

Interlacing Families I: Bipartite Ramanujan Graphs of All Degrees

by Adam Marcus, Daniel A. Spielman and Nikhil Srivastava

- graph G , adjacency matrix A , if G is d -regular, d is always its eigenvalue, $-d$ is eigenvalue $\iff G$ is bipartite (*trivial eigenvalues*)
- *Ramanujan graph* - all non-trivial eigenvalues are in absolute value $\leq 2\sqrt{d-1}$

GOAL

- to construct an infinite family of d -regular Ramanujan graphs for all d
- this will be constructed as an infinite sequence of 2-lifts of Ramanujan graphs

COVERS

- 2-lift of $G = (V, E)$: $\bar{G} = (\bar{V}, \bar{E})$ $\bar{V} = \{u_1, u_2 \mid u \in V\}$ and

$$\forall (u, v) \in E \begin{cases} (u_1, v_1), (u_2, v_2) \in \bar{E} \\ (u_1, v_2), (u_2, v_1) \in \bar{E} \end{cases}$$

- corresponding *signing* s of the edges by ± 1 , corresponding signed adjacency matrix A_s
- eigenvalues of a two lift are the union of eigenvalues of G and the eigenvalues of A_s
- *universal cover* of a graph G is an infinite tree such that every connected lift of G is a quotient of the tree
- *path-tree* of a graph G, u ($u \in V(G)$) contains one vertex for every non-backtracking path in G that starts in u
- every path-tree of G is an induced subgraph of the universal cover of G
- eigenvalues of a d -regular universal cover are $|\lambda| \leq 2\sqrt{d-1}$ ((c, d) -biregular, then $|\lambda| \leq \sqrt{d-1} + \sqrt{c-1}$)

ROOTS OF THE EXPECTED VALUE OF THE CHAR. POLY. OF A_s ARE $\leq 2\sqrt{d-1}$

- *matching polynomial* of G is

$$\mu_G(x) = \sum_{i \geq 0} x^{n-2i} (-1)^i m_i$$

where $m_0 = 1$ and m_i is the number of matchings in G with i edges for $i > 0$

- *spectral radius* of graph G is $\rho(G) = \max\{\|Ax\|_2, \|x\|_2 = 1\}$, where λ_i are the eigenvalues of its adjacency matrix A ($\rho(G) = \sup\{\|Ax\|_2, \|x\|_2 = 1\}$ for A infinite-dimensional)

Theorem. 3.1. For every graph G , μ_G has only real roots.

Theorem. 3.2. For every graph G of maximum degree d , all roots of μ_G have absolute value at most $2\sqrt{d-1}$.

Theorem. 3.4. Let $T(G, u)$ be a path-tree, then μ_G divides the characteristic polynomial of the adjacency matrix of $T(G, u)$, i.e. all roots of μ_G are real with absolute value at most $\rho(T(G, u))$.

Theorem. 3.5. Let G be a graph and T its universal cover. Then the roots of the matching polynomial of G are bounded in absolute value by the spectral radius of T .

Theorem. 3.6. $\mathbb{E}_{s \in \{\pm 1\}^m} [f_s(x)] = \mu_G(x)$.

INTERLACING POLYNOMIALS - USEFUL ROOT BOUNDARIES

- $g(x) = \prod_{i=1}^{n-1} (x - \alpha_i)$, $f(x) = \prod_{i=1}^n (x - \beta_i)$, g interlaces f if: $\beta_a \leq \alpha_1 \leq \beta_2 \leq \dots \leq \alpha_{n-1} \leq \beta_n$

Theorem. 4.2. Let f_1, \dots, f_k be real-rooted polynomials of the same degree, with positive leading coefficient, $f_0 = \sum_{i=1}^k f_i$. If f_1, f_2, \dots, f_k have a common interlacing, then there exists an i such that the largest root of f_i is at most the largest root of f_0 .

