

## LECTURE 13

7/1/2021

## RANDOMIZED VERIFYING POLYNOMIAL IDENTITIES

Def: a polynomial  $P$  is identically 0 (notation:  $P \equiv 0$ ), if all its coefficients are zero

(note:  $x^2 - x$  in  $\mathbb{Z}_2$  is not identically zero)

Test of identity: for  $P$  of degree  $n$ , trivially in  $O(n)$  time

BUT: sometimes - no direct access to the coefficients  
- we need it faster (in parallel)

Def: for a multivariate polynomial  $Q(x_1, \dots, x_n)$

degree of a term - the sum of exponents of the variables

total degree of  $Q$  - the maximum of the degrees of its terms

E.g., for  $Q(x_1, x_2) = x_1^2 + x_1 x_2 + 2x_2^3 + 5$  ... total degree = 3

Theorem: Let  $P(x_1, \dots, x_n) \not\equiv 0$  be a polynomial over the field  $K$  of total degree  $d$ , and let  $S \subseteq K$  be a non-empty finite subset, and let  $r_1, \dots, r_n \in S$  be chosen independently uniformly at random. Then

$$\Pr [P(r_1, \dots, r_n) = 0] \leq \frac{d}{|S|}$$

Proof: by induction on  $n$

$n=1$ : as  $P \not\equiv 0$ ,  $P$  has at most  $d$  distinct roots  
 $\Rightarrow$  at most  $d$  choices for  $r_1$  s.t.  $P(r_1) = 0$   
 $\Rightarrow \Pr [P(r_1) = 0] \leq \frac{d}{|S|}$

inductive step: factor out the largest exponent of  $x_1$  in  $P$

$$\otimes P(x_1, \dots, x_n) = x_1^k \underbrace{A(x_2, \dots, x_n)}_{\text{total degree } d-k} + B(x_1, x_2, \dots, x_n)$$

... say  $k$   
 $\uparrow$   
degree of  $x_1$  is  $< k$

⊙ For any two events  $E$  and  $\bar{F}$ :

$$\Pr [E] \leq \Pr [F] + \Pr [E | \bar{F}]$$

$$(\Pr [E] = \Pr [E | F] \cdot \Pr [F] + \Pr [E | \bar{F}] \cdot \Pr [\bar{F}])$$

event  $E = (P(r_1, \dots, r_n) = 0)$ , event  $F = (A(r_2, \dots, r_n) = 0)$

$$\Pr[F] = \Pr[A(r_2, \dots, r_n) = 0] \stackrel{\substack{\leq \\ \uparrow \\ \text{ind. assumption - } A \text{ is poly. of } n-1 \text{ variables}}}{\leq} \frac{d-k}{|S|}$$

$$\Pr[E|\bar{F}] = \Pr[P(r_1, r_2, \dots, r_n) = 0 \mid A(r_2, \dots, r_n) \neq 0] \leq \frac{k}{|S|}$$

$P(x_1, r_2, \dots, r_n)$  ... univariate polynomial of degree  $k$ ,  
 and not identically 0, if  $A(r_2, \dots, r_n) \neq 0$   
 (see  $\textcircled{*}$ )  
 random choices

by  $\textcircled{!}$ :  $\Pr[E] \leq \frac{d-k}{|S|} + \frac{k}{|S|} = \frac{d}{|S|}$   $\square$

### TEST for $P \equiv 0$ ?

$d$  = total degree of  $P$

fix  $S \subseteq K$  of size  $2d = |S|$

randomly, ind. select  $r_1, \dots, r_n \in S$

if  $P(r_1, \dots, r_n) \neq 0$  then declare " $P \not\equiv 0$ " ... always correct

otherwise declare " $P \equiv 0$ " ... error prob.  $\leq \frac{1}{2}$

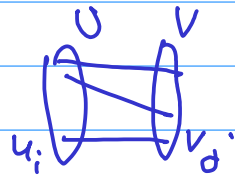
### PARALLEL ALGORITHM FOR PERFECT MATCHING

Goal:  $O(\log^2 n)$ -time algorithm with  $n^{O(1)}$  processors  
 for  $G$  with  $n$  vertices, with error probability  $\leq \frac{1}{2}$ .

