Cleaning random $d$-regular graphs with brushes and Brooms

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(joint work with Noga Alon and Nick Wormald)

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The web graph

nodes: web pages  edges: hyperlinks
Outline

1. Introduction and Definitions
2. Exact Values
3. Lower Bound
4. Upper Bound
5. Other Directions
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4. Upper Bound
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Definition (Cleaning algorithm)

- Initially, every edge and vertex of a graph is *dirty* and a fixed number of brushes start on a set of vertices.
- At each step, a vertex $v$ and all its incident edges which are dirty may be *cleaned* if there are at least as many brushes on $v$ as there are incident dirty edges.
- When a vertex is cleaned, every incident dirty edge is traversed (i.e. cleaned) by one and only one brush.
- Brushes cannot traverse a clean edge.
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We can get two different final configurations but the final set of dirty vertices is determined.

**Theorem (Messinger, Nowakowski, Pralat)**

Given a graph $G$ and the initial configuration of brushes $\omega_0 : V \rightarrow \mathbb{N} \cup \{0\}$, the cleaning algorithm returns a unique final set of dirty vertices.
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Thus, the following definition is natural.

**Definition (brush number)**

A graph $G = (V, E)$ **can be cleaned** by the initial configuration of brushes $\omega_0$ if the cleaning process returns an empty final set of dirty vertices.

Let the brush number, $b(G)$, be the minimum number of brushes needed to clean $G$, that is,

$$b(G) = \min_{\omega_0: V \rightarrow \mathbb{N} \cup \{0\}} \left\{ \sum_{v \in V} \omega_0(v) : G \text{ can be cleaned by } \omega_0 \right\}.$$ 

Similarly, $b_\alpha(G)$ is defined as the minimum number of brushes needed to clean $G$ using the cleaning sequence $\alpha$. 

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In general, it is difficult to find $b(G)$...

**Theorem (Gaspers, Messinger, Nowakowski, Pralat)**

*The problem is $\mathcal{NP}$-complete and remains $\mathcal{NP}$-complete for bipartite graphs of maximum degree 6, planar graphs of maximum degree 4, and 5-regular graphs.*

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Let $D(v)$ be the number of dirty neighbours of $v$ at the time when $v$ is cleaned.

- The number of brushes arriving at a vertex before it is cleaned equals $\deg(v) - D(v)$
- The total number of brushes needed is $D(v)$

$$\omega_0(v) = \max\{2D(v) - \deg(v), 0\}, \quad \text{for } v \in V.$$
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$b(G) = 3$
\[ b(C_n) = 2, \ n \geq 3 \]
$b(P_n) = 1, \ n \geq 2$
\[ b(K_n) = \begin{cases} 
\frac{n^2}{4} & \text{if } n \text{ is even} \\
\frac{n^2-1}{4} & \text{if } n \text{ is odd.}
\end{cases} \]
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Theorem (Messinger, Nowakowski, Pralat)

The Reversibility Theorem

Given the initial configuration $\omega_0$, suppose $G$ can be cleaned yielding final configuration $\omega_n$, $n = |V(G)|$. Then, given the initial configuration $\tau_0 = \omega_n$, $G$ can be cleaned yielding the final configuration $\tau_n = \omega_0$. 
The cleaning process is a combination of both

- the edge-searching problem:
  - modeling sequential computation,
  - assuring privacy when using bugged channels,
  - VLSI circuit design,
  - security in the web graph.

- the chip firing game:
  - the Tutte polynomial and group theory,
  - algebraic potential theory (social science).

There is also a relationship between the Cleaning problem and the Balanced Vertex-Ordering problem (this has consequences for both problems).
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Our results refer to the probability space of random $d$-regular graphs with uniform probability distribution. This space is denoted $\mathcal{G}_{n,d}$.

Asymptotics (such as “asymptotically almost surely”, which we abbreviate to a.a.s.) are for $n \to \infty$ with $d \geq 2$ fixed, and $n$ even if $d$ is odd.