- S_1, \dots, S_m finite sets and for every $s_1 \in S_1, \dots, s_m \in S_m$ let f_{s_1, \dots, s_m} be a real-rooted degree n polynomial with positive leading coefficients.
For every partial assignment $s_1 \in S_1, \dots, s_k \in S_k$ define

$$f_{s_1, \dots, s_k} = \sum_{s_{k+1} \in S_{k+1}, \dots, s_m \in S_m} f_{s_1, \dots, s_k, s_{k+1}, \dots, s_m}$$

$$f_\emptyset = \sum_{s_1 \in S_1, \dots, s_m \in S_m} f_{s_1, \dots, s_m}.$$

- if for all $k = 0, 1, \dots, m-1$ and all $s_1 \in S_1 \dots s_k \in S_k$ the polynomials $\{f_{s_1, \dots, s_k, t}\}_{t \in S_{k+1}}$ have a common interlacing, then $\{f_{s_1, \dots, s_m}\}_{s_1, \dots, s_m}$ form an *interlacing family*.

Theorem. 4.4. Let S_1, \dots, S_m be finite sets, and let $\{f_{s_1, \dots, s_m}\}$ be an interlacing family of polynomials. Then there exists some $s_1, \dots, s_m \in S_1 \times \dots \times S_m$ so that the largest root of $\{f_{s_1, \dots, s_m}\}$ is less than the largest root of f_\emptyset .

Theorem. 4.5. Let f and g be (univariate) polynomials of degree n such that for all $\alpha, \beta > 0$, $\alpha f + \beta g$ has n real roots. Then f and g have a common interlacing.

SIGNED CHAR. POLY. ARE \mathbb{R} -ROOTED AND FORM INTERLACING FAMILY

Theorem. 5.1. Let p_1, \dots, p_m be numbers in $[0, 1]$. Then the following polynomial is real-rooted:

$$\sum_{s \in \{\pm 1\}^m} \left(\prod_{i: s_i=1} p_i \right) \left(\prod_{i: s_i=-1} (1-p_i) \right) f_s(x).$$

Theorem. 5.2. The polynomials $\{f_s\}_{s \in \{\pm 1\}^m}$ are an interlacing family.

WE ARE ALMOST DONE :)

Theorem. 5.3. Let G be a graph with adjacency matrix A and universal cover T . Then there is a signing s of A such that all of the eigenvalues of A_s are at most $\rho(T)$, i.e. for d -regular graphs, the eigenvalues of A_s are at most $2\sqrt{d-1}$

Theorem. 5.4. For every $d \geq 3$ there is an infinite sequence of d -regular bipartite Ramanujan graphs.

Theorem. 5.5. For every $c, d \geq 3$ there is an infinite sequence of (c, d) -biregular bipartite Ramanujan graphs, with nontrivial eigenvalues bounded by $\sqrt{c-1} + \sqrt{d-1}$.

SOME MORE DEFINITIONS & PROOF OF THM 5.1.

- multivariate polynomial $f \in \mathbb{R}[z_1, \dots, z_n]$ is *real stable* if $f(z_1, \dots, z_n) \neq 0$ whenever the imaginary part of every z_i is strictly positive.

Theorem. 6.2. Let $f(z_1, \dots, z_n) + \omega g(z_1, \dots, z_n) \in \mathbb{R}[z_1, \dots, z_n, \omega]$ be a real stable of degree at most 1 in z_j . Then the following polynomial will also be real stable:

$$f(z_1, \dots, z_n) - \frac{\partial g}{\partial z_j}(z_1, \dots, z_n).$$

Theorem. 6.3. For any real stable polynomials $f(z_1, \dots, z_n)$ and $t(\omega_1, \dots, \omega_m)$ with $m \leq n$ which both have degree at most 1 in the variables z_j, ω_j for $a \leq j \leq m$, the polynomial below will also be real stable:

$$t\left(-\frac{\partial g}{\partial z_1}, \dots, -\frac{\partial g}{\partial z_m}\right) f(z_1, \dots, z_n).$$

Theorem. 6.4. Let A_1, \dots, A_m be positive semidefinite matrices. Then $\det[z_1 A_1 + \dots + z_m A_m]$ is a real stable polynomial.

Theorem. 6.5. Let a_1, \dots, a_m and b_1, \dots, b_m be vectors in \mathbb{R}^n and let p_1, \dots, p_m be real numbers in $[0, 1]$. Then every (univariate) polynomial of the form below is real stable:

$$P(x) = \sum_{S \subseteq [m]} \left(\prod_{i \in S} p_i \right) \left(\prod_{i \notin S} (1-p_i) \right) \det \left[xI + \sum_{i \in S} a_i a_i^T + \sum_{i \notin S} b_i b_i^T \right].$$