Generalised model theory Continuous model theory Categoricity Complexity in \mathbf{Y}_L

Polish *G*-spaces similar to logic *G*-spaces of continuous structures

Aleksander Ivanov and Barbara Majcher-Iwanow

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Logic S_{∞} -space

Let $L = (R_i^{n_i})_{i \in I}$ be a countable relational language and

$$X_L = \prod_{i \in I} 2^{\omega^n}$$

be the corresponding topol. space under the product topology τ .

We view X_L as the space of all *L*-structures on ω identifying every $\mathbf{x} = (...x_i...) \in X_L$ with the structure $(\omega, R_i)_{i \in I}$ where R_i is the n_i -ary relating defined by the characteristic function $x_i : \omega^{n_i} \to 2$.

The **logic action** of the group S_{∞} of all permutations of ω is defined on X_L by the rule:

$$g \circ \mathbf{x} = \mathbf{y} \Leftrightarrow \forall i \forall \overline{s}(y_i(\overline{s}) = x_i(g^{-1}(\overline{s}))).$$

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Canonical partition

Let $(\langle X, \tau \rangle, G)$ be a Polish *G*-space with a countable basis $\{C_j\}$.

H.Becker: there exists a unique partition of X, $X = \bigcup \{Y_t : t \in T\}$ into invariant G_{δ} -sets Y_t s. t. every orbit from Y_t is dense in Y_t .

To construct it take $\{C_j\}$ and for any $t\in 2^{\mathbb{N}}$ define

$$Y_t = \left(\bigcap \{GC_j : t(j) = 1\}\right) \cap \left(\bigcap \{X \setminus GC_j : t(j) = 0\}\right)$$

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Vaught transforms

Let X be a Polish G-space, $B \subset X$ and $u \subset G$ is open.

Vaught transforms:

 $B^{\star u} = \{x \in X : \{g \in u : gx \in B\} \text{ is comeagre in } u\}$ $B^{\Delta u} = \{x \in X : \{g \in u : gx \in B\} \text{ is not meagre in } u\}.$ the case of the **logic action** of S_{∞} on the space X_L if

$$B = \{M \in X_L : M \models \phi(s)\}$$
 with $s \in \omega$

then

$$B^{*S_{\infty}} = \{ M \in X_L : M \models \forall x \phi(x) \}.$$

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Vaught transforms 2

- If $B \in \mathbf{\Sigma}_{\alpha}$, then $B^{\Delta H} \in \mathbf{\Sigma}_{\alpha}$ and if $B \in \mathbf{\Pi}_{\alpha}$, then $B^{*H} \in \mathbf{\Pi}_{\alpha}$.
- For any open $B \subseteq X$ and any open K < G we have $B^{\Delta K} = KB$, where $KB = \{gx : g \in K, x \in B\}$.

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Actions of closed subgroups of S_∞

Let G be a closed subgroup of S_{∞} . Let \mathcal{N}^G be the standard basis of the topology of G consisting of cosets of pointwise stabilisers of finite subsets of ω .

Let $(\langle X, \tau \rangle, G)$ be a Polish *G*-space with a countable basis *A*. Along with τ we shall consider another topology on *X*.

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Nice topology

Definition (H.Becker) A topology **t** on X is **nice** for the G-space $(\langle X, \tau \rangle, G)$ if the following conditions are satisfied. (A) **t** is a Polish topology, **t** is finer than τ and the G-action remains continuous with respect to **t**.

(B) There exists a basis \mathcal{B} for t (called **nice**) such that:

- \mathcal{B} is countable;
- 2 for all $B_1, B_2 \in \mathcal{B}$, $B_1 \cap B_2 \in \mathcal{B}$;
- **③** for all $B \in \mathcal{B}$, $X \setminus B \in \mathcal{B}$;
- for all $B \in \mathcal{B}$ and $u \in \mathcal{N}^{G}$, $B^{\Delta u}, B^{\star u} \in \mathcal{B}$;
- If or any B ∈ B there exists an open subgroup H < G such that B is invariant under the corresponding H-action.

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Example

Logic action

For any countable fragment F of $L_{\omega_1\omega},$ which is closed under quantifiers, all sets

$$Mod(\phi, \bar{s}) = \{M \in X_L : M \models \phi(\bar{s})\}$$
 with $\bar{s} \subset \omega$

form a nice basis defining a nice topology (denoted by \mathbf{t}_F) of the S_∞ -space X_L .