For example, random 4-regular graph is connected a.a.s.; that is,

$$\lim_{n \to \infty} \frac{\text{# of connected 4-regular graphs on } n \text{ vertices}}{\text{# of 4-regular graphs on } n \text{ vertices}} = 1.$$
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The probability of a random pairing corresponding to a given simple graph $G$ is independent of the graph, hence the restriction of the probability space of random pairings to simple graphs is precisely $\mathcal{G}_{n,d}$.

Moreover, a random pairing generates a simple graph with probability asymptotic to $e^{(1-d^2)/4}$ depending on $d$.

Therefore, any event holding a.a.s. over the probability space of random pairings also holds a.a.s. over the corresponding space $\mathcal{G}_{n,d}$. 
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2-regular graphs

Let $Y_n$ be the total number of cycles in a random 2-regular graph on $n$ vertices. Since exactly two brushes are needed to clean one cycle, we need $2Y_n$ brushes in order to clean a 2-regular graph.

It can be shown that the total number of cycles $Y_n$ is sharply concentrated near $(1/2) \log n$.

Theorem (Alon, Pralat, Wormald)

Let $G$ be a random 2-regular graph on $n$ vertices. Then, a.a.s.

$$b(G) = (1 + o(1)) \log n.$$
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3-regular graphs

The first vertex cleaned must start three brush paths, the last one terminates three brush paths, and all other vertices must start or finish at least one brush path, so the number of brush paths is at least $n/2 + 2$. 

![Diagram of 3-regular graphs]
It is known that a random 3-regular graph a.a.s. has a Hamilton cycle. The edges not in a Hamilton cycle must form a perfect matching. Such a graph can be cleaned by starting with three brushes at one vertex, and moving along the Hamilton cycle with one brush, introducing one new brush for each edge of the perfect matching.

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\[ b(G) \geq \max_j \min_{S \subseteq V, |S| = j} |E(S, V \setminus S)|. \]

(The proof is simply to observe that the minimum is a lower bound on the number of edges going from the first \( j \) vertices cleaned to elsewhere in the graph.)

Suppose that \( x = x(n) \) and \( y = y(n) \) are chosen so that the expected number \( S(x, y) \) of sets \( S \) of \( xn \) vertices in \( G \in G_{n,d} \) with \( yn \) edges to the complement \( V(G) \setminus S \) is tending to zero with \( n \).

Then this, together with the first moment principle, gives that the brush number is a.a.s. at least \( yn \).
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Then this, together with the first moment principle, gives that the brush number is a.a.s. at least \( yn \).
In order to find an optimal values of $x$ and $y$ we use the pairing model. It is clear that

$$S(x, y) = \binom{n}{xn} \binom{xdn}{yn} M(xdn - yn) \binom{(1 - x)dn}{yn} (yn)! \times M((1 - x)dn - yn)/M(dn)$$

where $M(i)$ is the number of perfect matchings on $i$ vertices, that is,

$$M(i) = \frac{i!}{(i/2)!2^{i/2}}.$$
After simplification and using Stirling’s formula we get that $S(x, y) < O(n^{-1})e^{f(x, y, d)}$ where

$$f(x, y, d) = x(d - 1) \ln x + (1 - x)(d - 1) \ln(1 - x) + 0.5d \ln d - y \ln y - 0.5(xd - y) \ln(xd - y) - 0.5((1 - x)d - y) \ln((1 - x)d - y).$$

Thus, if $f(x, y, d) = 0$, then $S(x, y)$ tends to zero with $n$ and the brush number is at least $yn$ a.a.s.
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Thus, if \( f(x, y, d) = 0 \), then \( S(x, y) \) tends to zero with \( n \) and the brush number is at least \( yn \) a.a.s.
Not surprisingly, the strongest bound is obtained for $x = 1/2$, in which case $f(x, y, d)$ becomes

$$(d - 1) \ln(1/2) + (d/2) \ln d - y \ln y - (d/2 - y) \ln(d/2 - y)$$

$$= -\frac{d}{4}((1 + z) \ln(1 + z) + (1 - z) \ln(1 - z)) + \ln 2$$

where $y = (d/4)(1 - z)$.

It is straightforward to see that this function is decreasing in $z$ for $z \geq 0$. Let $l_d/n$ denote the value of $y$ for which it first reaches 0.

