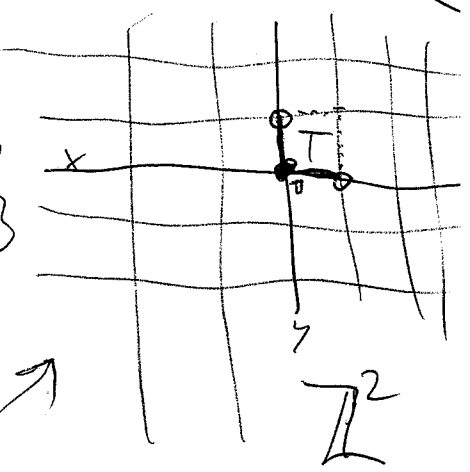


**Lecture 7**

$B = \{v_1, v_2, \dots, v_n\} \subset \mathbb{R}^n$  a base of (the  $\mathbb{R}$ -vector space)  $\mathbb{R}^n$ , a lattice  $\Lambda = \Lambda(B) \subset \mathbb{R}^n$  (in  $\mathbb{R}^n$ ) is the set  $\Lambda = \left\{ \sum_{i=1}^n a_i v_i \mid a_i \in \mathbb{Z} \right\}$ . The fundamental parallelepiped  $T = T(B)$  of  $\Lambda(B)$

$$\left\{ \sum_{i=1}^n d_i v_i \mid d_i \in [0, 1) \right\}$$



$u \in \mathbb{R}^n, T+u := \{x+u \mid x \in T\}$

**Lemma**

$\Lambda = \Lambda(B)$  a lattice in  $\mathbb{R}^n, T = T(B)$  its f.p.  $v_1 = (1, 0), v_2 = (0, 1)$

Then  $\{T+u \mid u \in \Lambda\}$  is a partition of  $\mathbb{R}^n$ .

Proof: Every  $d \in \mathbb{R}$  has a unique expression as  $d = \lfloor d \rfloor + \{d\}$ ,  $\mathbb{R}^n \ni v = \sum_{i=1}^n d_i v_i = \sum_{i=1}^n (\lfloor d_i \rfloor + \{d_i\}) v_i$

In other words  $\forall p \in \mathbb{R}^n$  lies in exactly one shifted copy  $T+u$  of  $T$ , where  $u \in \Lambda$ .

**Proposition**

$\Lambda = \Lambda(B_1) = \Lambda(B_2)$  a lattice in  $\mathbb{R}^n$ .

Then  $\text{Vol}(T(B_1)) = \text{Vol}(T(B_2))$ .

Proof:

$B = \{u_1, \dots, u_n\} \subset \mathbb{R}^n$  (not necessarily a basis),  $\Lambda(B) := \begin{pmatrix} u_1 \\ u_2 \\ \vdots \\ u_n \end{pmatrix}$  - the vectors  $u_i$  are in rows!

Volume of a paral.  $T = \det(T(B))$  - a fact. ②

Now let  $B_1 = \{v_1, \dots, v_n\}$ ,  $B_2 = \{w_1, \dots, w_n\}$  be bases,  $V =$

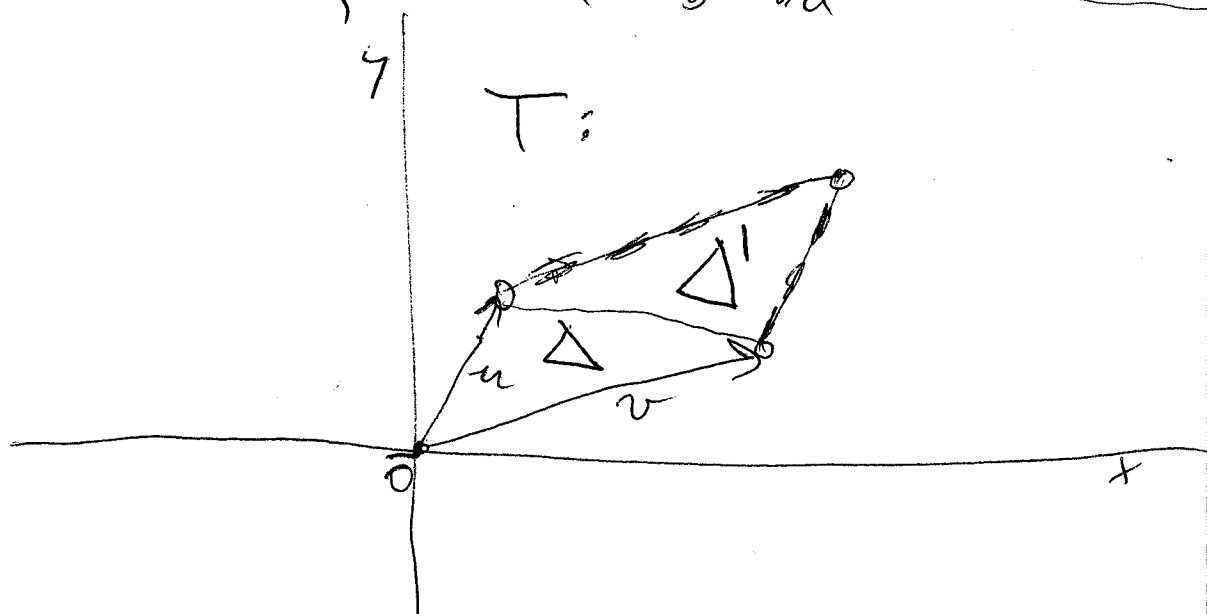
$W = T(B_2)$ .  $\Delta(B_1) = \Delta(B_2) \Rightarrow$   $V = AV$   $= T(B_1)$   
 $W = BV$  for some

