Flips in Plane Graphs Old Problems, New Results

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- Configurations: plane drawings of straight line graphs, labeled vertices
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flip = exchange of (a bounded number of) edges

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We always assume points to be in general position, i.e., no three points are on a common line

Three central questions for a set of configurations:

- Can we transform from any configuration to any other?
- How long does it take in the worst case?
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Reconfiguration graph:

- vertex: each configuration (e.g. triangulation)
- edge: reconfiguration step (e.g. edge flip)



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Reconfiguration graph:

- vertex: each configuration (e.g. triangulation)
- edge: reconfiguration step (e.g. edge flip)
- Is the flip graph connected?
- What is the diameter (or radius) of the flip graph?
- What is the <u>complexity</u> of finding the shortest flip sequence between two given elements of the flip graph?

Triangulations

Sometimes also called "near-triangulation": straight-line embedding in the plane, where the outerface needs not to be a triangle

Triangulations



Edge flip in triangulations:



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The convex case: bijection with binary trees.



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Triangulations – Convex Position

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What is the shortest rotation sequence between two trees?

Triangulation Flip Graph

- Vertex: Each triangulation of the given set of points
- Edge: two triangulations can be transformed into each other by one edge flip.

For points in convex position:

- connected
- C_{n-2} elements
- an (n 3)-dimensional polytope, called "associahedron"



Classic result for Flips in Triangulations:

- In the combinatorial setting all n-triangulations are connected [Wagner 1936, diameter $O(n^2)$] with diamter O(n) [Komuro 1997]
- For general point sets [Lawson 1972] showed that the flip graph is connected with diameter $O(n^2)$. He uses a canonical triangulation, triangulated in x-sorted order.
- Later [Lawson 1977] used the Delaunay triangulation as canonical triangulation, again ${\cal O}(n^2)$ Delaunay flips.

Classic result for Flips in Triangulations (cont'):

- Without canonical triangulation: The flipdistance can be bounded by the number of crossings (there is always a flip reducing the number of crossings) [Hanke, Ottmann, Schuierer 1996]
- Computing lower bounds for the flipdistance between two given triangulations can be computed in polynomial time [Eppstein 2007]
- Diameter for sets with k onion layers: O(nk)
- Diameter for *n*-gons with k reflex vertices: $O(n + k^2)$; both results [Hurtado, Noy, Urrutia, 1995]
- Points in convex position: Diameter 2n 10 [Sleator, Tarjan, Thurston 1988]; tight for n > 12 [Pournin 2014]

Triangulation Flip – Lower Bound

Lower bound $\Omega(n^2)$ [Hurtado, Noy, Urrutia 1999]



- Double chain with 2n points
- The drawn edges are *unavoidable* for any triangulation, that is, they can not be crossed by any other edge
- Only the inner of the polygon is relevant

Triangulation Flip – Lower Bound

Lower bound $\Omega(n^2)$ [Hurtado, Noy, Urrutia 1999]



- We have a sequence of n-1 ones and n-1 zeros
- A flip is possible between a 1 triangle and a 0 triangle
- The two adjacent numbers are switched

Triangulation Flip – Lower Bound

Lower bound $\Omega(n^2)$ [Hurtado, Noy, Urrutia 1999]



00000 ...11111

11111 ...00000

- "All zeros have to be moved to the right"
- There are n-1 zeros and n-1 ones
- Therefore we need at least $(n-1)^2$ flips
- The flip graph has (at least) quadratic diameter

So we have tight upper bounds O(n) for convex sets, and $O(n^2)$ for general sets, but what is the **complexity** of computing the **shortest flip** sequence between two given triangulations?