### BIBARTITE GRAPHS

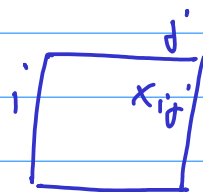
$$G = (U, V, E), \quad U \cap V = \emptyset, \quad E \subseteq U \times V$$

assume  $U = \{u_1, \dots, u_n\}, \quad V = \{v_1, \dots, v_n\}$



Def: Edmond's matrix

$$A_{ij} = \begin{cases} x_{ij} & \text{if } (u_i, v_j) \in E \\ 0 & \text{otherwise} \end{cases}$$



Theorem (Edmonds):  $G=(U, V, E)$  with  $|U|=|V|$  has a perfect matching  $\Leftrightarrow \det(A) \neq 0$ .

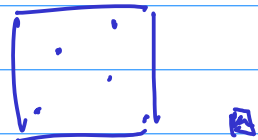
Proof:

by definition  $\det A = \sum_{\pi \in S_n} \text{sgn}(\pi) \cdot A_{1, \pi(1)} \cdot A_{2, \pi(2)} \cdots A_{n, \pi(n)}$

as each  $x_{ij}$  occurs at most once in  $A$ , there is no cancellation of terms in the sum.

$\det(A) \neq 0 \Leftrightarrow \exists \pi$  s.t.  $A_{1, \pi(1)} \cdot A_{2, \pi(2)} \cdots A_{n, \pi(n)} \neq 0$

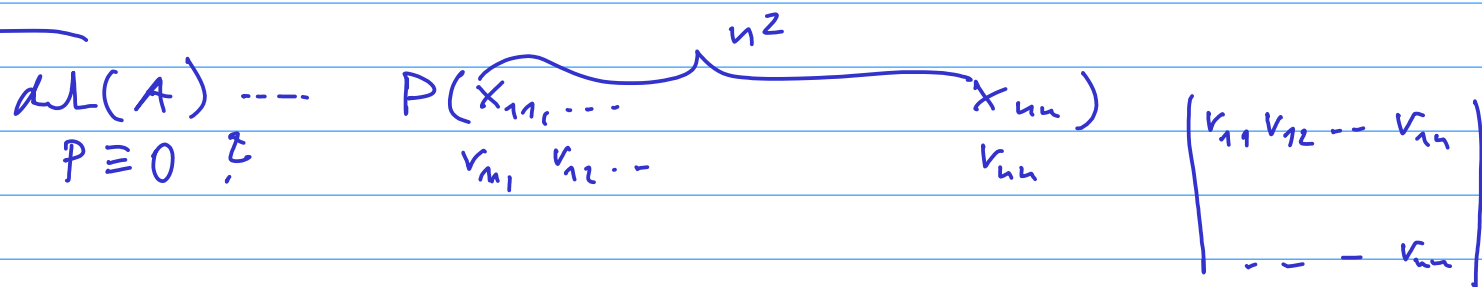
$\Leftrightarrow \{(u_i, v_{\pi(i)}) \mid i=1, \dots, n\}$  is a perfect matching.



Verifying the existence of a perfect matching in bipartite graph can be done using the fact for polynomial identities.

Fact: The determinant of  $n \times n$  matrix with  $k$ -bit numbers can be computed in  $O(\log^2 n)$ -time with  $O(n^{3.5} \cdot k)$  processors.

$\Rightarrow$  Test whether  $G=(U, V, E)$  has a perfect matching can be implemented in  $O(\log^2 n)$ -time with  $O(n^{3.5} \log n)$  processors with error probability  $\leq \frac{1}{2}$  (or  $\leq \frac{1}{2^k}$  if you run it  $k$ -times)