matrices  $A, B \in \mathbb{Z}^{n \times n}$ . The matrices  $V$  and  $W$  are regular  $\Rightarrow A = VW^{-1}$ ,  $B = WV^{-1} \Rightarrow AB = BA = I$ .

$\Rightarrow \det(A) \det(B) = \det(AB) = \det(I) = 1$ .

But  $\det(A), \det(B) \in \mathbb{Z}$  (as  $A, B \in \mathbb{Z}^{n \times n}$ )  $\Rightarrow A = B = \pm 1 \Rightarrow |\det(V)| = |\det(W)| \rightarrow \text{vol}(T(B_1)) = \text{vol}(T(B_2))$ . ☒

Geometric proof of the (Cauchy-Farey) theorem on Farey fractions. Let  $\frac{a}{b} < \frac{c}{d}$  be two neighbors in the list  $F_n$ , then  $\frac{c}{d} - \frac{a}{b} = \frac{1}{bd} \Leftrightarrow bc - ad = 1$ .



$u = (a, b)$   
 $v = (c, d)$   
 $B = \{u, v\}$   
 $T = T(B)$   
 $\Delta = \Delta(B)$   
 $C \in \mathbb{Z}^2$

$$\Delta = \{ w \in \mathbb{R}^2 \mid w = \alpha u + \beta v, 0 \leq \alpha, \beta \leq 1, \alpha + \beta \leq 1 \} \quad (3)$$

$$\Delta \cap \mathbb{Z}^2 = \{ \bar{0}, u, v \}, \text{ ~~the~~ because: } \dots$$

$$\Delta' = u + v - \Delta \rightsquigarrow T = T(B) \cap \mathbb{Z}^2 = \{ \bar{0} \}, \rightsquigarrow$$

$\dots \rightsquigarrow A = \mathbb{Z}^2$ , by the Lemma. By the Proposi-

$$\text{tion } \left| \det \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right| = \text{Vol}(T(\{ (0,1), (1,0) \})) =$$

$$\left( \begin{array}{c} \text{Vol}(T(B)) \\ \rightsquigarrow bc - ad = 1 \end{array} \right) > \left| \det \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right| = 1 \quad \square$$

If  $A = \Lambda(B)$  is a lattice in  $\mathbb{R}^n$ , we define the volume of  $A$  as

$$\text{Vol}(A) := \text{Vol}(T(B)).$$

Theorem (Minkowski, 1891)

If  $B \subset \mathbb{R}^n$  is a bounded, centrally symmetric and convex set and  $A \subset \mathbb{R}^n$

is a lattice s.t.  $2^n \text{Vol}(A) < \text{Vol}(B)$

$$\Rightarrow B \cap A \neq \{ \bar{0} \}, \text{ i.e.}$$

$$\exists u \in A \cap B, u \neq \bar{0}.$$

Proof:  $T :=$  ~~the~~  $\mathbb{Z}$ -p. of  $A$

$$B_z := T \cap (\frac{1}{2}B + z), z \in \mathbb{R}^n, C_z := (T - z) \cap \frac{1}{2}B.$$

$$C_z = B_z - z \rightsquigarrow \text{Vol}(C_z) = \text{Vol}(B_z - z).$$

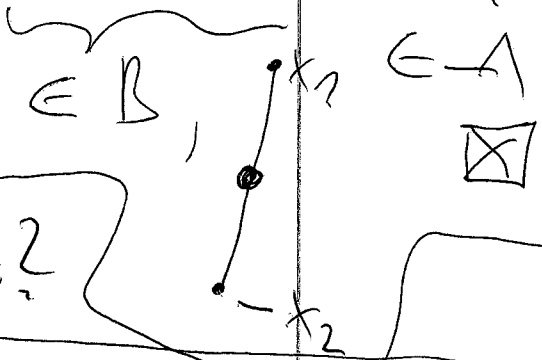
$$\sum_{t \in A} \text{Vol}(B_t) \stackrel{(\ominus)}{=} \sum_{t \in A} \text{Vol}(C_t) \stackrel{(\ominus)}{=} \text{Vol}\left(\frac{1}{2}B\right) \stackrel{(\ominus)}{=} 2^{-n} \text{Vol}(B)$$