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- Computing the flip distance between two triangulations of a planar point set is
 - NP-complete [Pilz 2012] and [Lubiw, Pathak 2012]
 - APX-hard [Pilz, 2014] (reduction from VERTEX COVER), i.e., no polynomial-time algorithm to approximate the flip distance by $1 + \varepsilon$, $\varepsilon \ge 0.36$ exists
 - fixed-parameter tractable for flip distance k: $O^*(k \cdot 32^k)$ [Feng, Li, Meng, Wang 2021], for convex sets $O^*(3.82^k)$ [Li, Xia 2025]

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 - fixed-parameter tractable for flip distance $k: O^*(k \cdot 32^k)$ [Feng, Li, Meng, Wang 2021], for convex sets $O^*(3.82^k)$ [Li, Xia 2025]
- Computing the flip distance between two triangulations of a **simple polygon** is NP-complete [A., Mulzer, Pilz, 2015] reduction from RECTILINEAR STEINER ARBORESCENCE

Open Problem 1: What is the complexity of the flip distance of two triangulations of a set of points in convex position (the rotation distance between two binary trees)?



Open whether it is in P or NP-hard, but $\leq 2n - 10$ flips

Happy Edge Property

A **Happy Edge** is an edge that exists in both, the initial graph and the target graph.

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Happy Edge Property: There exists a shortest flip-sequence between the initial graph and the target graph where happy edges are never flipped.

The Happy Edge Property does not hold for triangulations of general point sets or simple polygons [Hernando, Hurtado, Noy 2002] ...

 $\rightarrow\,$ this was the key to construct NP-hardness gadgets

... but for triangulations of a set of points in convex position [Sleator, Tarjan, Thurston 1988]

 \rightarrow so maybe Open~Problem~1 is in P?

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A reconfiguration step (flip) for a crossing-free spanning tree removes one edge, and inserts another, such that the resulting drawing is again a crossing-free spanning tree

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edge exchange



compatible edge exchange



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• The flip graph for general point sets is connected even for edge slides [A., Aurenhammer, Hurtado 2002] with tight diameter $O(n^2)$ [A., Reinhardt 2007].

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 $\leq 2n-4$ [Hernando, Hurtado, Márquez, Mora, Noy 1999, Avis, Fukuda 1996].

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- For sets in convex position many new results exist, most recently: $14n/9 O(1) \leq \text{diameter} \leq 5n/3 3$, where the lower bound also holds for general point sets. [H.B. Bjerkevik, L. Kleist, T. Ueckerdt., B. Vogtenhuber 2025]
Crossing-free Spanning Trees

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Open Problems for Trees

Open Problem 2: For which flip types does the Happy Edge Property hold for crossing-free spanning trees?

Open Problem 3: What is the complexity of computing the flip distance for crossing-free spanning trees for general point sets / point sets in convex position?

Open Problem 4: What is the tight bound for the diameter of the flip graph for crossing-free spanning trees for general point sets / point sets in convex position?

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- Configuration: crossing-free spanning paths on n points
- Flip: exchange of one edge e to an edge f.

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Known so far:

- n points in convex position: connected with diameter 2n-6 ($n\geq 5$), tight [Akl, Islam, Meijer, 2007 / Chang, Wu, 2009].
- Connected for $n \le 11$ points in general position, even for Type 1 flips [shown via order types].

Open Problem 5: Is the flip graph for crossing-free spanning paths connected? For which flip-types?



• Connected for wheel sets (diameter 2n - 4), generalized double circles (diameter $O(n^2)$, include ice cream cones, double chains, double circles)

[A., Knorr, Löffler, Masárová, Mulzer, Obenaus, Paul, Vogtenhuber, 2023]

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• Connected for Sets with two Convex Layers

[L. Kleist, P. Kramer, Ch. Rieck, 2024]

Open Problem 5: Is the flip graph for crossing-free spanning paths connected? For which flip-types?

Open Problem 6: In case of connectedness (convex sets etc) how fast can the shortest flip-sequence be found?

Open Problem 7: Assume that starting and target paths are together crossing-free (aka compatible). Can they be embedded into a triangulation, so that flipping from one to the other can be done via paths of this triangulation?

Open Problem 8: Is the flip graph for crossing-free spanning paths connected via compatible paths? Two paths are compatible if their union is crossing-free.

The Happy Edge Property does **NOT** hold for crossing-free spanning-path, not even for points in convex position:



The diagonal is an happy edge, but is the only one that can be flipped.