Each piece of the canonical partition corresponding to \mathbf{t}_F consists of structures which satisfy the same *F*-sentences (without parameters).

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Example and illustration

Let G be a closed subgroup of S_{∞} and (X, τ) be a Polish G-space. Let **t** be a nice topology for $(\langle X, \tau \rangle, G)$.

A generalized version of Lindström's model completeness theorem:

Theorem (B.M-I)

For any $x_1 \in X$ if $X_1 = Gx_1$ is a G_{δ} -subset of X, then both topologies τ and **t** coincide on X_1 .

H.Becker: **J.Amer.Math.Soc**, 11(1998), 397 - 449 and **APAL**, 111(2001), 145 - 184

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Existence

Theorem

(H.Becker) Let G be a closed subgroup of S_{∞} and (X, τ) be a Polish G-space. Let t' be a topology on X finer than τ , such that the action remains continuous with respect to t'. Then there is a nice topology t for $(\langle X, \tau \rangle, G)$ such that t is finer than t'.

Remark: All elements of \mathbf{t} are τ -Borel.

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 $\begin{array}{c} \mbox{Generalised model theory}\\ \mbox{Continuous model theory}\\ \mbox{Categoricity}\\ \mbox{Complexity in } \mathbf{Y}_L \end{array}$



Question:

Is it possible to extend the generalised model theory of H.Becker to actions of Polish groups (without the assumption $G \leq S_{\infty}$) ?

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Continuous structures

A countable continuous signature:

$$L = \{d, R_1, ..., R_k, ..., F_1, ..., F_l, ...\}.$$

Definition

A **metric** *L*-**structure** is a complete metric space (M, d) with *d* bounded by 1, along with a family of uniformly continuous operations F_k on *M* and a family of predicates R_I , i.e. uniformly continuous maps from appropriate M^{k_I} to [0, 1].

It is usually assumed that to a predicate symbol R_i a continuity modulus γ_i is assigned so that when $d(x_j, x'_j) < \gamma_i(\varepsilon)$ with $1 \le j \le k_i$ the corresponding predicate of M satisfies

 $|R_i(x_1,...,x_j,...,x_{k_i}) - R_i(x_1,...,x_j',...,x_{k_i})| < \varepsilon.$

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Canonical structure

Let (G, d) be a Polish group with a left invariant metric ≤ 1 . If (\mathbf{X}, d) is its completion, then $G \leq Iso(\mathbf{X})$. Let S be a countable dense subset of \mathbf{X} . Enumerate all orbits of G of finite tuples of S.

For the closure of such an *n*-orbit C define a predicate $R_{\overline{C}}$ on (\mathbf{X},d) by

 $R_{\overline{C}}(y_1,...,y_n) = d((y_1,...,y_n),\overline{C}) \text{ (i.e. } inf\{d(\overline{y},\overline{c}):\overline{c}\in\overline{C}\}).$

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The space of continuous structures

Fix a relational continuous signature L and a Polish space (\mathbf{Y}, d) . Let S be a dense countable subset of \mathbf{Y} .

Define the spee \mathbf{Y}_L of continuous *L*-stretres on (\mathbf{Y}, d) as follows. **Metric on the set of** *L*-structures: Enumerate all tuples of the form (j, \bar{s}) , where \bar{s} is a tple $\in S$ of the lngth of the arity of R_j . For *L*-structures *M* and *N* on **Y** let

$$\delta(M,N) = \sum_{i=1}^{\infty} \{2^{-i} | R_j^M(\overline{s}) - R_j^N(\overline{s}) | : i \text{ is the number of } (j,\overline{s}) \}.$$

Logic action

- the space \mathbf{Y}_L is Polish;
- the Polish group $Iso(\mathbf{Y})$ acts on \mathbf{Y}_L continuously

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Universality

Theorem

For any Polish group G there is a Polish space (\mathbf{Y}, d) and a continuous relational signature L such that

- $G < Iso(\mathbf{Y})$
- for any Polish G-space X there is a Borel 1-1-map *M* : X → Y_L such that for any x, x' ∈ X structures *M*(x) and *M*(x') are isomorphic if and only if x and x' are in the same G-orbit,

The map \mathcal{M} is a Borel *G*-invariant 1-1-reduction of the *G*-orbit equivalence relation on **X** to the $lso(\mathbf{Y})$ -orbit equivalence relation on the space \mathbf{Y}_L of all *L*-stuctures.