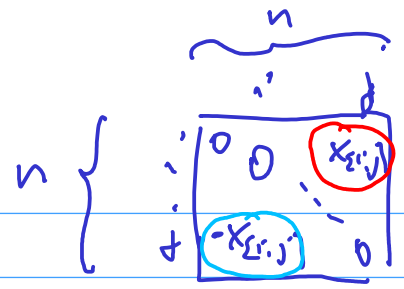


# GENERAL GRAPHS

$$G = (V, E) \quad V = \{1, \dots, n\}$$

Def: Tutte's matrix

$$A_{i,j} = \begin{cases} x_{\{i,j\}} & \text{if } \{i,j\} \in E, i < j \\ -x_{\{i,j\}} & \text{if } \{i,j\} \in E, i > j \\ 0 & \text{if } \{i,j\} \notin E \end{cases}$$

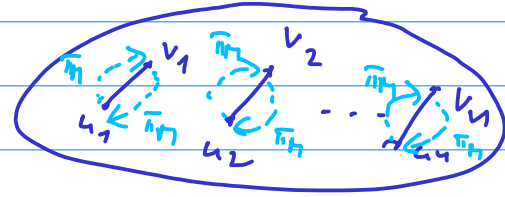


Theorem (Tutte's): Graph \$G = (V, E)\$ has a perfect matching iff \$\det(A) \neq 0\$.

Proof: Let \$f\_\pi = \prod\_{i=1}^n A\_{i, \pi(i)}\$

\$\Rightarrow\$ consider a perfect matching \$M = \{\{u\_1, v\_1\}, \dots, \{u\_{n/2}, v\_{n/2}\}\}\$  
define \$\pi\_M\$:

$$\begin{aligned} \pi_M(u_i) &= v_i & \forall i \\ \pi_M(v_i) &= u_i & \forall i \end{aligned}$$



Then

$$f_{\pi_M} = \prod_{i=1}^{n/2} x_{\{i, \pi_M(i)\}} \cdot \prod_{i=n/2+1}^n (-x_{\{i, \pi_M(i)\}}) = \prod_{i=1}^{n/2} (-x_{\{i, \pi_M(i)\}}^2)$$

Note: there is no \$\pi \in S\_n, \pi \neq \pi\_M\$, s.t. \$f\_\pi = \pm f\_{\pi\_M}\$

\$\Rightarrow\$ the term \$f\_{\pi\_M}\$ has a non-zero coefficient  
\$\Rightarrow \det(A) \neq 0\$

\$\Leftarrow \det(A) \neq 0\$

consider a \$\pi \in S\_n\$ that contains an odd cycle

\$\Rightarrow\$ define \$\pi'\$ ... same as \$\pi\$ but going in the other direction on the odd cycle

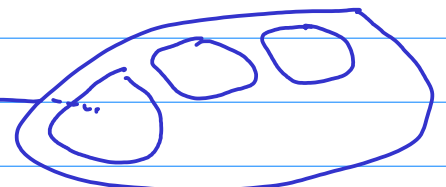
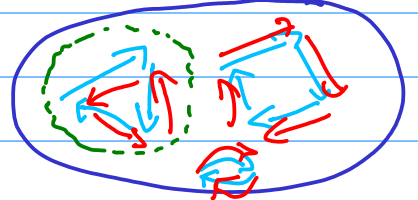
note: \$f\_{\pi'} = -f\_\pi, \text{sgn}(\pi) = \text{sgn}(\pi')\$

Lemma (Contribution of \$\pi\$'s with odd cycle)

$$\sum_{\substack{\pi \in S_n \\ \pi \text{ contains odd cycle}} \text{sgn}(\pi) f_\pi = 0$$

\$\pi\$ contains odd cycle

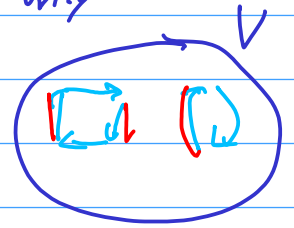
the same cycles



Proof - homework...

by the assumption  $\det(A) \neq 0$  and Lemma  
 $\Rightarrow$  there is  $\pi \in \mathcal{S}_n$  consisting of even cycles only  
 s.t.  $f_\pi \neq 0$ .

$\Rightarrow$  by selecting every other edge  
 from each cycle in  $\pi$ , we get  
 a perfect matching.



