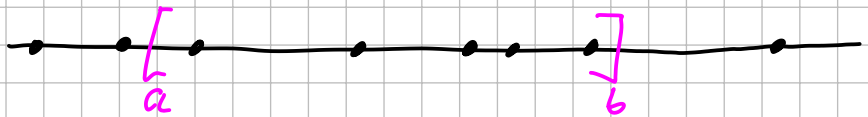


We will consider points in  $\mathbb{R}^d$   
 & (generalized) ranges.  
 & enum/count.  
 Mostly static.

Range queries in 1 dim.



① sort & binary search

Build:  $O(n \log n)$     Space:  $O(n)$     Query:  $O(\log n)$

② binary search trees

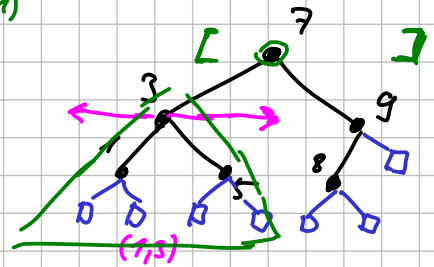
Df: For a node  $v$ :  $int(v) = \{x \mid \text{searching for } x \text{ visits } v\}$

•  $int(\text{root}) = \mathbb{R}$



$int(l) = int(v) \cap (-\infty, k)$   
 $int(r) = int(v) \cap (k, +\infty)$

- by induction  $\forall v$   $int(v)$  is an interval
- keys of all descendants of  $v$  lie in  $int(v)$
- also works for external nodes



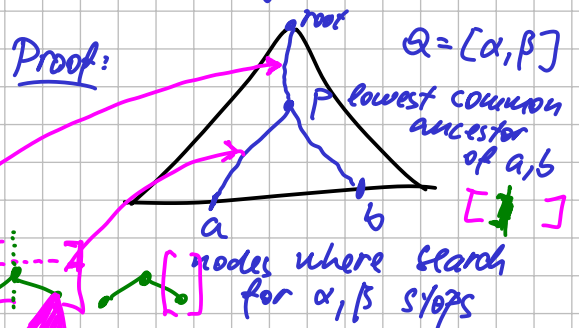
$S \subseteq \mathbb{R}$  is an interval  $\equiv$   
 $\forall x, y, z \in \mathbb{R} \ x < y < z$ :  
 $x, z \in S \Rightarrow y \in S$



Range Query ( $v, Q$ ):

1. If  $v$  is external, return.
2. If  $int(v) \subseteq Q$ : report the subtree rooted at  $v$ .
3. If  $key(v) \in Q$ : report  $key(v)$
4.  $Q_l \leftarrow Q \cap int(l(v))$   
 $Q_r \leftarrow Q \cap int(r(v))$
5. If  $Q_l \neq \emptyset$ : Range Query ( $l(v), Q_l$ )
6. If  $Q_r \neq \emptyset$ : Range Query ( $r(v), Q_r$ )

Lemma: Range Query on a balanced BST visits  $O(\log n)$  nodes & subtrees.

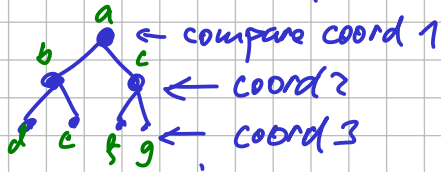


Corollary: R.Q. runs in time  $O(\log n + f)$  if points found

Extensions: ① counting queries: precompute subtree sizes, in step 2. add subtree size to a running total  
 $\hookrightarrow O(\log n)$