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Grades subsets and subgroups

A graded subset of X, denoted $\phi \sqsubseteq X$, is a function $X \rightarrow [0, 1]$.

It is **open (closed)**, $\phi \in \Sigma_1$ (resp. $\phi \in \Pi_1$), if it is upper (lower) semi-continuous, i.e. the set $\phi_{< r}$ (resp. $\phi_{> r}$) is open for all $r \in [0,1]$ (here $\phi_{< r} = \{z \in \mathbf{X} : \phi(z) < r\}$).

When G is a Polish group, then a graded subset $H \sqsubseteq G$ is called a **graded subgroup** if H(1) = 0, $\forall g \in G(H(g) = H(g^{-1}))$ and $\forall g, g' \in G(H(gg') \leq H(g) + H(g'))$.

We also define Borel classes Σ_{α} , Π_{α} so that ϕ is Σ_{α} if $\phi = inf \Phi$ for some countable $\Phi \subset \bigcup \{\Pi_{\gamma} : \gamma < \alpha\}$ and $\Pi_{\alpha} = \{1 - \phi : \phi \in \Sigma_{\alpha}\}.$

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Grades subsets and subgroups

A graded subset of X, denoted $\phi \sqsubseteq X$, is a function $X \rightarrow [0, 1]$.

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Illustration 1. Graded subsets of \mathbf{Y}_L

For \bar{c} from (\mathbf{Y}, d) and a linear δ with $\delta(0) = 0$ graded subgroup $H_{\delta, \bar{c}} \sqsubseteq Iso(\mathbf{Y})$:

 $H_{\delta, \overline{c}}(g) = \delta(max(d(c_1, g(c_1)), ..., d(c_n, g(c_n)))), \text{ where } g \in Iso(\mathbf{Y}).$

A **continuous formula** is an expression built from 0,1 and atomic formulas by applications of the following functions:

$$x/2$$
 , $\dot{x-y} = max(x-y,0)$, $min(x,y)$, $max(x,y)$, $|x-y|$,

eg (x) = 1 - x , $x \dot{+} y = {\it min}(x + y, 1)$, ${\it sup}_x$ and ${\it inf}_x.$

Any continuous sentence $\phi(\bar{c})$ defines a graded subset of \mathbf{Y}_L which belongs to $\mathbf{\Sigma}_n$ for some n:

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Illustration 2. Invariant graded subsets

Assuming that continuity moduli of *L*-symbols are **id** for any $\phi(\bar{x})$ as above we find a linear function δ such that the graded subgroup

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Let **X** be a continuous *G*-space. A graded subset $\phi \sqsubseteq \mathbf{X}$ is called invariant with respect to a graded subgroup $H \sqsubseteq G$ if for any $g \in G$ we have $\phi(g(x)) \le \phi(x) + H(g)$.

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Vaught transforms 3

For any non-empty open $J \sqsubseteq G$ let

$$\phi^{\Delta J}(x) = \inf\{r + s : \{h : \phi(h(x)) < r\} \text{ is not meagre in } J_{
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Theorem

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$$\phi^{*J}(x) = 1 - (1 - \phi)^{\Delta J}(x)$$
 for all $x \in \mathbf{X}$.

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$$\phi^{\Delta J}(x) \le \phi^{*J}(x)$$
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- If φ is a graded Σ_α-subset, then φ^{ΔJ} is also Σ_α.
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- Vaught transforms of Borel graded subsets are Borel.