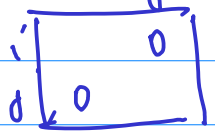
How to find a perfect matching? How to do it in parallel?

• Assume  $G$  has a unique perfect matching  $M$ .

How does the removal of

an edge  $\begin{cases} \{i,j\} \in M \\ \{i,j\} \notin M \end{cases}$

affect  $\det(\dots)$ ?  $\rightarrow$  goes to 0  
 $\downarrow$  remains  $\neq 0$



$\Rightarrow$  We have a TEST!

• To get rid of the unrealistic assumption about unique perfect matching,  
 we introduce random weights on edges, that ensure, w.h.p., unique min weight  
 matching.

Lemma (Isolation Lemma): Suppose that  $\mathcal{F}$  is a family

of subsets of a finite set  $X = \{x_1, \dots, x_m\}$ .

Let  $w: X \rightarrow \{1, 2, \dots, 2m\}$  be a position weight function  
 defined by assigning to each element of  $X$  a random  
 weight chosen uniformly at random from  $\{1, 2, \dots, 2m\}$ .

Then  $\Pr$  [there is a unique min weight set in  $\mathcal{F}$ ]  $\geq \frac{1}{2}$ .

PARALLEL MATCHING ALGORITHM

INPUT -  $G = (V, E)$ ,  $m = |E|$

1.  $M = \emptyset$ . For all edges  $\{i,j\} \in E$  in parallel choose weight  $w_{\{i,j\}} \in \{1, 2, \dots, 2m\}$ ,  
 uniformly independently at random

2. Compute  $\det(B)$  for the Tutte matrix  $B$  with  $x_{\{i,j\}} = 2^{w_{\{i,j\}}}$

3. Find  $w$  s.t.  $2^{2w}$  is the largest power of 2 dividing  $\det(B)$

4. For all edges  $\{i,j\} \in E$  in parallel compute  $d = \det(B^{i,j})$  where  
 $B^{i,j}$  is  $B$  with  $i$ th &  $j$ th columns and rows deleted

If  $(d \cdot 2^{2w_{\{i,j\}}}) / 2^{2w}$  is odd, add  $\{i,j\}$  to  $M$  (degree of  $i$  in  $M$ )

5. For all vertices  $i \in V$  in parallel check that  $\deg(i) = 1$ .

if YES, then output  $M$ .

BONUS NOTES - not covered in class

Main Lemma (on weights and unique min gen. lt + PM) :

Suppose that there is a unique minimum weight perfect matching in  $G$  and that its weight is  $W$ .

Then  $\det(B) \neq 0$  and  $\frac{\det(B)}{2^{2W}}$  is odd.

Moreover, an edge  $\{i, j\} \in M \iff \frac{\det(B^{i,j}) \cdot 2^{w_{\{i,j\}}}}{2^{2W}}$  is odd.

As before: the matrix  $B$  is obtained from the Tutte matrix  $A$  by assigning  $2^{w_{\{i,j\}}}$  for  $x_{\{i,j\}}$ , and  $B^{i,j}$  is obtained from  $B$  by deletion of columns and rows  $i, j$ .

The lemma is a generalization of the Tutte theorem and the proof goes along the same lines.

Proof of the Main Lemma: for  $\pi \in S_n$  let  $\text{value}(\pi) = \prod_{i=1}^n B_{\pi(i), i}$

Claim 1:  $\text{value}(\pi) \neq 0 \iff \forall i \in V, \{i, \pi(i)\} \in E$

Note that each  $\pi \in S_n$  corresponds to a set of vertex disjoint oriented cycles in  $G$  (orientation given by  $\pi$ )

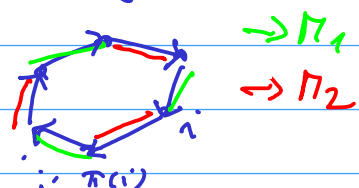
We say:  $\pi$  is odd if  $\pi$  contains  $\geq 1$  odd-length cycle  
 $\pi$  is even if  $\pi$  contains only even-length cycles

Claim:  $\sum_{\substack{\pi \in S_n \\ \pi \text{ odd}}} \text{sgn}(\pi) \cdot \text{value}(\pi) = 0$

$\Rightarrow \det(B)$  is completely determined by even permutations.