② can be made dynamic

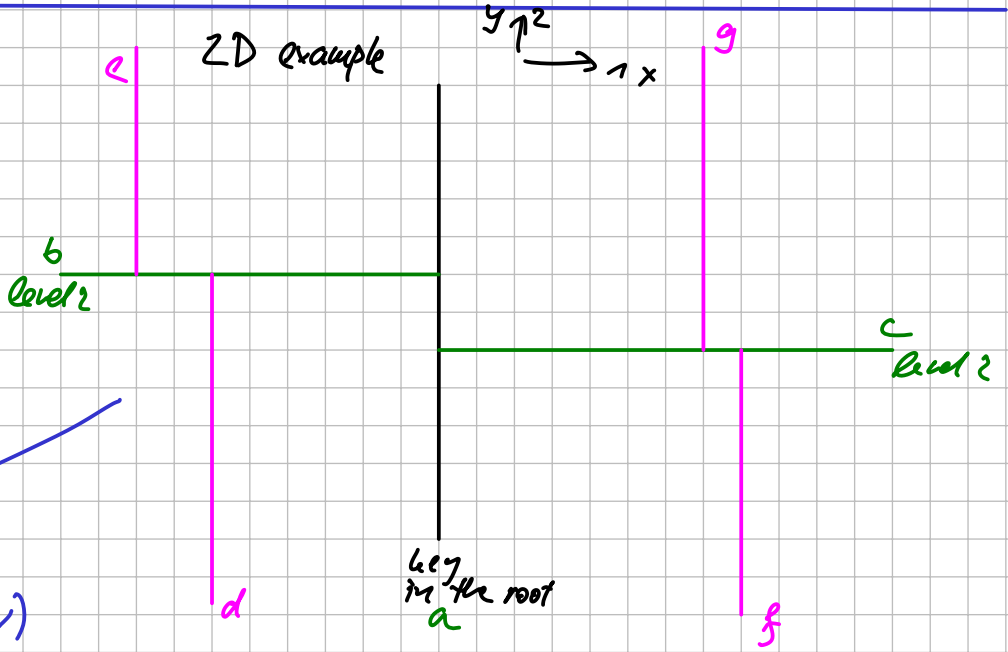
k-d search trees in  $\mathbb{R}^k$



at level  $k$ , we compare coord.  $i \bmod k$

To every node of the tree we can assign a 2D range  $int(v)$

2D example



Temporarily assume: no 2 points share a coordinate.

Build: In the root, find  $m \leftarrow$  median 1st coord.

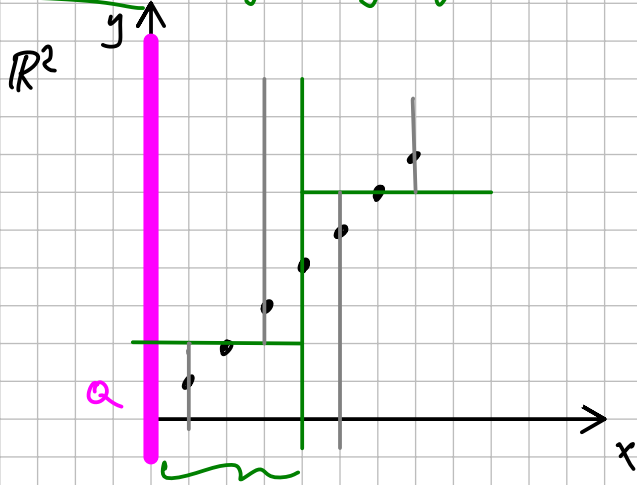
- $\hookrightarrow$  key in the root (with the corresp. point  $p_m$ )
- $\hookrightarrow$  recurse on two half-spaces, but use the next coord.

space is  $O(n)$

Build takes  $O(n \log n)$  time

height of the tree is  $O(\log n)$

Queries: Range Query alg. still works, but it's slow:



$$n = 2^t - 1$$

$$X := \{ (i, i) \mid i \in \{1, \dots, n\} \}$$

$$Q := \{0\} \times \mathbb{R}$$

query  $\xrightarrow{x \text{ step}} n \text{ child}$

$\xrightarrow{y \text{ step}} \text{both children}$

$$\# \text{ leaves visited} = 2^{\text{height}/2} = 2^{t/2} = \sqrt{2^t} = \sqrt{n}$$

Query runs in  $\Theta(\sqrt{n})$  time!

$\hookrightarrow \Theta(n^{1-1/d})$  in  $\mathbb{R}^d$

... but this is the worst case.

More bad news: ① this is optimal for linear space

② hard to make dynamic

# k-dim. Range Trees

① Special case:  $k=2$ , no shared coords

- x-tree (BST with range queries on the 1st coord)

recursive  
subdivision of  $\mathbb{R}^2$  to bands  
 $(a_i, b_i) \times \mathbb{R}$

- y-tree for each band:  
all points inside the band sorted by y coord

Space:  $O(n \log n)$   $\left\{ \begin{array}{l} O(n) \text{ for x-tree} \\ \text{each point is} \\ \text{in } O(\log n) \text{ y-trees} \end{array} \right.$

Build: 1. Sort all points by x and by y

} both will be passed to recursion

}  $O(n \log n)$

2. Rec. function:

- $m \leftarrow$  median x coord  $\rightarrow$  current node of x-tree
- build y-tree for that x-node
- recurse on sub-bands

one step is

$O(\# \text{ points})$   
 $= O(\text{size of y-tree})$

$\rightarrow O(n \log n)$  time

Query for  $[a_1, b_1] \times [a_2, b_2]$ :

• ask x-tree for  $[a_1, b_1]$   $\xrightarrow{O(\log n)}$   $O(\log n)$  points  $\rightarrow$  check y coord.  $O(\log n)$

$\xrightarrow{O(\log n)}$   $O(\log n)$  subtrees  $\rightarrow$  bands  $\rightarrow$  ask the y-tree  $[a_2, b_2]$

$O(\log^2 n)$   
 $+p$

$\rightarrow O(\log^2 n)$  time  
 $+p$

② Shared x coords: for every node of the x-tree

add a second y-tree for points matching exactly the x coord.

$\hookrightarrow$  only const-times slower

③ More dimensions:

- primary tree on 1st coord  $\rightarrow$  subdiv. space to bands  $k$ -dim.

- for each band: secondary tree -  $(d-1)$ -dim. range tree

By induction: space  $O(n \cdot \log^{d-1} n)$

Build  $O(n \cdot \log^{d-1} n)$

Query  $O(\log^d n + p)$

can be made dynamic (as exercise)

$\rightarrow$  can improve query to  $O(\log^{d-1} n)$  (ex.)

