

Recall:  $\mathcal{L} := \{h_{a,b} \mid a,b \in [p]\}$  family of functions from  $[p] \rightarrow [m]$   
 $h_{a,b}(x) := ((ax+b) \bmod p) \bmod m$

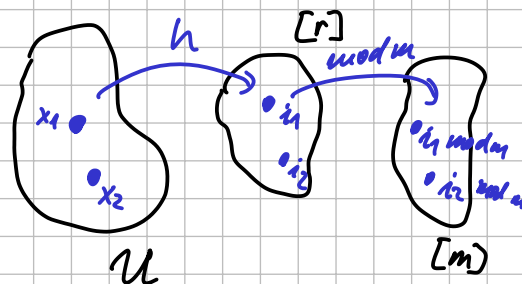
this is  $(2,4)$ -indep.

$[p] \rightarrow [p]$   
 is  $(2,r)$ -indep.

Lemma M (modulo):

Let  $\mathcal{H}$  be a  $(2,c)$ -indep. family from  $\mathcal{U} \rightarrow [r]$   
 and  $m < r$ .

Then  $\mathcal{H} \bmod m := \{h \bmod m \mid h \in \mathcal{H}\}$  (fam.  $\mathcal{U} \rightarrow [m]$ )  
 is  $2c$ -universal and  $(2,4c)$ -indep.



Proof: ① universality

We want: if  $x_1 \neq x_2$ , then  $\Pr_{h \in \mathcal{H} \bmod m} [h(x_1) = h(x_2)] \leq \frac{2c}{m}$ .

$$\Pr_{h \in \mathcal{H}} [h(x_1) \bmod m = h(x_2) \bmod m]$$

$$= \Pr_h \left[ \bigvee_{\substack{i_1, i_2 \in [r] \\ s.t. i_1 \equiv i_2 \pmod{m}}} h(x_1) = i_1 \ \& \ h(x_2) = i_2 \right]$$

$$\leq \sum_{\substack{i_1 \equiv i_2 \\ \leq r \cdot \lceil \frac{r}{m} \rceil}} \Pr_h [h(x_1) = i_1 \ \& \ h(x_2) = i_2] \leq r \cdot \lceil \frac{r}{m} \rceil \cdot \frac{c}{r^2} \leq \frac{2r^2 \cdot c}{m \cdot r} = \frac{2c}{m}$$

② independence

Given  $x_1 \neq x_2 \ j_1, j_2 \in [m]$

$$\Pr_{h \in \mathcal{H} \bmod m} [h(x_1) \bmod m = j_1 \ \& \ h(x_2) \bmod m = j_2] \leq \sum_{\substack{i_1 \bmod m = j_1 \\ i_2 \bmod m = j_2}} \Pr [h(x_1) = i_1 \ \& \ h(x_2) = i_2] \leq \frac{c}{r^2} \leq \frac{4r^2 \cdot c}{m^2} = \frac{4c}{m^2}$$

for  $(k,c)$ -indep.:

$x_1 - x_k$  distinct,  $j_1 - j_k$

better analysis

$$\lceil \frac{r}{m} \rceil^k \leq \left(\frac{2r}{m}\right)^k = \frac{2^k r^k}{m^k}$$

$$\sum_{\substack{i_1 - i_k \\ s.t. \forall i_t \bmod m = j_t}} \Pr_h \left[ \bigwedge_t h(x_t) = i_t \right] \leq \frac{2^k r^k c}{m^k r^k} = \frac{2^k c}{m^k}$$

$$\leq \left(\frac{r+m-1}{m}\right)^k \leq \left(\frac{r+m}{m}\right)^k$$

$\mathcal{H} \bmod m$   
 is  $(k, 2^k c)$ -indep.

$$\leq \left(\frac{r+m}{m}\right)^k \cdot \frac{c}{r^k} = \frac{c}{m^k} \cdot \left(\frac{r+m}{r}\right)^k \leq \frac{2c}{m^k}$$

