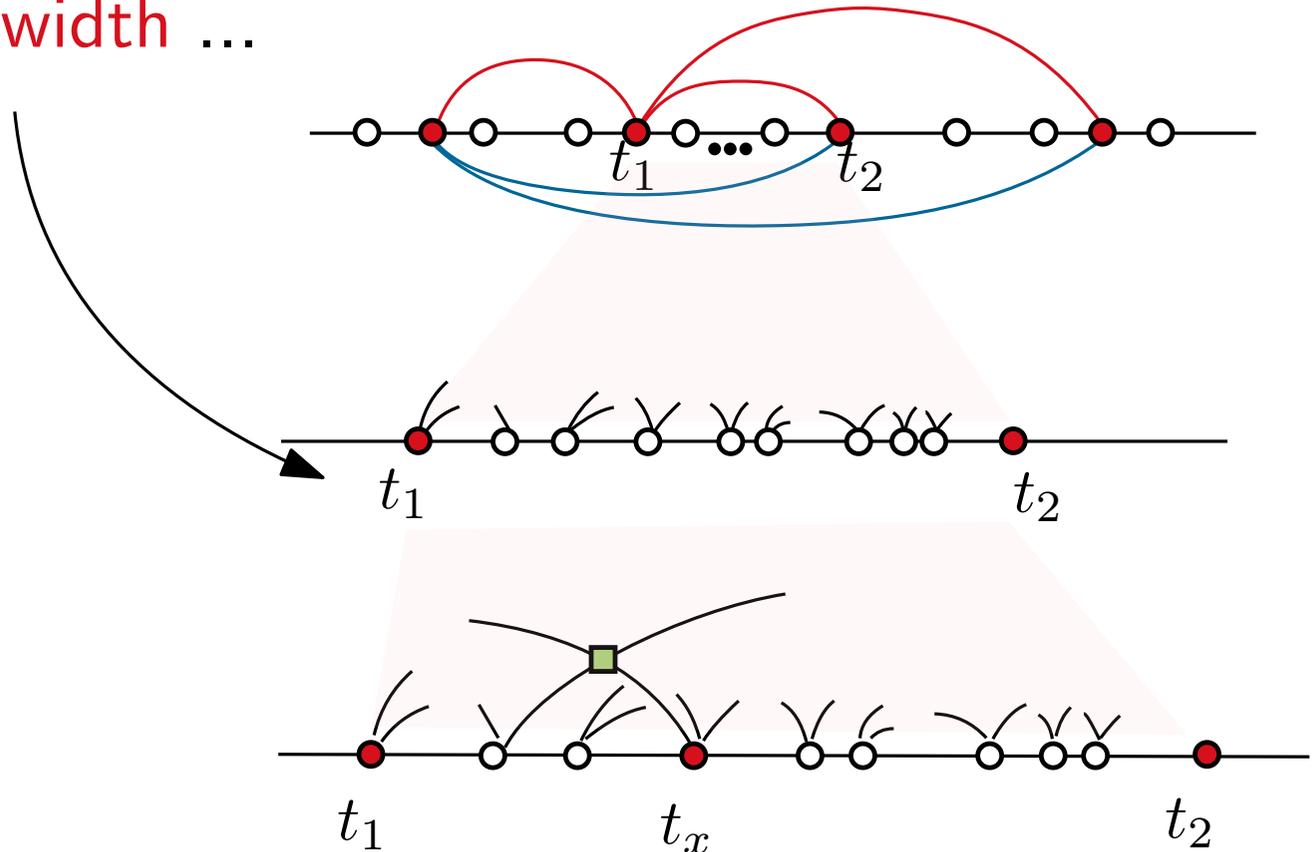
# Conclusion ...

## FPT algorithms

- for fixed-order book-thickness (parameter: vertex cover, pathwidth)
- for book-thickness (parameter: vertex cover)

## Issues with Treewidth ...

what if we allow  
constant number of  
crossings ??? ...



# Thank You