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Invariantness of Vaught transforms

Theorem

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If H is a graded subgroup of G, then both $\phi^{*H}(x)$ and $\phi^{\Delta H}(x)$ are H-invariant:

$$\phi^{*H}(x)\dot{-}H(h) \leq \phi^{*H}(h(x)) \leq \phi^{*H}(x)\dot{+}H(h) \text{ and}$$

$$\phi^{\Delta H}(x)\dot{-}H(h) \leq \phi^{\Delta H}(h(x)) \leq \phi^{\Delta H}(x)\dot{+}H(h).$$

Diverver if $\phi(x) \leq \phi(h(x))\dot{+}H(h)$ for all x and h , then
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Graded bases

We consider G together with a distinguished countable family of open graded subsets \mathcal{R} so that all $\rho_{< r}$ for $\rho \in \mathcal{R}$ and $r \in \mathbb{Q}$, form a basis of the topology of G.

We usually assume that \mathcal{R} consists of **graded cosets**, i.e. for such $\rho \in \mathcal{R}$ there is a graded subgroup $H \in \mathcal{R}$ and an element $g_0 \in G$ so that for any $g \in G$, $\rho(g) = H(gg_0^{-1})$. (For every Polish group G there is a countable family of open graded subsets \mathcal{R} as above.)

Considering a (G, \mathcal{R}) -space **X** we distinguish a similar family too: a cntble family \mathcal{U} of open graded sbsts of **X** generating the topol.

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Nice basis

Definition. A family \mathcal{B} of Borel graded subsets of the *G*-space **X** is a **nice basis** w.r.to \mathcal{R} if:

- $\mathcal B$ is countable and generates the topol. finer than au;
- for all $\phi_1, \phi_2 \in \mathcal{B}$, the functions $\min(\phi_1, \phi_2)$, $\max(\phi_1, \phi_2)$, $|\phi_1 \phi_2|$, $\phi_1 \phi_2 \phi_1 + \phi_2$ belong to \mathcal{B} ;
- for all $\phi \in \mathcal{B}$ and rational $r \in [0, 1]$, $r\phi$ and $1 \phi \in \mathcal{B}$;
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- for any φ ∈ B there exists an open graded subgroup H ∈ R such that φ is invariant under the corresponding H-action.

A topology **t** on **X** is \mathcal{R} -nice for the *G*-space $\langle \mathbf{X}, \tau \rangle$ if: (a) **t** is a Polish topology, **t** is finer than τ and the *G*-action remains continuous with respect to **t**; (b) there exists a nice basis \mathcal{B} so that **t** is generated by all $\phi_{<q}$ with $\phi \in \mathcal{B}$ and $q \in \mathbb{Q} \cap (0, 1]$.

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Example. The case of U_L

Let **U** be **Urysohn** spee of diameter 1: This is the unique Polish mtrc space which is universal and ultrahomogeneous, i.e. every isometry between fnte substs of **U** extends to an isometry of **U**.

There is a ctble family $\mathcal R$ consisting of cosets of clopen graded subgroups of $Iso(\mathbf U)$ of the form

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which generates the topology of Iso(U).

Let *L* be a continuous signature of continuity moduli *id*. Then the family of all continuous *L*-sentences

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forms an \mathcal{R} -nice basis \mathcal{B} of the G-space U_{L} , \Box_{L} , \Box_{R} , \Box_{R} , \Box_{R} , \Box_{R}

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Let **U** be **Urysohn** spce of diameter 1: This is the unique Polish mtrc space which is universal and ultrahomogeneous, i.e. every isometry between fnte substs of **U** extends to an isometry of **U**.

There is a ctble family \mathcal{R} consisting of cosets of clopen graded subgroups of $Iso(\mathbf{U})$ of the form

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which generates the topology of Iso(U).

Let *L* be a continuous signature of continuity moduli *id*. Then the family of all continuous *L*-sentences

 $\phi(\mathbf{s}): M \to \phi^M(\mathbf{s}), \text{ where } \overline{\mathbf{s}} \in S,$

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Existence 2

Theorem. Let (G, \mathcal{R}) be a Polish group with \mathcal{R} satisfying (i) for every graded subgroup $H \in \mathcal{R}$ if $gH \in \mathcal{R}$, then $H^g \in \mathcal{R}$; (ii) \mathcal{R} is closed under **max** and multiplying by rationals.

Let $\langle \mathbf{X}, \tau \rangle$ be a *G*-space and \mathcal{U} be a countable family of Borel graded subsets of \mathbf{X} generating a topology finer than τ , so that each $\phi \in \mathcal{U}$ is invariant with respect to some graded subgroup $H \in \mathcal{R}$.