Tool:  $e^x \geq 1+x$   
 for all  $x \in \mathbb{R}$

$$\left(\frac{1 + \frac{m}{r}}{1}\right)^k \leq e^{\frac{mk}{r}} \leq e^{\frac{1}{2}} \leq 2$$

if we require  $r \geq 2mk$

Lemma K: Let  $\mathcal{H}$  be a  $(k,c)$ -indep. system from  $\mathcal{U} \rightarrow [r]$

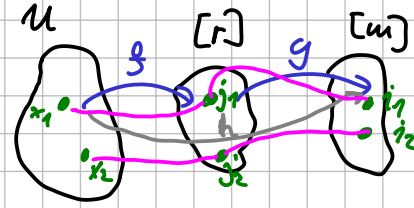
and  $m$  such that  $r \geq 2mk$ .

Then  $\mathcal{H} \bmod m$  (from  $\mathcal{U}$  to  $[m]$ ) is  $(k, 2c)$ -indep.

- Applications:
- ①  $\mathcal{L}$  again ... if  $p \geq 4m$ , then  $\mathcal{L}$  is  $(2,2)$ -independent.
  - ②  $\mathcal{P}$  family of polynomials from  $[p] \rightarrow [p]$  is  $(k,1)$ -indep. of degree  $< k$
  - $\mathcal{P} \bmod m$  is  $(k,2)$ -indep. for  $p \geq 2mk$ .

general composition

**Lemma 6:** Let  $\mathcal{F}$  be a  $c$ -univ. family from  $\mathcal{U}$  to  $[r]$   
 $\mathcal{G}$  be a  $(2,d)$ -indep. family from  $[r]$  to  $[m]$ .  
 Then  $\mathcal{H} := \mathcal{F} \circ \mathcal{G} = \{f \circ g \mid f \in \mathcal{F}, g \in \mathcal{G}\}$   
 is  $(2,c')$ -indep. for  $c' = \left(\frac{cm}{r} + 1\right)d$



Proof: we want: given  $x_1, x_2 \in \mathcal{U}, i_1, i_2 \in [m]$   
 $x_1 \neq x_2$

show  $\Pr_{h \in \mathcal{H}} [h(x_1) = i_1 \ \& \ h(x_2) = i_2] \leq \frac{c'}{m^2}$

This is  $\Pr_{f \in \mathcal{F}, g \in \mathcal{G}} [g(\underbrace{f(x_1)}_{j_1}) = i_1 \ \& \ g(\underbrace{f(x_2)}_{j_2}) = i_2] \leq \frac{d}{m^2}$

*wrong since  $j_1 \neq j_2$  not guaranteed*

Events:  $C \dots$  collision  $f(x_1) = f(x_2) \iff j_1 = j_2$

$M \dots$  match  $g(j_1) = i_1 \ \& \ g(j_2) = i_2$

want  $\Pr[M] = \underbrace{\Pr[M|C]}_{\leq \frac{d}{m}} \cdot \underbrace{\Pr[C]}_{\leq \frac{c}{r}} + \underbrace{\Pr[M|\neg C]}_{\leq \frac{d}{m^2}} \cdot \underbrace{\Pr[\neg C]}_{\leq 1}$

*trivial for  $\Pr[C] = 0$  and  $\Pr[C] = 1$  does not happen*

$\mathcal{G}$  is  $(2,d)$ -indep.  
 $\downarrow$   
 $(1,d)$ -indep.

$\leq \frac{cdm}{rm^2} + \frac{d}{m^2} = \frac{1}{m^2} d \left(\frac{cm}{r} + 1\right)$   
 $c'$  from the statement

Hashing of vectors  $\mathcal{U} := \mathbb{Z}_p^d$  families hashing  $\mathbb{Z}_p^d \rightarrow \mathbb{Z}_p$   $\mathbb{Z}_{257}$

Df: Scalar product family  $\mathcal{S}$  from  $\mathbb{Z}_p^d$  to  $\mathbb{Z}_p$ :

$\mathcal{S} := \{h_a \mid a \in \mathbb{Z}_p^d\}$   
 $h_a(x) := a \cdot x \pmod{p}$

$x \cdot y = \sum_i x_i \cdot y_i \pmod{p}$

Theorem:  $\mathcal{S}$  is 1-universal.