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Reconfiguration problems seem to get harder when the happy edge property does not hold, but for **Problem 6**:

Wednesday April 9

17:15 - 17:30 Oswin Aichholzer and Joseph Dorfer 🎓

A Linear Time Algorithm for Finding Minimum Flip Sequences between Plane Spanning Paths

in Convex Point Sets

What if we **close** the path to a crossing-free spanning cycle?



- aka polygonalizations
- a flip consists of 2 edges



A flip is not always possible: counterexample with 19 points [Hernandoa, Houle, Hurtado 2002]

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New example (2023) with only 9 vertices, no 2-edge-flip is possible. Minimal w.r.t. the number of points

Crossing-free Perfect Matchings Hoher Bolz 2421 ar 3198 Rameter Spitz 2696

Goldzeo

n=2m points

σ

Polinik 2184

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Goldeck 2142 m
A natural reconfiguration step is a flip of 2 edges:



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Open Problem 9: Is the flip graph of crossing-free perfect matchings connected by 2-edge flips?

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Can we actually show that for any crossing-free perfect matching there always exists at least one 2-flip? For the flip graph that means that there are no singletons, that is, any connected component has at least two elements.



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Remark: Connected with a linear number of 2-edge flips for points in convex position [Hernando, Hurtado, Noy 2002]

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Flipping 2 edges can be seen as "flipping the parity" of a crossing-free 4-cycle



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General: Flipping $k \ge 2$ edges can be seen as "flipping the parity" of a crossing-free 2k-cycle.



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Cycles do not need to be empty in the interior

Cycles might self-intersect, but we consider only crossing-free cycles

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For unbounded k the flip-graph is connected via flips of crossing-free k-cycles [Houle, Hurtado, Noy, Rivera-Campo, 2005]

Flip distance for crossing-free multi-cycles $O(\log n)$ ([A., Bereg, Dumitrescu, Garcia, Huemer, Hurtado, Kano, Marquez, Rappaport, Smorodinsky, Souvaine, Urrutia, Wood, 2008])

Lower bound $\Omega(\log n / \log \log n)$ [Razen, 2008]

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Open Problem 10: Is the flip graph of crossing-free perfect matchings connected via crossing-free $\leq 2k$ -cycles, k = o(n)?

The Happy Edge Property does not hold for crossing-free perfect matchings with flips of sublinear size:



- Red matching edges are happy and should not be flipped
 - Respecting happy edges, all black matching edges must be flipped at the same time
- Respecting happy edges, at least half of the edges must be flipped at the same time
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The Happy Edge Property does not hold for crossing-free perfect matchings with flips of sublinear size:



Almost perfect matching: n = 2m + 1 points, m edges



Almost perfect matching: n = 2m + 1 points, m edges



Almost perfect matching: n = 2m + 1 points, m edges



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Almost perfect matching: n = 2m + 1 points, m edges



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Almost perfect matching: n = 2m + 1 points, m edges



Almost perfect matching: n = 2m + 1 points, m edges



Almost perfect matching: n = 2m + 1 points, m edges



The flip graph of almost perfect matchings is connected with diameter $O(n^2)$ [A., Brötzner, Perz, Schnider 2024]
10 Open Problems

Open Problem 1: What is the complexity of the flip distance of two triangulations of a set of points in convex position (the rotation distance between two binary trees)?

Open Problem 2: Which flip types have the Happy Edge Property for crossing-free spanning trees? **Open Problem 3:** What is the complexity of computing the flip distance for crossing-free spanning trees for general point sets / point sets in convex position?

Open Problem 4: What is the tight bound for the diameter of the flip graph for crossing-free spanning trees for general point sets / point sets in convex position?

Open Problem 5: Is the flip graph for crossing-free spanning paths connected? For which flip-types? **Open Problem 6:** In case of connectedness (convex sets etc), how fast can the shortest flip-sequence for crossing-free paths path be found?

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Open Problem 9: Is the flip graph of crossing-free perfect matchings connected by 2-edge flips? **Open Problem 10:** Is the flip graph of crossing-free perfect matchings connected by flips of crossing-free $\leq 2k$ -cycles with k = o(n)?

Thank you for your attention!

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