TBA

Michael Pinsker

2nd Workshop on Homogeneous Structures

Prague 2012

Topological Birkhoff & Applications

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Michael Pinsker (Paris 7)

Topological Birkhoff by Manuel Bodirsky and Michael Pinsker on arXiv since March 2012.

 Generalization of fundamental theorem of universal algebra from finite to oligomorphic algebras

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Implication chain: ↓ Motivation chain: ↑



Part I: Birkhoff's theorem

An algebra is a structure with purely *functional* signature.

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- S(C)... class of all subalgeras of algebras in C.
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Fact (Birkhoff)

- The variety generated by \mathfrak{A} equals HSP(\mathfrak{A}).
- The pseudovariety generated by \mathfrak{A} equals HSP^{fin}(\mathfrak{A}).

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- closed under composition and
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Different abstract τ -terms *s*, *t* might induce the same function:

$$s^{\mathfrak{A}} = t^{\mathfrak{A}}$$

Those are the *equations* that hold in \mathfrak{A} .

Theorem 1 (Birkhoff)

 $\mathfrak{B}\in\mathsf{HSP}(\mathfrak{A})\leftrightarrow$ all equations of \mathfrak{A} also hold in $\mathfrak{B}.$

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Bad for *aesthetic* and *computational* reasons.

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Troubling

Reformulating Birkhoff: clone homomorphisms
When all equations of \mathfrak{A} also hold in \mathfrak{B} , then the map

 $\xi: t^{\mathfrak{A}} \mapsto t^{\mathfrak{B}}$

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Theorem 2 (Birkhoff)Let \mathfrak{A}, \mathfrak{B} be finite.\mathfrak{B} \in \mathsf{HSP}^{\mathsf{fin}}(\mathfrak{A}) \leftrightarrowthe natural homomorphism from \mathsf{Clo}(\mathfrak{A}) to \mathsf{Clo}(\mathfrak{B}) exists.
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Pol(Δ)... the clone of all finitary functions preserving Δ . = set of all homomorphisms from some Δ^n to Δ .

Topological Birkhoff

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Theorem "Topological Birkhoff" (Bodirsky + MP)

Let $\mathfrak{A}, \mathfrak{B}$ be locally oligomorphic or finite.

 \mathfrak{B} is in HSP^{fin}(\mathfrak{A}) \leftrightarrow the natural homomorphism from Clo(\mathfrak{A}) to Clo(\mathfrak{B}) exists and is continuous.

There are algebras $\mathfrak{A},\mathfrak{B}$ with common signature such that

- \mathfrak{A} is locally oligomorphic;
- B is finite;
- $\blacksquare \ \mathfrak{B} \in \mathsf{HSP}(\mathfrak{A});$
- **B** $\mathfrak{B} \notin \mathsf{HSP}^{\mathsf{fin}}(\mathfrak{A}).$

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Set $\tau := \{f_i\}_{i \in \omega} \cup \{g_i\}_{i \in \omega}$, all function symbols unary.

Let ${\mathfrak A}$ be any $\tau\text{-algebra on }\omega$ such that

- the functions $f_i^{\mathfrak{A}}$ form a locally oligomorphic permutation group;
- no $g_i^{\mathfrak{A}}$ is injective;
- $f_0^{\mathfrak{A}}$ is contained in the topological closure of $\{g_i^{\mathfrak{A}}\}_{i \in \omega}$.

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Let \mathfrak{B} be the τ -algebra on $\{0, 1\}$ such that

- $f_i^{\mathfrak{B}}$ is the identity function for all $i \in \omega$;
- $g_i^{\mathfrak{B}}$ is the constant function with value 0.

Links to model theory

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Interpretations!



Part II: Topological clones and interpretations

Interpretations
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for all atomic σ -formulas $\phi(y_1, \ldots, y_k)$ and all $\bar{a}_1, \ldots, \bar{a}_k \in \delta(\Gamma^d)$

$$\Delta \models \phi(h(\bar{a}_1), \ldots, h(\bar{a}_k)) \leftrightarrow \Gamma \models \phi'(\bar{a}_1, \ldots, \bar{a}_k)$$

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The interpretation is called primitive positive (pp) iff all involved formulas are primitive positive, i.e., of the form

$$\exists v_1, \ldots v_r, \psi_1 \land \ldots \land \psi_l,$$

for atomic ψ_i .