Proof: we have  $x, y, x \neq y$  wlog  $x_1 \neq y_1$   
 we want

$\Pr_a [x \cdot a \equiv y \cdot a \pmod{p}]$

$(x - y) \cdot a \equiv 0$

$\sum_i (x_i - y_i) \cdot a_i \equiv 0$

$(x_1 - y_1) \cdot a_1 \equiv -\sum_{i=2}^d (x_i - y_i) \cdot a_i \equiv 0$

for every  $a_2 \leq a_d$   
 $\exists! a_1$  satisfying the equation

$\Rightarrow \Pr_a [\dots] \leq \frac{1}{p}$

Use Lemma 6 to compose  $\mathcal{G}$  with  $\mathcal{H} \rightarrow \mathcal{H}$   $(2, c')$ -indep.  
 $\uparrow$   $\uparrow$   
 $1$ -univ.  $(2, 2)$ -indep.  
 for  $p \geq 4m$   
 for  $c' = \left(\frac{2m}{p} + 1\right)^2$   
 $= \left(\frac{m}{p} + 1\right) \cdot 2$   
 $\leq \left(\frac{1}{4} + 1\right) \cdot 2 = \frac{5}{2}$

## Bloom Filter

probabilistic DS  
for representing  $X \subseteq U$

Insert(x) reliable

Member(x) can give false positive answers

if  $x \in X$ : always says YES

$x \notin X$ :  $\begin{cases} \text{NO} \\ \text{YES with small probability} \end{cases}$

### ① Simple B.F.

$h: U \rightarrow [m]$  taken at random  
from 1-universal family

for every bucket: store just the "non-empty" bit

Insert(x):  $A[h(x)] \leftarrow 1$

Member(x): YES iff  $A[h(x)] = 1$

Analysis: consider  $X = \{x_1, \dots, x_n\}$ ,  $y \notin X$

$$\Pr[\text{Member}(y) = \text{YES}] = \Pr\left[\bigwedge_i h(x_i) = h(y)\right] \leq \sum_i \Pr[h(x_i) = h(y)] \leq \frac{n}{m}$$

Consider error prob.  $\epsilon$  ... we want  $\frac{n}{m} < \epsilon$  ...  $m \geq \frac{1}{\epsilon} \cdot n \leq \frac{1}{\epsilon}$  by 1-univ.

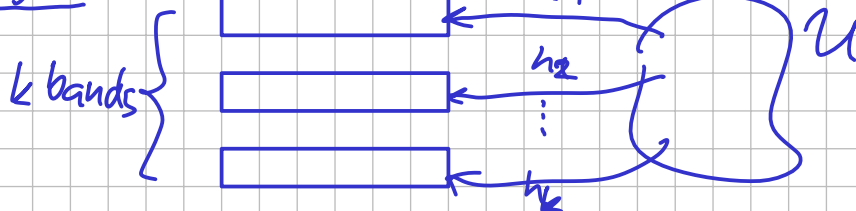
Good:  $m$  is indep. of  $U$       Bad: Scales badly with  $\epsilon$

e.g. for  $n = 10^6$ ,  $\epsilon = 0.01$   $k=7$  ...  $m \geq 10^8 = 100\text{MB}$   $14\text{MB}$

$\epsilon = 0.001$  ...  $m \geq 10^9 = 1\text{GB}$   $20\text{MB}$

$\epsilon = 10^{-6}$   $k=10$   $k=20$  ...  $m \geq 10^{12} = 1\text{Tb}$   $40\text{MB}$

### ② Multi-band filter



set  $m_i = 2n$

$$\Rightarrow \Pr[\text{FP of 1 band}] \leq \frac{1}{2}$$

$$\Rightarrow \Pr[\text{all bands FP}] \leq \frac{1}{2^k}$$

$k$  indep. filters

Insert to all

Member answers YES only if all  $k$  bands say YES

for given  $\epsilon$ :  $\frac{1}{2^k} \leq \epsilon$

$$\Rightarrow k \geq \left\lceil \log_2 \frac{1}{\epsilon} \right\rceil$$

$\Rightarrow$  in total  $2n \cdot \left\lceil \log_2 \frac{1}{\epsilon} \right\rceil$  bits