(by 1)

Consider an even permutation  $\pi \in S_n$  s.t.  $\text{value}(\pi) \neq 0$ . Then the set  $\{(i, \pi(i)) \mid i \in V\}$  can be partitioned into two disjoint perfect matchings  $M_1, M_2$ , by considering alternating edges from each cycle (even!).



$$|\text{value}(\pi)| = \left| \prod_{i=1}^n B_{i, \pi(i)} \right| \stackrel{\text{by def. of value}}{\uparrow} = \left| 2^{\sum_{i=1}^n w_{\{i, \pi(i)\}}} \right| \stackrel{\text{def of } B}{\uparrow} = 2^{w(M_1) + w(M_2)}$$

(where  $w(M_k) = \sum_{e \in M_k} w(e)$ ,  $k=1,2$ )

Observe:

• if an even  $\pi \in S_n$  s.t.  $\text{value}(\pi) \neq 0$  contains a cycle of length 4 or more, then  $M_1 \neq M_2$

$\Rightarrow$  at most one of  $M_1, M_2$  is the unique min weight PM

$\Rightarrow |\text{value}(\pi)| > 2^{2w}$

• if an even  $\pi \in S_n$  s.t.  $\text{value}(\pi) \neq 0$  contains cycles of length 2 only,

then  $M_1 = M_2$

$\Rightarrow |\text{value}(\pi)| \geq 2^{2w}$  and

$|\text{value}(\pi)| = 2^{2w}$  iff  $M_1 = M_2$  is the unique min weight PM

thus • the absolute contribution of each even  $\pi \in S_n$ , s.t.  $\text{value}(\pi) \neq 0$ , is a power of 2, and at least  $2^{2w}$ .

• exactly one  $\pi \in S_n$  has contribution exactly  $2^{2w}$ , in absolute value - cannot be canceled out.

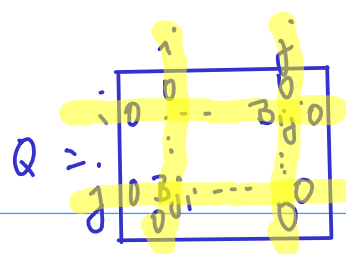
$\Rightarrow \det(B) \neq 0$

$\Rightarrow$  the highest power of 2 that divides  $\det(B)$  is  $2^{2w}$ ,

$\Rightarrow \frac{\det(B)}{2^{2w}}$  is odd

Recall:  $B_{ij} = 2^{w_{\{i,j\}}}$ ,  $B_{ji} = -2^{w_{\{i,j\}}}$

$\text{value}(\pi) = \prod_{i=1}^n B_{i,\pi(i)}$



Consider now a fixed pair  $\{i,j\}$ .

Let  $Q$  be an  $n \times n$  matrix derived from  $B$  by setting every value in the columns and rows  $i,j$  to zero, except for  $B_{ij}$  and  $B_{ji}$ .

By expansion of  $\det(Q)$  on the  $j$ -th column we get

$\det(Q) = -2^{w_{\{i,j\}}} \cdot \det(B'_{ij})$

As the contribution of every  $\pi \in S_n$  with  $\pi(i) \neq j$ , or  $\pi(j) \neq i$ , to  $\det(Q)$ , we also have

$$\det(Q) = \sum_{\substack{\pi \in S_n, \\ \pi(i)=j, \\ \pi(j)=i}} \text{sgn}(\pi) \cdot \text{value}(\pi)$$

As in the analysis of the matrix  $B$  (see the previous pages) it is possible to show

- the total contribution of odd permutations to  $\det(Q)$  is zero,
- if  $\{i,j\} \in \pi$ , then exactly one even permutation contributes exactly  $2^{2w}$  (in abs. value) to  $\det(Q)$  & the absolute contribution of every other even  $\pi \in S_n$ , s.t.  $\pi(i)=j$  &  $\pi(j)=i$  is  $> 2^{2w}$

Thus, if  $\{i,j\} \in \pi$ , then  $\det(Q) = 2^{2w} \cdot \text{"something" odd} = -2^{2w_{\{i,j\}}} \cdot \det(B'_{ij})$

$\Rightarrow \frac{2^{2w_{\{i,j\}}} \cdot \det(B'_{ij})}{2^{2w}}$  is odd.