Since the Taylor expansion of $(1 + z) \ln(1 + z) + (1 - z) \ln(1 - z)$ is $z^2 + z^4/6 + \ldots$, $l_d/n \geq (d/4)(1 - 2\sqrt{\ln 2}/\sqrt{d})$. 
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Theorem (Alon, Pralat, Wormald)

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$$b(G) \geq \frac{dn}{4} \left( 1 - \frac{2\sqrt{\ln 2}}{\sqrt{d}} \right).$$
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We study an algorithm that cleans random vertices of minimum degree in the graph induced by a set of dirty vertices.

In the $k$th phase a mixture of vertices of degree $d - k$ and $d - k - 1$ are cleaned. There are two possible endings.

1. the vertices of degree $d - k$ are becoming so common that the vertices of degree $d - k - 1$ start to explode (in which case we move to the next phase),

2. the vertices of degree $d - k + 1$ are getting so rare that those of degree $d - k$ disappear (in which case the process goes “backwards”).

With various initial conditions, either one could occur.

This degree-greedy algorithm can be analyzed using the differential equations method.
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Cleaning a random 5-regular graph

(a) phase 1
(we clean vertices of degree 4 and 3)

(b) phase 2
(we clean vertices of degree 3 and 2)
A graph of $u_d/dn$ and $l_d/dn$ versus $d$ (from 3 to 100).

Does $\lim_{d \to \infty} b(G)/dn$ exist?
A graph of $u_d/dn$ and $l_d/dn$ versus $d$ (from 3 to 100).

Does $\lim_{d \to \infty} b(G)/dn$ exist?
The eigenvalues $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n$ of a graph are the eigenvalues of its adjacency matrix.

The value of $\lambda = \max(|\lambda_2|, |\lambda_n|)$ for a random $d$-regular graphs has been studied extensively. It is known that for every $\varepsilon > 0$ and $G \in \mathcal{G}_{n,d}$,

$$\mathbb{P}(\lambda(G) \leq 2\sqrt{d - 1} + \varepsilon) = 1 - o(1).$$
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$$P(\lambda(G) \leq 2\sqrt{d - 1} + \varepsilon) = 1 - o(1).$$
Lemma (Expander Mixing Lemma; Alon, Chung, 1988)

Let $G$ be a $d$-regular graph with $n$ vertices and set $\lambda = \lambda(G)$. Then for all $S, T \subseteq V$

$$\left| |E(S, T)| - \frac{d|S||T|}{n}\right| \leq \lambda \sqrt{|S||T|}.$$ 

(Note that $S \cap T$ does not have to be empty; $|E(S, T)|$ is defined to be the number of edges between $S \setminus T$ to $T$ plus twice the number of edges that contain only vertices of $S \cap T$.)
Theorem (Alon, Pralat, Wormald)

Let $G$ be a random $d$-regular graph on $n$ vertices. Then, a.a.s.

$$
\frac{dn}{4} \left( 1 - \frac{2\sqrt{\ln 2}}{\sqrt{d}} \right) \leq b(G) \leq \frac{dn}{4} \left( 1 + \frac{O(1)}{\sqrt{d}} \right).
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Nonconstructive proof:

Let $G$ be any $d$-regular graph. Let $\pi$ be a random permutation of the vertices of $G$ taken with uniform distribution. We clean $G$ according to this permutation.

We have to assign to vertex $v$ exactly

$$X(v) = \max\{0, 2N^+(v) - \deg(v)\}$$

brushes in the initial configuration, where $N^+(v)$ is the number of neighbors of $v$ that follow it in the permutation.

The random variable $N^+(v)$ attains each of the values $0, 1, \ldots, d$ with probability $1/(d + 1)$.
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Therefore the expected value of $X(v)$, for even $d$, is

\[
\frac{d + (d - 2) + \cdots + 2}{d + 1} = \frac{d + 1}{4} - \frac{1}{4(d + 1)},
\]

and for odd $d$ it is

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\frac{d + (d - 2) + \cdots + 1}{d + 1} = \frac{d + 1}{4}.
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Thus, by linearity of expectation,

\[
\mathbb{E} b_{\pi}(G) = \mathbb{E} \left( \sum_{v \in V} X(v) \right) = \sum_{v \in V} \mathbb{E} X(v)
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which means that there is a permutation $\pi_0$ such that