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Example: $(\mathbb{Q}; +, \cdot)$ has a pp interpretation in $(\mathbb{Z}; +, \cdot)$.

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- Δ has a pp interpretation in Γ ;
- For every / any polymorphism algebra \mathfrak{A} of Γ there is an algebra $\mathfrak{B} \in \mathsf{HSP}^{\mathsf{fin}}(\mathfrak{A})$ such that $\mathsf{Clo}(\mathfrak{B}) \subseteq \mathsf{Pol}(\Delta)$.

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Theorem (Bodirsky + Nešetřil)

Let Δ be ω -categorical.

A relation R has a pp definition in $\Delta \leftrightarrow$ R is preserved by all functions in Pol(Δ).

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Consequences:

 \blacksquare subalgebras of ${\mathfrak A}$ are pp definable subsets of the domain of $\Gamma.$

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- Δ has a pp interpretation in Γ ;
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Theorem (Bodirsky + Nešetřil)

Let Δ be ω -categorical.

A relation R has a pp definition in $\Delta \leftrightarrow$ R is preserved by all functions in Pol(Δ).

Consequences:

- subalgebras of A are pp definable subsets of the domain of Γ.
- \blacksquare congruences of ${\mathfrak A}$ are pp definable equivalence relations of $\Gamma.$

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- Δ has a pp interpretation in Γ ;
- Δ is the reduct of a finite or ω -categorical structure Δ' such that there exists a continuous homomorphism from $Pol(\Gamma)$ to $Pol(\Delta')$ whose image is dense in $Pol(\Delta')$.





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Let $S \subseteq \mathbb{Q}$ be so that both S and $\mathbb{Q} \setminus S$ are dense. Let $\Gamma := (\mathbb{Q}; <, S);$ $\Delta' := (S; <).$ $\xi \colon \operatorname{Aut}(\Gamma) \to \operatorname{Aut}(\Delta')$ defined by $f \mapsto f \upharpoonright_S$.

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Stronger notion:

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Stronger notion: \triangle and Γ are pp bi-interpretable iff the coordinate maps h_1 and h_2 of the pp interpretations are so that

$$\begin{aligned} x &= h_1(h_2(y_{1,1},\ldots,y_{1,d_2}),\ldots,h_2(y_{d_1,1},\ldots,y_{d_1,d_2})) \\ x &= h_2(h_1(y_{1,1},\ldots,y_{d_1,1}),\ldots,h_1(y_{1,d_2},\ldots,y_{d_1,d_2})) \end{aligned}$$

are pp definable in Δ and Γ , respectively.

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Let Δ and Γ be ω -categorical. Tfae:

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Part III: Constraint Satisfaction Problems

Let Δ be a structure with a *finite* relational signature τ .

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Fact: When there is a pp interpretation of Δ in Γ , then there is a polynomial-time reduction from $CSP(\Delta)$ to $CSP(\Gamma)$.

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For ω -categorical Δ , the complexity of CSP(Δ) only depends on the topological polymorphism clone of Δ .

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i is unique for each *f*. Set $\xi(f) := \pi_i^k$. Straightforward: ξ is continuous homomorphism.

In which situations does the algebraic structure of the clone $Pol(\Delta)$ determine its topological structure? Always?

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Automatic continuity

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There are two ω -categorical structures whose automorphism groups are isomorphic as abstract groups but not as topological groups (Evans+Hewitt'90).

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Automatic continuity:

- Every Baire measurable homomorphism between Polish groups is continuous.
- There exists a model of ZF+DC where every set is Baire measurable (Shelah'84).

Open problems

 Do there exist ω-categorical Γ, Δ such that Pol(Γ), Pol(Δ) are isomorphic algebraically but not topologically? (Analogue of Evans+Hewitt).

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- Do there exist ω-categorical Γ, Δ such that Pol(Γ), Pol(Δ) are isomorphic algebraically but not topologically? (Analogue of Evans+Hewitt).
- When does the algebraic structure of Pol(∆) determine the topological one? (e.g., "Small index property")
- In negative cases: does the complexity of CSP(Δ) only depend on the algebraic structure of Pol(Δ)? (Automatic continuity).

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