$> \text{Vol}(A) = \text{Vol}(T)$ , but each  $B_t \subset T \implies \exists z_t$

$$\begin{array}{ccc} z_1 \neq z_2 & \parallel & z_1 \neq z_2 \\ B_{z_1} \cap B_{z_2} \neq \emptyset & \rightsquigarrow & \frac{1}{2}x_1 + z_1 = \frac{1}{2}x_2 + z_2 \\ \parallel & & \parallel \\ \frac{1}{2}B + z_1 & & \frac{1}{2}B + z_2 \end{array}$$

for some  $x_i \in B, i=1,2. \rightsquigarrow \frac{1}{2}(x_1 - x_2) = z_2 - z_1$

- Exercise 5: • Why  $\text{Vol}(B)$  exists?  
 • Why are the sums above finite?



Lagrange II:  $\forall n \in \mathbb{N} \exists t_i \in \mathbb{Z}, i=1 \dots 4: \sum_{i=1}^4 t_i = n$

A geometric proof (By Minkowski's thm.)

Chinese thm. on residues: If  $m_1, m_2, \dots, m_s \in \mathbb{N}$  are pairwise coprime and  $u_1, \dots, u_s \in \mathbb{Z}$ , then the system  $x_i \equiv u_i \pmod{m_i}, i=1 \dots s$ , has a (unique) solution  $x$  (unique mod  $m_1 m_2 \dots m_s$ )

Example  $x \equiv 1 \pmod{2}$   
 $x \equiv 1 \pmod{3} \implies 1, 2, 13, 17, 23, 28$   
 $x \equiv 3 \pmod{5}$

**Lemma**  $\forall$   $\square$ -free number  $n \in \mathbb{N}$ , the congruence  $(5)$   
 $x^2 + y^2 + z^2 \equiv 0 \pmod{n}$  has a solution.

Proof. We proved it for  $n = p$ . For  $n = p_1 p_2 \dots p_r$  it follows by the Ch. Remainder thm.  $\square$

Since  $\forall n \in \mathbb{N}$  has (unique) expression as  $n = s^2 m$  where  $s, m \in \mathbb{N}$  &  $m$  is  $\square$ -free, it suffices to prove Lagrange's thm. just for  $\square$ -free numbers.

Let  $n \in \mathbb{N}$  be  $\square$ -free, let  $a, b \in \mathbb{N}$  be such that  $a^2 + b^2 + 1 \equiv 0 \pmod{n}$ . We take a lattice in  $\mathbb{R}^4$ , namely  $\Lambda = \Lambda(\{u_1, u_2, u_3, u_4\})$  where

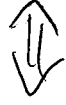
$$\begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{pmatrix} = \begin{pmatrix} n & 0 & 0 & 0 \\ 0 & n & 0 & 0 \\ a & b & 1 & 0 \\ b & -a & 0 & 1 \end{pmatrix} \Rightarrow \text{Vol}(\Lambda) = n^2. \text{ Fur.}$$

Now we take the convex body  $B = K(n) = \{\bar{x} \in \mathbb{R}^4 \mid |x_1|^2 + \dots + |x_4|^2 \leq n^2\}$ , for an appropriate  $n > 0$ .

Fact:  $\text{Vol}(K(n)) = \frac{\pi^2 n^4}{2} > 2^4 n^2 = 2^{\dim} \text{Vol}(\Lambda)$

$\Leftrightarrow n^2 > \frac{4\sqrt{2}}{\pi} n = (1.80063\dots)n$ . We set  $n^2 = 1.9n$ .

Minkowski's Lem.:  $\exists z = \sum_{i=1}^4 a_i u_i \in \Delta$ , not all  $a_i \in \mathbb{Z}$  are 0, s.t.  $z \in K(V)$ . (6)



$$0 < |z|^2 \leq V^2 = 1 \cdot q_n < 2n$$

$$\begin{aligned} \Rightarrow & (a_1 n + a_3 a + a_4 b)^2 + (a_2 n + a_3 b - a_4 a)^2 + a_3^2 + a_4^2 \\ & = a_3^2 (\underbrace{a^2 + b^2 + 1}_{\equiv 0}) + a_4^2 (\underbrace{b^2 + a^2 + 1}_{\equiv 0}) + 2a_3 a a b - 2a_3 a_4 a b + \end{aligned}$$

+  $h(m) \equiv 0 \pmod{m}$  !  $m = n$ ,  $n$  is a sum of 4  $\square$ 's.  $\square$

Well, but this proof... ?

Hermann Minkowski

Thank you!