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Paweł Pralat

Cleaning random $d$-regular graphs with brushes and Brooms
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Pawel Pralat  
Cleaning random $d$-regular graphs with brushes and Brooms
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2 Exact Values
3 Lower Bound
4 Upper Bound
5 Other Directions
Other research directions in graph cleaning:

- Parallel cleaning,
- Cleaning with Brooms,
- Cleaning binomial random graphs,
- Generalized cleaning (for example, send at most $k$ brushes),
- Combinatorial game,
- Cleaning the web graph.
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Parallel cleaning
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The process is not reversible! We wish to determine the minimum number of brushes, $cpb(G)$, needed to ensure a graph $G$ can be parallel cleaned \emph{continually}. 

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Cleaning random $d$-regular graphs with brushes and Brooms
Parallel cleaning

**Theorem (Gaspers, Messinger, Nowakowski, Pralat)**

For any tree $T$, $cpb(T) = b(T) = d_0(T)/2$.

**Theorem (Gaspers, Messinger, Nowakowski, Pralat)**

For any complete bipartite graph $K_{m,n}$,

$$cpb(K_{m,n}) = b(K_{m,n}) = \lceil mn/2 \rceil.$$  

**Conjecture**

$cpb(G) = b(G)$ for any bipartite graph $G$.

- true if $|V(G)| \leq 11$
- there is one graph on 12 vertices for which $cpb(G) \neq b(G)$
Parallel cleaning

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For any complete graph $K_n$

$$\frac{5}{16}n^2 + O(n) \leq cpb(K_n) \leq \frac{4}{9}n^2 + O(n).$$

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$$\lim_{n \to \infty} \frac{b(K_n)}{cpb(K_n)} = \frac{1}{4}/(4/9) = \frac{9}{16}. $$
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Cleaning with Brooms

The *brush* number: \( b(G) = \min_\alpha b_\alpha(G) \).

The *Broom* number: \( B(G) = \max_\alpha b_\alpha(G) \).

**Theorem (Pralat)**

For \( G \in \mathcal{G}_{n,2} \), a.a.s.

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B(G) = n - (1/4 + o(1)) \log n.
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**Cleaning with Brooms – upper bound**

**Theorem (Pralat)**

Let $G \in \mathcal{G}_{n,d}$, where $d \geq 3$. Then, for every sufficiently small but fixed $\varepsilon > 0$ a.a.s.

$$B(G) \leq \frac{dn}{4} \left(1 + \bar{z} + \varepsilon\right) \leq \frac{dn}{4} \left(1 + \frac{2\sqrt{\ln 2}}{\sqrt{d}}\right),$$

where $\bar{z}$ is the solution of

$$d((1 + z) \ln(1 + z) + (1 - z) \ln(1 - z)) = 4 \ln 2.$$
Cleaning with Brooms – lower bound

Theorem (Pralat (non-constructive proof))

Let $G = (V, E)$ be a $d$-regular graph on $n$ vertices. If $d$ is even, then

$$B(G) \geq \frac{n}{4} \left( d + 1 - \frac{1}{d + 1} \right),$$

and if $d$ is odd, then

$$B(G) \geq \frac{n}{4} (d + 1).$$
Cleaning with Brooms – lower bound

**Theorem (Pralat (cleaning along the Hamilton cycle))**

Let $G \in \mathcal{G}_{n,d}$, where $d \geq 3$. Then, a.a.s., if $d$ is even

$$B(G) \geq \frac{n}{4} \left( d + 3 + \frac{3}{d - 1} - 2^{-d+4} \left( \frac{d - 2}{d/2 - 1} \right) \right) (1 + o(1))$$

and if $d$ is odd then

$$B(G) \geq \frac{n}{4} \left( d + 3 + \frac{4}{d - 1} - 2^{-d+3} \frac{d}{d - 1} \left( \frac{d - 1}{(d - 1)/2} \right) \right) (1+o(1)).$$

Degree-greedy algorithm yields the best lower bound (numerical).
Cleaning with Brooms – lower bound

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![Graph showing the relationship between brush number and Broom number]