Then there is an \mathcal{R} -nice topology for $(\langle \mathbf{X}, \tau \rangle, G)$ so that \mathcal{U} consists of open graded subsets.

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Lindström, abstract form

 ${\it G}$ is a Polish group with a graded basis ${\cal R}$ consisting of graded cosets,

 $\langle \mathbf{X}, \tau \rangle$ is a Polish *G*-space, ect.

Theorem

Let **t** be \mathcal{R} -nice. Let $X = Gx_0$ for some (any) $x_0 \in X$ and X be a G_{δ} -subset of **X**. Then both topologies τ and **t** are equal on X.

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Categoricity

Let ${\mathcal B}$ be a nice basis defining ${\mathcal R}\text{-nice } {\boldsymbol t},$

H be an open graded subgroup from \mathcal{R} ,

X be an invariant G_{δ} -subset of **X** with respect to **t**.

(1) A family \mathcal{F} of subsets of the form $\phi_{\leq r}$ with *H*-invariant $\phi \in \mathcal{B}$ is called an *H*-**type** in *X*, if it is maximal w.r. to the condition $X \cap \bigcap \mathcal{F} \neq \emptyset$.

(2) An *H*-type \mathcal{F} is called **principal** if there is an *H*-invariant graded basic set $\phi \in \mathcal{B}$ and there is *r* such that $\phi_{< r} \in \mathcal{F}$ and $\bigcap \{\overline{B} : B \in \mathcal{F}\} \cap X$ coincides with the closure of $\phi_{< r} \cap X$. Then we say that $\phi_{< r}$ defines \mathcal{F} .

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Let \mathcal{R} consist of clopen graded cosets. Let \mathcal{B} be an \mathcal{R} -nice basis of a *G*-space $\langle \mathbf{X}, \tau \rangle$ and **t** be the corresponding nice topology,

Theorem

Assume that the action satisfies the **approximation property** for graded subgroups.

A piece X of the canonical partition with respect to the topology **t** is a G-orbit if and only if for any basic open graded subgroup $H \sqsubset G$ any H-type of X is principal.

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Definition

The (G, \mathcal{R}) -space $(\mathbf{X}, \mathcal{U})$ has the approximation property for graded subgroups if for any $\varepsilon > 0$

for any grded subgrp $H \in \mathcal{R}$, any c and $c' \in \mathbf{X}$ of the same G-orbt

if c, c' belong to the same subsets of the form $\phi_{\leq t}$ for *H*-invarnt $\phi \in \mathcal{U}$, then c' can be approximated by the values g(c) with $H(g) < \varepsilon$.

When G = Aut(M), where M is an approximately ultrahomogeneous separably categorical structure on **Y**, then this holds in the space of all *L*-expansions of M.

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Ultrahomogenity

A relational continuous structure M is **approximately ultrahomogeneous** if for any *n*-tuples $(a_1, ..., a_n)$ and $(b_1, ..., b_n)$ with the same quantifier-free type (i.e. with the same values of predicates for corresponding subtuples) and any $\varepsilon > 0$ there exists $g \in Aut(M)$ such that

$$max\{d(g(a_j), b_j) : 1 \le j \le n\} \le \varepsilon.$$

J.Melleray: Any Polish group can be chosen as the automorphism group of a continuous metric structure which is approximately ultrahomogeneous.

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Complexity

Let (\mathbf{Y}, d) be a Polish space.

Theorem

 There is a Borel subset SC ⊂ Y_L of separably categorical continuous L-structures on (Y, d) so that any separably categorical continuous structure from Y_L is isomorphic to a structure from SC.

 There is a Borel subset SCU ⊂ Y_L of separably categorical approximately ultahomogeneous continuous structures on Y so that any sep.cat., appr. ultrhom. structure from Y_L is isomorphic to a structure from SCU.

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Observation.

Let M be a Polish approximatly ultrahomogeneous continuous str. Then Aut(M) admits a compatible complete left-invariant metric if and only if there is no proper embeding of M into itself.

The subset of \mathbf{Y}_L consisting of structures M so that Aut(M) admits compatible complete left-invariant metric, is coanalityc in any Borel subset of appr. ultr. structures of \mathbf{Y}_L . It does not have any member in \mathcal{SCU} .

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