For the other direction of the equivalence, we assume  $\pi$  is odd, and for contradiction, also  $\{i,j\} \notin \pi$

Then all even  $\pi \in S_n$  with non-zero contribution to  $\det(Q)$ , contribute  $> 2^{2w}$

$\Rightarrow \det(Q)$  is divisible by  $2^{2w+1}$

$\Rightarrow \pi$  is even - a contradiction.

end of proof of the tri lemma



# MISSING PROOFS - 1

8/1/2021

Lemma (Isolating Lemma): Suppose that  $\mathcal{F}$  is a family of subsets of a finite set  $X = \{x_1, \dots, x_m\}$ .

Let  $w: X \rightarrow \{1, 2, \dots, 2^m\}$  be a positive weight function defined by assigning to each element of  $X$  a random weight chosen uniformly at random from  $\{1, 2, \dots, 2^m\}$ . Then  $\Pr[\text{there is a unique min weight set in } \mathcal{F}] \geq \frac{1}{2}$ .

Proof: assume, wlog, that every element  $x \in X$  appears in at least one set  $F \in \mathcal{F}$ , and that no  $x \in X$  appears in all sets from  $\mathcal{F}$ .

Fix an element  $x \in X$  and define:

$$W = \min_{F \in \mathcal{F}: x \in F} \sum_{y \in F} w(y), \quad \overline{W} = \min_{F \in \mathcal{F}: x \notin F} \sum_{y \in F} w(y), \quad \alpha = \overline{W} - W$$

assume that the weights of all elements, except for  $x$ , have been selected (principle of deferred choices)

Then: 1. for every weight  $w(x) < \alpha$ , every set not containing  $x$  has larger weight ( $> W$ ) than the minimum weight set containing  $x$

$\Rightarrow x$  must be in every min weight set

2. for every weight  $w(x) > \alpha$ , no min weight set contains  $x$ .

We say that the element  $x$  is ambiguous, if  $w(x) = \alpha$ . As the weights are chosen randomly independently,

$$\Pr[x \text{ is ambiguous}] \leq \frac{1}{2^m} \quad (\text{if } \alpha \leq 0, \text{ the prob. is } 0 \leq \frac{1}{2^m})$$

$$\Rightarrow \Pr[\exists \text{ an ambiguous } x \in X] \leq m \cdot \frac{1}{2^m} = \frac{1}{2}$$

i.e., with probability  $\geq \frac{1}{2}$ , no  $x \in X$  is ambiguous

$\Rightarrow$  there is a unique min weight  $F \in \mathcal{F}$   $\blacksquare$

## MISSING PROOFS - 2

Def: a permutation  $\pi$  is odd if it contains at least one odd cycle  
Lemma (Contribution of odd permutations, p.4)

$$\sum_{\substack{\pi \in S_n, \\ \pi \text{ is odd}}} \text{sgn}(\pi) f_{\pi} = 0$$

Proof: For an odd permutation  $\pi$ , a canonical odd cycle is the odd cycle that is the longest, and that contains the smallest vertex (among the longest odd cycles)

For an odd permutation  $\pi$ , let  $\bar{\pi}$  denote the odd permutation obtained from  $\pi$  by reversing the orientation of edges in the canonical cycle

⊙1:  $\overline{(\bar{\pi})} = \pi$

⊙2:  $\text{sgn}(\pi) = \text{sgn}(\bar{\pi})$

⊙3:  $f_{\pi} = -f_{\bar{\pi}}$

⇒ the odd permutations can be paired (⊙1) in such a way, that the contribution of each pair to  $\det(B)$  is 0 (as  $\text{sgn}(\pi) \cdot f_{\pi} = -\text{sgn}(\bar{\pi}) \cdot f_{\bar{\pi}}$ , by ⊙2, 3)

The same proof works for the Claim on p.6. ■